## 505498334 05/29/2019

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5545138

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
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MATTHEW J. LAMARCHE	05/19/2014
LAWRENCE BLAS PEREZ	05/16/2014
TROY D. SMITH	05/15/2014
SARAH WILLIAMS	05/16/2014

#### **RECEIVING PARTY DATA**

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#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16418978

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**ATTORNEY DOCKET NUMBER:** PAT056058-US-PCTD02

PATENT REEL: 049307 FRAME: 0902

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NAME OF SUBMITTER:	KATHLEEN DRURY
SIGNATURE:	/Kathleen Drury/
DATE SIGNED:	05/29/2019

### **Total Attachments: 8**

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#### ASSIGNMENT

This Assignment Agreement is entered into by and between

Christine Hiu-Tung CHEN	nt Agreement is entered into citizen of United States of America	Novartis Institutes for BioMedical Research, Inc.
		250 Massachusetts Avenue Cambridge, MA 02139 USA
Zhuoliang CHEN	citizen of China	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 US
Michael DORE	citizen of Canada	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Ave. Cambridge, MA 02139 US
Jorge Garcia FORTANET	citizen of Spain	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Ave. Cambridge, MA 02139
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Mitsunori KATO	citizen of Japan	Novartis Institutes for BioMedical Research, Inc. 100 Technology Square Cambridge, MA 02139 US
Matthew J. LAMARCHE	citizen of United States of America	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Ave. Cambridge, MA 02139 USA
Lawrence Blas PEREZ	citizen of United States of America	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 US
Troy D. SMITH	citizen of United States of America	Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 USA
Sarah WILLIAMS	citizen of United Kingdom	Novartis Institutes for BioMedical Research, Inc. 100 Technology Square Cambridge, MA 02139

(hereinafter "Inventor(s)"), and Novartis Institutes for Biomedical Research Inc., 250 Massachusetts Ave, Cambridge, MA 02139, USA, a company organized under the laws of Delaware.

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, I/We the Inventor(s) do hereby confirm and agree that, by operation of law and/or contract, and/or by the fulfillment of any and all legal requirements regarding the transfer

of employee inventions in my/our country of employment, Novartis Institutes for Biomedical Research Inc.is the true and lawful owner of all right, title and interest in all inventions, discoveries, patents/utility models, applications, and rights described in paragraphs (1) through (8) below.

- If, however, under the laws of any country, I/We the Inventor(s) nevertheless have any ownership right, title or interest in any of the items described herein (which I/We do not believe to be the case and make no claim in or to), for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/We the Inventor(s) do hereby sell, assign and transfer to Novartis Institutes for Biomedical Research Inc. and its successors, assigns and legal representatives (hereinafter referred to collectively as the "ASSIGNEE"), all of our right, title and interest for all countries of the world in and to
- (1) all of our inventions and discoveries described in the provisional or non-provisional patent, utility model, or other application(s) entitled

# [COMPOUNDS AND COMPOSITIONS FOR INHIBITING THE ACTIVITY OF SHP2] [Patent Application PAT056058]

and filed in the <u>to be determined</u> Office on <u>to be determined</u> and accorded Application Number <u>to be determined</u> and/or filed as a PCT International Application on <u>to be determined</u> and accorded International Patent Application Number <u>to be determined</u>; and/or filed in the United States Patent and Trademark Office on May 9, 2014 and accorded Application Number 61/991,129;

- (2) the application(s) identified in paragraph (1), and all applications claiming priority from such application(s), directly or indirectly, including all national stages of any international patent application(s);
- (3) the right to file patent, utility model, or other applications on any invention or discovery disclosed in any of the applications identified in paragraphs (1) and (2), including the right to file such applications on said inventions and discoveries in the names of ASSIGNEE or their designees or in our/my name, at ASSIGNEE's election and in accordance with applicable law in all countries and regions; and the right to file all patent, utility model, or other applications in all countries and regions claiming the priority of any of the provisional or non-provisional application(s) identified in paragraphs (1) or (2);
- (4) all rights to claim priority from any of the applications referred to in paragraphs (1), (2), and (3) or from any application from which any of the applications referred to in paragraphs (1), (2) and (3) claim priority in all countries and regions under the Paris Convention for the Protection of Industrial Property, the WTO GATT TRIPS Agreement, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application is a provisional patent application, under 35 USC 119(e);

- (5) all continuations, continuations-in-part, and divisionals of any United States patent application(s) or international patent application(s) designating the United States, any national stages of any international application(s), and any other patent application(s) described in paragraphs (1) through (4) hereof, including further continuations, continuations-in-part, and divisionals such as, but not limited to, continuations of continuations and continuations of divisionals;
- (6) all patents, utility models, or other grants that issue from any of the applications referred to herein and all rights and remedies associated therewith including the right to sue for and recover past damages and to recover under 35 U.S.C. § 154 (d) or any other law permitting remedies for infringement prior to issuance of the patent;
- (7) all registrations and confirmations of, and importation certificates based upon, one or more of said patents, utility models, or other grants, and applications for such registrations, confirmations and importation certificates and;
- (8) all reissues, renewals and extensions of said patents, utility models, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE to the full ends of the terms for which said patents, utility models, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this sale, assignment and transfer had not been made.

I/We the Inventor(s) hereby authorize ASSIGNEE and their representatives to insert in paragraph (1) of this Assignment the filing date(s) and Application Number(s) of said patent, utility model, or other application(s) when notified thereof.

I/We the Inventor(s) hereby covenant and agree that I/We will, at any time, (i) upon the request, but at the expense, of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, applications, patents, utility models, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates, and applications within the scope of (7) and (8), in ASSIGNEE, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEE execute all additional applications within the scope of paragraphs (1) through (6) and all applications within the scope of (7) or (8), and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE.

Should any provision of this Assignment be deemed invalid or unenforceable by reason of any law, statute, regulation or judgment, existing now or in the future in any jurisdiction, such

provision shall be modified in such jurisdiction so as to nearly approximate the intent of the Parties. If this cannot be done, such invalid or unenforceable provision shall be divisible and be deleted in any such jurisdiction, and all other provisions shall remain in full force and effect. The modification or deletion of any provision in one jurisdiction shall have no effect on this Assignment in any other jurisdiction.

This Assignment shall be governed by the laws of the state of Delaware.

This Assignment is effective as from the earliest priority date as stated above.

Executed this _// day of	, 2014.
BYChristine Hiu-Fung CHEN	L.S.
Witnessed by:	
Name: BEANT SWEENER	Name: Helen Kim
Address: 220 Massachusetts Ave.	Address: 220 Massachusetts Ave.
Cambridge, MA 02139	Cambridge, MA 02139
Executed this 22/14 day of 1/164	, 2014.
BY	L.S.
Zhuoliang CHEN	
Witnessed by:	
	<u> Hudi</u>
Name: Book Sweener	Name: Helen Kim
Address: 220 Massachusetts Ave.	Address: 220 Massachusetts Ave.

Cambridge, MA 02139

Cambridge, MA 02139

Executed this day of	<u>Че</u> ., 2014.
BY A A A Michael DORE	L.S.
Witnessed by:	
Name: Koh en Sween Sween Address: 220 Massachusetts Ave. Cambridge, MA 02139	Name: IKICA KAN Address: 220 Massachusetts Ave. Cambridge, MA 02139
Executed this <u>20</u> day of <u>Nay</u>	, 2014.
BY Source Sarcia FORTANET	L.S.
Witnessed by:	
Name: Robert Sweakey. Address: 220 Massachusetts Ave. Cambridge, MA 02139	Name: Lkien Kim Address: 220 Massachusetts Ave. Cambridge, MA 02139
Executed this <u>/</u> day of <u>MAY</u>	, 2014.
BY <u>YAL KOYL</u> Rajesh KARKI	L.S.
Witnessed by:	
Name: Koをハイ Sweavell Address: 220 Massachusetts Ave.	Name: Alen Kina Address: 220 Massachusetts Ave.
Cambridge, MA 02139	Cambridge, MA 02139

Executed this /  day of /	, 2014.
BYMitsunori KATO	IS.
Witnessed by:	
Name: Alan Sirving Address: 220 Massachusetts Ave. Cambridge, MA 02139	Name: Helen Kivn Address: 220 Massachusetts Ave. Cambridge, MA 02139
Executed this day of	, 2014.
BY COLLAND SOLVE Matthew J. LAMARCHE	L.S.
Witnessed by:	
Name: Address: 220 Massachusetts Ave Cambridge, MA 02139	Name: King King Address: 220 Massachusetts Ave. Cambridge, MA 02139
Executed this 16 day of May	, 2014.
BY Janua Blas Peres Lawrence Blas PEREZ	L.S.
Witnessed by:	
Name: As a series of the Address: 220 Massachusetts Ave.	Name: (4) (A) K/M Address: 220 Massachusetts Ave.
Cambridge, MA 02139	Cambridge, MA 02139

Executed this _/S day of	, 2014.
BY Troy D. SMITH	L.S.
Witnessed by:  Name  Name  Address: 220 Massachusetts Ave.  Cambridge, MA 02139	Name: Address: 220 Massachusetts Ave. Cambridge, MA 02139
Executed this 6 day of MOU  BY	, 2014. L.S.
Name: ≪ Sach Williams  Name: ≪ Sach Williams  Address: 220 Massachusetts Ave. Cambridge, MA 02139	Name: Hill Kin Address: 220 Massachusetts Ave. Cambridge, MA 02139

# NOVARTIS INSTITUTES FOR BIOMEDICAL RESEARCH INC.

day of MA Executed this 2

L.S.

Title: Authorized Signatory

Witnessed by:

Name: Robert Bureauli Name: HURN Kim

Address: 220 Massachusetts Ave. Address: 220 Massachusetts Ave. Cambridge, MA 02139

Cambridge, MA 02139