

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ZHANLING CHENG	08/24/2015
XINGCHUN HAN	08/24/2015
CHUNGEN LIANG	08/24/2015
SONG YANG	08/24/2015
RECEIVING PARTY DATA	
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Street Address:	BUILDING 5, NO. 720, CAILUN ROAD
City:	SHANGHAI
State/Country:	CHINA
Postal Code:	201203
PROPERTY NUMBERS Total: 2	
Property Type	Number
PCT Number:	CN2015084601
Application Number:	15866954
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SIGNATURE:	/Brian L. Buckwalter/
DATE SIGNED:	05/29/2019
Total Attachments: 5	
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P32977-100

Inventor → R.R. REC

公 证 书

中华人民共和国上海市东方公证处

PATENT

REEL: 049309 FRAME: 0810

ASSIGNMENT AGREEMENT

I/We, the undersigned

Inventor(s)

Zhanling CHENG, a Chinese citizen
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Employed by:

Roche R&D Center (China) Ltd

Roche R&D Center (China) Ltd

Roche R&D Center (China) Ltd

Roche R&D Center (China) Ltd

hereinafter ASSIGNOR(S), herewith declare that I am/we are the sole inventor(s)

of the invention entitled:

NOVEL TRICYCLIC 4-PYRIDONE-3-CARBOXYLIC ACID DERIVATIVES FOR THE TREATMENT AND PROPHYLAXIS OF HEPATITIS B VIRUS INFECTION

for which (a) priority application(s) was (were) filed with the

Patent Office
State Intellectual Property Office of the People's Republic of China

as outlined below:

Case No.	Country	Filing Date	Serial No.
P32977	WO	21 July 2015	PCT/CN2015/084601

ASSIGNOR(S) acknowledge(s) the previous transfer to

Roche R&D Center (China) Ltd.
Building 5, No. 720, Cailun Road
Shanghai, 201203
China

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the inventions disclosed in the priority application(s) set forth above by operation of law, contract, or otherwise.

As far as right, title, and interest of the ASSIGNOR(S) in, to, and deriving from the inventions disclosed in the patent application(s) set forth above may not have been transferred to ASSIGNEE, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all right, title, and interest in, to, and deriving from the invention and to the above priority application(s), including the right to claim priority.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

ASSIGNOR(S)

Shanghai
Place, Date

Zhanling Cheng 程占玲
Zhanling CHENG

Shanghai, Aug 24, 2015
Place, Date

Xingchun Han 韩兴春
Xingchun HAN

Shanghai, Aug. 24, 2015
Place, Date

Chungen Liang 梁春根
Chungen LIANG

Shanghai, Aug. 24, 2015
Place, Date

Song Yang 杨松
Song YANG

ASSIGNEE

Roche R&D Center (China) Ltd.

Shanghai Aug. 26, 2015
Place, Date

Yan Jun 颜俊
Yan JUN

公 证 书

(2015)沪东证外字第 62709 号

申请人：程战领，男，一九七九年十月十九日出生
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法定代表人：ALEXANDER DAVID HARDY
委托代理人：朱颖先，男，一九七六年四月三十日出生
公民身份号码：320525197604303012

公证事项：签名

兹证明程战领、韩兴春、梁春根、杨松于二〇一五年八月二十四日，罗氏研发（中国）有限公司的委托代理人朱颖先于二〇一五年八月二十六日在上海市，在前面的外文文件上签名，该签名行为属实。

中华人民共和国上海市东方公证处

公证员

沈宇明

二〇一五年九月二十日



65729907

PATENT

REEL: 049309 FRAME: 0813

Translation

NOTARIAL CERTIFICATE

(2015)H.D.Z.W.Z.No.62709

Applicant:

CHENG Zhanling, male, born on October 19, 1979

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HAN Xingchun, male, born on September 10, 1975

Citizen ID No.: 220302197509100218

LIANG Chungeng, male, born on March 16, 1970

Citizen ID No.: 36011119700316005X

YANG Song, male, born on February 10, 1975

Citizen ID No.: 340304197502105016

Roche R&D Center (China) Ltd.

Domicile: No. 5, Lane 720, Cailun Rd., Zhangjiang High-tech Park, Shanghai

Legal Representative: ALEXANDER DAVID HARDY

Entrusted Agent: ZHU Yingxian, male, born on April 30, 1976

Citizen ID No.: 320525197604303012

Notarized Matter: Signature

This is to certify that CHENG Zhanling, HAN Xingchun, LIANG Chungeng, and YANG Song on August 24, 2015 and ZHU Yingxian who is the entrusted agent of Roche R&D Center (China) Ltd. on August 26, 2015 affixed signatures to the foregoing foreign language document in Shanghai and the signatures are genuine.

SHEN Yuming (Seal)

Notary Public

The People's Republic of China

Shanghai Oriental Notary Public Office

(Seal)

September 20, 2015

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