

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT5589703

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
DYLAN BODAY	11/30/2017
WILLY CHIN	12/01/2017
MAREVA B. FEVRE	11/27/2017
JEANNETTE GARCIA	11/27/2017
JAMES L. HEDRICK	11/27/2017
EUNICE LEONG	11/17/2017
NATHANIEL H. PARK	11/22/2017
RUDY J. WOJTECKI	11/27/2017
YI YAN YANG	11/17/2017
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	INTERNATIONAL BUSINESS MACHINES CORPORATION
<b>Street Address:</b>	NEW ORCHARD ROAD
<b>City:</b>	ARMONK
<b>State/Country:</b>	NEW YORK
<b>Postal Code:</b>	10504
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	16451489
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Email:</b>	PTOCcommunications@hoffmanwarnick.com, mmathews@hoffmanwarnick.com
<b>Correspondent Name:</b>	HOFFMAN WARNICK LLC
<b>Address Line 1:</b>	540 BROADWAY
<b>Address Line 2:</b>	4TH FLOOR
<b>Address Line 4:</b>	ALBANY, NEW YORK 12207
<b>ATTORNEY DOCKET NUMBER:</b>	YOR820162296US02
<b>NAME OF SUBMITTER:</b>	STEPHEN F. SWINTON

<b>SIGNATURE:</b>	/Stephen F. Swinton/
<b>DATE SIGNED:</b>	06/25/2019
	This document serves as an Oath/Declaration (37 CFR 1.63).

**Total Attachments: 20**

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**DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT**

Title of Invention: **BLOCK COPOLYMERS AND SELF-ASSEMBLING NANOPARTICLES FORMED THEREFROM**

As a below named and undersigned inventor, I hereby declare that:

This declaration is directed to the attached application, or (if following box is checked):

United States application or PCT international application number \_\_\_\_\_  
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Whereas, I ("ASSIGNOR") have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;

Whereas, International Business Machines Corporation (herein referred to as the "ASSIGNEE"), a corporation of New York having a place of business at Armonk, New York, desires to acquire, and I desire to grant to the ASSIGNEE, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns, whenever requested by the ASSIGNEE, its successors, legal representatives, or assigns.

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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1) Legal Name of Inventor: **Dylan Boday**

Signature:  Date: 11-30-17

(2) Legal Name of Inventor: **Willy Chin**

Signature:  Date: 1-DEC-2017

(3) Legal Name of Inventor: **Mareva B. Fevre**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

(4) Legal Name of Inventor: **Jeannette Garcia**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

(5) Legal Name of Inventor: **James L. Hedrick**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

IBM DOCKET NUMBER: YOR820162296US01

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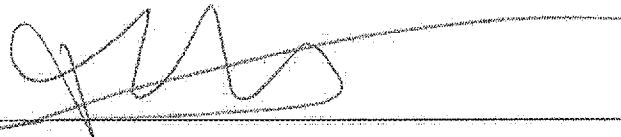
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Signature:  \_\_\_\_\_ Date: 11/29/2017

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Signature: James L Hedrick Date: 11/27/17

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Signature: \_\_\_\_\_ Date: \_\_\_\_\_

(6) Legal Name of Inventor: Eunice Leong

Signature:  Date: Nov 17, 2017

(7) Legal Name of Inventor: Nathaniel H. Park

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

(8) Legal Name of Inventor: Rudy J. Wojtecki

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

(9) Legal Name of Inventor: Yi Yan Yang

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1) Legal Name of Inventor: **Dylan Boday**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

(2) Legal Name of Inventor: **Willy Chin**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

(3) Legal Name of Inventor: **Mareva B. Fevre**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

(4) Legal Name of Inventor: **Jeannette Garcia**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_


(5) Legal Name of Inventor: **James L. Hedrick**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

(6) Legal Name of Inventor: Eunice Leong

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

(7) Legal Name of Inventor: Nathaniel H. Park

Signature:  \_\_\_\_\_ Date: 11/22/2017

(8) Legal Name of Inventor: Rudy J. Wojtecki

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

(9) Legal Name of Inventor: Yi Yan Yang

Signature: \_\_\_\_\_ Date: \_\_\_\_\_



**DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT**

Title of Invention: **BLOCK COPOLYMERS AND SELF-ASSEMBLING NANOPARTICLES FORMED THEREFROM**

As a below named and undersigned inventor, I hereby declare that:

This declaration is directed to the attached application, or (if following box is checked):

United States application or PCT international application number \_\_\_\_\_  
filed on \_\_\_\_\_.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application, including the claims.

I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.

Whereas, I ("ASSIGNOR") have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;

Whereas, International Business Machines Corporation (herein referred to as the "ASSIGNEE"), a corporation of New York having a place of business at Armonk, New York, desires to acquire, and I desire to grant to the ASSIGNEE, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns, whenever requested by the ASSIGNEE, its successors, legal representatives, or assigns.

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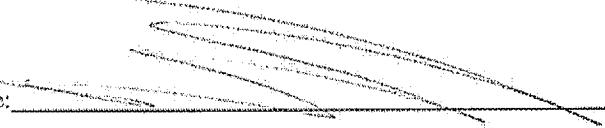
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(7) Legal Name of Inventor: Nathaniel H. Park

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

(8) Legal Name of Inventor: Rudy J. Wojtecki

Signature:  \_\_\_\_\_ Date: 11/27/17

(9) Legal Name of Inventor: Yi Yan Yang

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

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Signature: \_\_\_\_\_ Date: \_\_\_\_\_

(9) Legal Name of Inventor: Yi Yan Yang

Signature: *Yi Yan Yang* Date: November 17, 2017