505561058 07/08/2019

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5607855

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
ARSHIT GUPTA	06/27/2019
PENG ZHANG	06/21/2019
RASHMI GANGADHARAIAH	06/26/2019
GARIMA LALWANI	06/24/2019
ROGER SCOTT JENKE	06/21/2019
HASSAN SAWAF	06/24/2019
MONA DIAB	06/24/2019
KATRIN KIRCHHOFF	06/21/2019
ADEL A. YOUSSEF	06/26/2019
KALPESH N. SUTARIA	06/21/2019

RECEIVING PARTY DATA

Name:	AMAZON TECHNOLOGIES, INC.
Street Address:	PO BOX 81226
City:	SEATTLE
State/Country:	WASHINGTON
Postal Code:	98108-1226

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	16455165

CORRESPONDENCE DATA

Fax Number: (408)675-0442

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 408-675-0441

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ATTORNEY DOCKET NUMBER: 1030P64616US

PATENT 505561058 REEL: 049692 FRAME: 0178

NAME OF SUBMITTER:	ERIC L. SELLARS
SIGNATURE:	/EricLSellars/
DATE SIGNED:	07/08/2019
Total Attachments: 14	

source=2019-07-08 P64616-US01 (1030P64616US) - Assignment#page1.tif source=2019-07-08 P64616-US01 (1030P64616US) - Assignment#page2.tif source=2019-07-08 P64616-US01 (1030P64616US) - Assignment#page3.tif source=2019-07-08 P64616-US01 (1030P64616US) - Assignment#page4.tif source=2019-07-08 P64616-US01 (1030P64616US) - Assignment#page5.tif source=2019-07-08 P64616-US01 (1030P64616US) - Assignment#page6.tif source=2019-07-08 P64616-US01 (1030P64616US) - Assignment#page7.tif source=2019-07-08 P64616-US01 (1030P64616US) - Assignment#page8.tif source=2019-07-08 P64616-US01 (1030P64616US) - Assignment#page9.tif source=2019-07-08 P64616-US01 (1030P64616US) - Assignment#page10.tif source=2019-07-08 P64616-US01 (1030P64616US) - Assignment#page11.tif source=2019-07-08 P64616-US01 (1030P64616US) - Assignment#page12.tif source=2019-07-08 P64616-US01 (1030P64616US) - Assignment#page13.tif source=2019-07-08 P64616-US01 (1030P64616US) - Assignment#page14.tif

> **PATENT** REEL: 049692 FRAME: 0179

U.S. Patent Appln. No. <u>16/455,165</u> Attorney Docket No. 1030P64616US Filing Date 6/27/2019 Client Docket No. P64616-US01 **PARTIES TO THE ASSIGNMENT** Assignor(s): **Hassan Sawaf** Arshit Gupta 410 Terry Avenue North 410 Terry Avenue North Seattle, WA 98109-5210 Seattle, WA 98109-5210 Peng Zhang **Mona Diab** 410 Terry Avenue North 410 Terry Avenue North Seattle, WA 98109-5210 Seattle, WA 98109-5210 Rashmi Gangadharaiah **Katrin Kirchhoff** 410 Terry Avenue North 410 Terry Avenue North Seattle, WA 98109-5210 Seattle, WA 98109-5210 Garima Lalwani Adel A. Youssef 410 Terry Avenue North 410 Terry Avenue North Seattle, WA 98109-5210 Seattle, WA 98109-5210 **Roger Scott Jenke** Kalpesh N. Sutaria 410 Terry Avenue North 410 Terry Avenue North Seattle, WA 98109-5210 Seattle, WA 98109-5210 Assignee: Amazon Technologies, Inc. PO Box 81226 Seattle, WA 98108-1226 **AGREEMENT** WHEREAS, ASSIGNOR(S) (listed above) are inventor(s) of an invention entitled "CONTEXTUAL NATURAL LANGUAGE UNDERSTANDING FOR CONVERSATIONAL AGENTS" (Invention) for which a non-provisional application for United States Letters Patent (Application): was filed on ______; or

Page 1 of 14

for recordation.

will be filed concurrently with the submission of this executed PATENT ASSIGNMENT

PATENT REEL: 049692 FRAME: 0180

U.S. Patent Appln. No	Attorney Docket No. <u>1030P64616US</u>
Filing Date	Client Docket No. <u>P64616-US01</u>
Title of Invention: <u>CONTEXTUAL NATURAL LANG</u> AGENTS	UAGE UNDERSTANDING FOR CONVERSATIONAL

Inventors: Arshit Gupta, Peng Zhang, Rashmi Gangadharaiah, Garima Lalwani, Roger Scott Jenke, Hassan Sawaf, Mona Diab, Katrin Kirchhoff, Adel A. Youssef, Kalpesh N. Sutaria

ASSIGNOR(S) hereby authorizes and requests ASSIGNEE'S legal representatives, of
Nicholson Devos Webster & Elliott LLP, associated with Customer No. 148241, to insert in the
header above and here in parentheses (U.S. Patent Application No. 16/455,165, filed 6/27/2019) this application's U.S. application number and filing date, when known.

WHEREAS, ASSIGNEE, a corporation of the State of Nevada, is desirous of acquiring the entire right, title and interest in and to the Invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S) hereby sell, assign and transfer unto ASSIGNEE its successors and assigns, the entire right, title and interest in and to said Invention and any improvements thereto, said Application and any and all letters patent which may be granted for said Invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all provisional, divisions, reissues, re-examinations and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said Application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said Invention, Application and all letters patent on said Invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNOR(S) had this assignment, transfer and sale not been made. ASSIGNOR(S) hereby authorize and request the Commissioner of Patents and Trademarks, as well as all other applicable patent offices throughout the world, to

Page 2 of 14

U.S. Patent Appln. No. _____

PATENT ASSIGNMENT AND DECLARATION (37 CFR 1.63)

Attorney Docket No. 1030P64616US

Filing Date _____ Client Docket No. <u>P64616-US01</u>

Title of Invention: CONTEXTUAL NATURAL LANGUAGE UNDERSTANDING FOR CONVERSATIONAL

<u>AGENTS</u>

Inventors: Arshit Gupta, Peng Zhang, Rashmi Gangadharaiah, Garima Lalwani, Roger Scott Jenke, Hassan Sawaf, Mona Diab, Katrin Kirchhoff, Adel A. Youssef, Kalpesh N. Sutaria

issue all letters patent on said Invention to ASSIGNEE. ASSIGNOR(S) agree to execute all

instruments and documents required for the making and prosecution of applications for United

States and foreign letters patent on said Invention, for litigation regarding said letters patent, or

for the purpose of protecting title to said Invention or letters patent therefor.

AND ASSIGNOR(S) DOES HEREBY sell, assign, transfer, and convey to ASSIGNEE, its

successors, legal representatives, and assigns all claims for damages and all remedies arising out

of any violation of the rights assigned hereby that may have accrued prior to the date of

assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue

for, collect, and retain damages for past infringements of said letters patent before or after

issuance.

AND ASSIGNOR(S) DOES HEREBY covenant and agree that ASSIGNOR(S) will communicate

to ASSIGNEE, its successors, legal representatives and assigns, any facts known to ASSIGNOR

respecting the Invention or said Application, and testify in any legal proceeding, assist in the

preparation of any other patent property relating to the Application and the Invention or any

improvements made thereto, sign/execute all lawful papers, provide all requested documents,

execute and make all rightful oaths and/or declarations in connection with the Application and

the Invention including any improvements made thereto, any patent applications filed therefrom,

and any continuing application filed from any of the aforementioned applications, and generally

do everything possible to aid ASSIGNEE, its successors, legal representatives and assigns, to obtain

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PATENT REEL: 049692 FRAME: 0182

U.S. Patent Appln. No	Attorney Dock	et No. <u>1030P64616US</u>
Filing Date	Client Do	cket No. <u>P64616-US01</u>
Title of Invention: <u>CONTEX</u> <u>AGENTS</u>	(TUAL NATURAL LANGUAGE UNDERSTANDING FO	R CONVERSATIONAL
	Peng Zhang, Rashmi Gangadharaiah, Garima Lalv na Diab, Katrin Kirchhoff, Adel A. Youssef, Kalpe	
and enforce proper pater	nt protection for the Invention in all countries.	These provisions are
binding upon ASSIGNOR's	heirs, legal representatives, administrators, and	assigns.

U.S. Patent Appln. No	Attorney Docket No. <u>1030P64616US</u>
Filing Date	Client Docket No. <u>P64616-US01</u>
Title of Invention: CONTEXTUAL NATURAL AGENTS	LANGUAGE UNDERSTANDING FOR CONVERSATIONAL
	hmi Gangadharaiah, Garima Lalwani, Roger Scott Kirchhoff, Adel A. Youssef, Kalpesh N. Sutaria
<u>DECLARATION</u> As a below named inventor, I hereby decl	are that:
This declaration is directed to:	
the attached application; or	
United States Patent Applicat	ion number; or
PCT International Application	number filed on
The above-identified application was made	le or authorized to be made by me.
I believe that I am the original inventor or application.	an original joint inventor of a claimed Invention in the
I have reviewed and understand the cont	ents of the above-identified application, and
acknowledge the duty to disclose to the U	JS Patent & Trademark Office all information known to
me to be material to the patentability as	defined in 37 CFR 1.56.
I hereby acknowledge that any willful fals	e statement made in this declaration is punishable
under 18 USC 1001 by fine or imprisonme	ent of not more than five (5) years, or both.
Docusigned by: 1 Arshit Gupta1	
Arshit Gupta	
June 24, 2019	
Date	

U.S. Patent Appln. No		Attorney Docket No	. <u>1030P64616US</u>
Filing Date		Client Docket N	lo. <u>P64616-US01</u>
Title of Invention: <u>CONTEXTUAL NATURAL</u> <u>AGENTS</u>	LANGUAGE UND	DERSTANDING FOR CON	VERSATIONAL
Inventors: Arshit Gupta, Peng Zhang, Ras Jenke, Hassan Sawaf, Mona Diab, Katrin			
DECLARATION			
As a below named inventor, I hereby dec	lare that:		
This declaration is directed to:			
the attached application; or			
United States Patent Applicat	ion number	filed on	; or
PCT International Application	number	filed on	·
The above-identified application was made	de or authorized	to be made by me.	
I believe that I am the original inventor o application.	r an original joint	t inventor of a claimed	Invention in the
I have reviewed and understand the cont	ents of the abov	e-identified applicatior	n, and
acknowledge the duty to disclose to the	US Patent & Trad	lemark Office all inform	nation known to
me to be material to the patentability as	defined in 37 CF	R 1.56.	
I hereby acknowledge that any willful fals	se statement ma	de in this declaration is	nunishahle
under 18 USC 1001 by fine or imprisonme			
DocuSigned by: // Pung Eliang/			
Peng Zhang			
June 21, 2019			
Date			

Page 6 of 14

U.S. Patent Appln. No		Attorney Docket No.	. <u>1030P64616US</u>
Filing Date		Client Docket N	o. <u>P64616-US01</u>
Title of Invention: <u>CONTEXTUAL NATURAL</u> <u>AGENTS</u>	<u>. LANGUAGE UN</u>	DERSTANDING FOR CON	VERSATIONAL
Inventors: Arshit Gupta, Peng Zhang, Ras Jenke, Hassan Sawaf, Mona Diab, Katrin	_		-
<u>DECLARATION</u>			
As a below named inventor, I hereby dec	lare that:		
This declaration is directed to:			
igstyle the attached application; or			
United States Patent Applicat	ion number	filed on	; or
PCT International Application	number	filed on	<u>_</u> .
The above-identified application was made	de or authorized	I to be made by me.	
I believe that I am the original inventor of application.	r an original join	t inventor of a claimed I	nvention in the
I have reviewed and understand the cont	ents of the abov	/e-identified application	, and
acknowledge the duty to disclose to the U	JS Patent & Tra	demark Office all inform	ation known to
me to be material to the patentability as	defined in 37 CF	R 1.56.	
I hereby acknowledge that any willful fals	se statement ma	ide in this declaration is	punishable
under 18 USC 1001 by fine or imprisonme			
Docusigned by: / Kashmi Ganzadharaiah/			
Rashmi Gangadharaiah			
June 26, 2019			
Date			

J.S. Patent Appln. No	Attorney Docket No. <u>1030P64616US</u>
Filing Date	Client Docket No. <u>P64616-US01</u>
Fitle of Invention: <u>CONTEXTUAL NATURA</u> <u>AGENTS</u>	L LANGUAGE UNDERSTANDING FOR CONVERSATIONAL
	shmi Gangadharaiah, Garima Lalwani, Roger Scott n Kirchhoff, Adel A. Youssef, Kalpesh N. Sutaria
<u>DECLARATION</u>	
As a below named inventor, I hereby dec	clare that:
This declaration is directed to:	
igoremskip the attached application; or	
United States Patent Applica	tion number filed on; or
PCT International Application	n number filed on
The above-identified application was ma	de or authorized to be made by me.
believe that I am the original inventor o	or an original joint inventor of a claimed Invention in the
have reviewed and understand the con	tents of the above-identified application, and
acknowledge the duty to disclose to the	US Patent & Trademark Office all information known to
me to be material to the patentability as	defined in 37 CFR 1.56.
hereby acknowledge that any willful fal	se statement made in this declaration is punishable
under 18 USC 1001 by fine or imprisonm	ent of not more than five (5) years, or both.
Docusigned by: 1 GaYima Lalwani/ 3255EC448A55478	
Garima Lalwani	
June 24, 2019	_
Date	

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0.5. Patent Appin. No	Attorney Docket No. 1030P6461605
Filing Date	Client Docket No. <u>P64616-US01</u>
Title of Invention: <u>CONTEXTUAL NATURAL</u> <u>AGENTS</u>	LANGUAGE UNDERSTANDING FOR CONVERSATIONAL
	shmi Gangadharaiah, Garima Lalwani, Roger Scott Kirchhoff, Adel A. Youssef, Kalpesh N. Sutaria
DECLARATION	
As a below named inventor, I hereby dec	lare that:
This declaration is directed to:	
igotimes the attached application; or	
United States Patent Applicat	ion number filed on; or
PCT International Application	number filed on
The above-identified application was made	de or authorized to be made by me.
I believe that I am the original inventor o application.	r an original joint inventor of a claimed Invention in the
I have reviewed and understand the cont	ents of the above-identified application, and
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me to be material to the patentability as	defined in 37 CFR 1.56.
I hereby acknowledge that any willful fals	se statement made in this declaration is punishable
under 18 USC 1001 by fine or imprisonme	ent of not more than five (5) years, or both.
Docusigned by: /Roger Scott Jenker	
Noger Scott Jenke	
June 21, 2019	
Date	
June 21, 2019 Date	

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U.S. Patent Appln. No		Attorney Docket No.	. <u>1030P64616US</u>
Filing Date		Client Docket N	o. <u>P64616-US01</u>
Title of Invention: <u>CONTEXTUAL NATURAL</u> <u>AGENTS</u>	. LANGUAGE UNE	DERSTANDING FOR CON	VERSATIONAL
Inventors: Arshit Gupta, Peng Zhang, Ras Jenke, Hassan Sawaf, Mona Diab, Katrin			_
<u>DECLARATION</u>			
As a below named inventor, I hereby dec	lare that:		
This declaration is directed to:			
igstyle igstyle igstyle the attached application; or			
United States Patent Applicat	ion number	filed on	; or
PCT International Application	number	filed on	<u>_</u> ·
The above-identified application was mad	de or authorized	to be made by me.	
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me to be material to the patentability as	defined in 37 CF	R 1.56.	
I hereby acknowledge that any willful fals	se statement ma	de in this declaration is	punishable
under 18 USC 1001 by fine or imprisonme	ent of not more t	than five (5) years, or bo	oth.
Docusigned by: /Hassan Sawaf/			
Hassan Sawaf			
June 24, 2019 ————————————————————————————————————			
Date			

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U.S. Patent Appin. No		Attorney Docket No.	1030P64616US
Filing Date		Client Docket N	o. <u>P64616-US01</u>
Title of Invention: <u>CONTEXTUAL NATURAL I</u> <u>AGENTS</u>	LANGUAGE UND	erstanding for con	VERSATIONAL
Inventors: Arshit Gupta, Peng Zhang, Rash Jenke, Hassan Sawaf, Mona Diab, Katrin k	_		-
<u>DECLARATION</u>			
As a below named inventor, I hereby decla	re that:		
This declaration is directed to:			
igotimes the attached application; or			
United States Patent Application	on number	filed on	; or
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The above-identified application was made	e or authorized	to be made by me.	
I believe that I am the original inventor or application.	an original joint	inventor of a claimed I	nvention in the
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acknowledge the duty to disclose to the Us me to be material to the patentability as d			ation known to
I hereby acknowledge that any willful false	e statement mad	de in this declaration is	punishable
under 18 USC 1001 by fine or imprisonme	nt of not more t	han five (5) years, or bo	oth.
Mona Diabl			
C1F28CE822744E2 Mona Diab			
June 24, 2019			
Date			

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U.S. Patent Appin. No	Attorney Docket No. 1030P646160
Filing Date	Client Docket No. <u>P64616-US0</u>
Title of Invention: CONTEXTUAL NATURAL LANGUA AGENTS	AGE UNDERSTANDING FOR CONVERSATIONAL
Inventors: Arshit Gupta, Peng Zhang, Rashmi Gan Jenke, Hassan Sawaf, Mona Diab, Katrin Kirchhof	
<u>DECLARATION</u>	
As a below named inventor, I hereby declare that:	:
This declaration is directed to:	
igstyle the attached application; or	
United States Patent Application numb	ber filed on or
PCT International Application number	filed on
The above-identified application was made or autl	horized to be made by me.
I believe that I am the original inventor or an original application.	nal joint inventor of a claimed Invention in the
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I hereby acknowledge that any willful false statem	nent made in this declaration is punishable
under 18 USC 1001 by fine or imprisonment of not	t more than five (5) years, or both.
Docusigned by: /katrin kirclusff/	
Katrin Kirchhoff	
June 21, 2019	
Date	

Page 12 of 14

U.S. Patent Appin. No		Attorney Docket No.	103026461603
Filing Date		Client Docket No	o. <u>P64616-US01</u>
Title of Invention: CONTEXTUAL NATURAL LA	NGUAGE UNDI	ERSTANDING FOR CONV	'ERSATIONAL
Inventors: Arshit Gupta, Peng Zhang, Rashn Jenke, Hassan Sawaf, Mona Diab, Katrin Kii			
DECLARATION			
As a below named inventor, I hereby declare	e that:		
This declaration is directed to:			
igstyle igstyle igstyle the attached application; or			
United States Patent Application	number	filed on	; or
PCT International Application nu	mber	filed on	_·
The above-identified application was made	or authorized t	o be made by me.	
I believe that I am the original inventor or an application.	n original joint	inventor of a claimed Ir	nvention in the
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me to be material to the patentability as de	fined in 37 CFR	1.56.	
I hereby acknowledge that any willful false s	tatement mad	e in this declaration is p	ounishable
under 18 USC 1001 by fine or imprisonment	of not more th	nan five (5) years, or bo	th.
Docusigned by: 1 Adel A. Youssef1			
Adel A. Youssef			
June 26, 2019			
Date			

Page 13 of 14

RECORDED: 07/08/2019

PATENT ASSIGNMENT AND DECLARATION (37 CFR 1.63)

U.S. Patent Appln. No	Attorney Docket No. <u>1030P64616US</u>
Filing Date	Client Docket No. <u>P64616-US01</u>
Title of Invention: <u>CONTEXTUAL NATURA</u> <u>AGENTS</u>	AL LANGUAGE UNDERSTANDING FOR CONVERSATIONAL
	ashmi Gangadharaiah, Garima Lalwani, Roger Scott n Kirchhoff, Adel A. Youssef, Kalpesh N. Sutaria
DECLARATION	
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The above-identified application was ma	ade or authorized to be made by me.
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-	nent of not more than five (5) years, or both.
/Ealpesh N. Sutaria/	_
Kalpesh N. Sutaria	
June 21, 2019	_
Date	_

Page 14 of 14