#### 505516222 06/10/2019

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5563027

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
KANG LE	05/15/2019
MICHAEL J. SOTH	05/15/2019
GANG LIU	05/24/2019
PHILIP JONES	05/01/2019
JASON BRYANT CROSS	05/15/2019
TIMOTHY JOSEPH MCAFOOS	05/15/2019
CHRISTOPHER L. CARROLL	05/15/2019
RICHARD T. LEWIS	05/15/2019

### **RECEIVING PARTY DATA**

Name:	BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM
Street Address:	210 WEST 7TH ST.
City:	AUSTIN
State/Country:	TEXAS
Postal Code:	78701

#### **PROPERTY NUMBERS Total: 2**

Property Type	Number
Application Number:	16378309
PCT Number:	US2019026379

## **CORRESPONDENCE DATA**

Fax Number: (314)685-2300

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 314-812-8020

Email: admin@globalpatentgroup.com **GLOBAL PATENT GROUP Correspondent Name:** Address Line 1: CARRETERA #3 KM. 19.9

Address Line 2: MEDICAL AND PROFESSIONAL CENTER

Address Line 4: CANOVANAS, PUERTO RICO 00729

ATTORNEY DOCKET NUMBER: MDA0033-201-US DENNIS A. BENNETT NAME OF SUBMITTER:

PAIENI REEL: 049417 FRAME: 0115 505516222

SIGNATURE:	/Dennis A. Bennett/
DATE SIGNED:	06/10/2019
Total Attachments: 8	
source=Executed Assignment#page1.tif	
source=Executed Assignment#page2.tif	
source=Executed Assignment#page3.tif	
source=Executed Assignment#page4.tif	
source=Executed Assignment#page5.tif	
source=Executed Assignment#page6.tif	
source=Executed Assignment#page7.tif	
source=Executed Assignment#page8.tif	

PATENT REEL: 049417 FRAME: 0116

#### ASSIGNMENT

We, Kang LE, Michael J. SOTH, Gang LIU, Philip JONES, Jason Bryant CROSS, Timothy Joseph MCAFOOS, Christopher L. CARROLL, and Richard T. LEWIS, a citizen of the United Kingdom have invented IMIDAZOPIPERAZINONE INHIBITORS OF TRANSCRIPTION ACTIVATING PROTEINS. This is an Assignment of the following rights, title and interest (check all that apply):

$\boxtimes$	United States of America rights, title and interest in the invention
$\boxtimes$	Foreign rights, title and interest in the invention
	An application to the Commissioner for Patents of the United States of America filed
her	rewith
	United States Provisional Patent Application Serial No. filed on
$\boxtimes$	United States Patent Application Serial No. 16/378,309 filed on 08-Apr-2019
	United States Patent No(s).
$\boxtimes$	International (PCT) Patent Application Serial No. PCT/US2019/026379 filed on 08-Apr-
201	19
	Other (specify):

We were employed by The University of Texas M. D. Anderson Cancer, a State Institution of Higher Education established under the laws of the State of Texas within The University of Texas System, at the time the invention was made. The conditions under which said invention was made are such that the Board of Regents, The University of Texas System (hereinafter SYSTEM), having a place of business at 210 West 7<sup>th</sup> St., Austin, TX 78701, owns the entire right, title, and interest in the invention, in the United States and all other countries throughout the world.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we, the undersigned, have voluntarily sold, assigned, and transferred and do voluntarily sell, assign, and transfer to SYSTEM, and successors and assigns, our full and exclusive right, title and interest in and to the invention(s) disclosed in said application throughout the world, including the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in said application. This includes an assignment of all Letters Patent that may be granted on the invention in the United States of America and all countries throughout the world, and any divisional, renewal, continuation in whole or in part, substitution, conversion, reexamination, reissue, prolongation or extension thereof; and the right to claim priority from the patent application as provided for by United States law, the Patent Cooperation Treaty, the European Patent Convention, the Paris Convention, or other applicable law.

We have authorized SYSTEM to file and prosecute this patent application, as well as any corresponding international or national applications that claim priority from it. SYSTEM has the right to select attorneys or agents of its choice to prosecute at its discretion these applications on its behalf. We authorize and request the issuance of said Letters Patent to SYSTEM, as assignee of the entire right, title, and interest to be held as fully and entirely as the same would have been held by us had this assignment not been made.

We grant SYSTEM, the power to insert on this Assignment any further information that may be

PATENT REEL: 049417 FRAME: 0117 necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

We warrant that there are no outstanding assignments, grants, liens, encumbrances, or agreements either written, oral, or implied that will impair, diminish, limit, or abridge the interest herein conveyed at the time of the execution of the present assignment. We shall not communicate nor cooperate in any manner with any third party, other than SYSTEM, that claims any rights in and to said invention(s) and said application.

We also hereby assign all rights, title and interest to any improvements related to said invention(s) to SYSTEM and agree to promptly and fully communicate to SYSTEM any facts and information known to us respecting any improvements related to said invention(s) and said application while any patent application anywhere in the world is still pending that claims priority, whether directly or indirectly, from said invention(s) or said application(s). We will maintain any such facts and information in confidence and will only reveal such facts and information to SYSTEM or its assigns.

We also covenant that we will communicate to SYSTEM, its representatives, assigns or agents, any facts known to us respecting said invention(s) or said application(s), and to testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths, provide all requested documents, and do everything reasonably possible to aid SYSTEM and its assigns to obtain and enforce proper patent protection for said invention(s) or said application(s) in the United States or any foreign country. These provisions are binding upon our heirs, legal representatives, administrators and assigns.

We grant SYSTEM, the power to insert on this Assignment any further information that may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

IN TESTIMONY WHEREOF, Assignor	s have signed their names on the dates indicated.
Dated: 5/15/2019	
STATE OF TEXA)	Kang LE
COUNTY OF Hawis ) ss.	
	hefore me personally came the above-named Kang LE, in my presence, and who acknowledged to me that it will for the purposes set forth therein.  Notary Public for Texas  My commission expires: 7-11-1072
IN TESTIMONY WHEREOF, Assignors  Dated: 5/15/2019	s have signed their names on the dates indicated.
STATE OF TAXAS	Michael J. SOTH
COUNTY OF Howis ) ss.	
J. SOTH, who executed the foregoing As	Notary Public for Twos  My commission expires: 1-11-2022
	//////////////////////////////////////

Dated: 5/24/2019  STATE OF 12845  COUNTY OF 1-1. Bend.	Gang LIU
This Aday of May 20/ LIU, who executed the foregoing Assignment it was executed under that person's own free	* A
[SEAL]  CHEUK KUEN CHUNG Notary ID ±129768796 My Commission Expires March 31, 2022  INITESTIMONIX WILLIAMS A calculation of the	Notary Public for /e ? 4 5  My commission expires: 3/3/2 22
Dated:	ave signed their names on the dates indicated.
STATE OF) ss.  COUNTY OF)	Philip JONES
This day of 20_, before me personally came the above-named Philip JONES, who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein.	
	Notary Public for
[SEAL]	My commission expires:

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: STATE OF Gang LIU COUNTY OF This day of 20 , before me personally came the above-named Gang LIU, who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein. Notary Public for S My commission expires: IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated. STATE OF Texas Philip JONES COUNTY OF Harris This I day of May 20}4, before me personally came the above-named Philip JONES, who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein. Notary Publicator Texas DANIEL ORTIZ Notary Public, State of Texas 10 # 131642828 Commission Expires 07-11-2073 My commission expires: ユーバー とのこと NOTARY WITHOUT BOND

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.
Dated: 5/15/2019 Q. 2-1C-
STATE OF Teyas Jason Bryant CROSS
COUNTY OF Harris
This \5 day of \\ \text{25} \\ 201\text{Q}, before me personally came the above-named Jason Bryant CROSS, who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein.    DANIEL ORITE   Notary Public for   Toko
IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.  Dated: 5/15/2019
STATE OF Texas Timothy Joseph MCAFOOS
COUNTY OF Homs
This 15 day of May 2018, before me personally came the above-named Timothy Joseph MCAFOOS, who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein.
OANIEL ORTIZ  OANIEL ORTIZ  Notary Public for Tr/a.>  Notary Public for Tr/a.>  Notary Public for Tr/a.>  My commission expires: 4-11-2019

IN TESTIMONY WHEREOF, Assignors	have signed their names on the dates indicated.
Dated: 5/15/2019	
STATE OF TYSS ) ss.	Christopher L. CARROLL
COUNTY OF Harris	
	2012, before me personally came the above-named the foregoing Assignment in my presence, and who under that person's own free will for the purposes set Notary Publicator Texas  My commission expires: 2-11-2022
IN TESTIMONY WHEREOF, Assignors I	nave signed their names on the dates indicated.
STATE OF TYXIS	Richard T. LEWIS
COUNTY OF Havis	
T. LEWIS, who executed the foregoing A	before me personally came the above-named Richard ssignment in my presence, and who acknowledged to own free will for the purposes set forth therein.  Notary Public for

IN TESTIMONY WHEREOF, a representative of **SYSTEM** has signed their name on the date indicated, acknowledging acceptance of the transfer.

Dated: JULY 2019

Assignee: Board of Regents, The University of Texas System

Name of representative: Marc Vockell

Title: Assistant General Counsel

COUNTY OF TRAVIS

STATE OF TEXAS

This day of June 2018, before me personally came the above-named Marc Vockell, who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein.

Notarian Canadan Officer

Notary or Consular Office

[SEAL]

MARCELLA TRANT
Notary Public
Notary ID # 13200563-0
State of Texas
Comm. Exp. 05/01/2023

NOTARY WITHOUT BOND