

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT5615064

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
DAVID A. PUTNAM	07/01/2019
LAWRENCE BONASSAR	05/15/2019
ZHEXUN SUN	05/09/2019
RECEIVING PARTY DATA	
Name:	CORNELL UNIVERSITY
Street Address:	CENTER FOR TECHNOLOGY LICENSING ("CTL") AT CORNELL UNIVERSITY
Internal Address:	395 PINE TREE ROAD, SUITE 310
City:	ITHACA
State/Country:	NEW YORK
Postal Code:	14850
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16339474
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ATTORNEY DOCKET NUMBER:	34159 (7566-03-US)
NAME OF SUBMITTER:	XIAOCHUN ZHU
SIGNATURE:	/Xiaochun Zhu/
DATE SIGNED:	07/12/2019
Total Attachments: 4	
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Cornell University ASSIGNMENT

WHEREAS, We, **David A. PUTNAM**, a United States citizen residing at **17 Renwick Heights Road, Ithaca, New York, 14850**, **Lawrence BONASSAR**, a United States citizen residing at **5 John Street, Ithaca, New York, 14850** and **Zhexun SUN**, a United States Citizen residing at **143 Weill Hall, Ithaca, New York, 14850**, have invented certain new and useful improvements in **LUBRICATING BLOCK COPOLYMERS AND THEIR USE AS BIOMIMETIC BOUNDARY LUBRICANTS** for which PCT International Application Serial No. **PCT/US2017/055057** was filed on **October 4, 2017**, which claims priority to U.S. Serial No. **62/403,962** filed **October 4, 2016**;

AND WHEREAS, **Cornell University**, with its principal place of business at the **Center for Technology Licensing ("CTL") at Cornell University, 395 Pine Tree Road, Suite 310, Ithaca, New York 14850 USA**, is entitled to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **Cornell University**, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **Cornell University**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said **Cornell University**, its successors, legal representatives, and assigns, any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths and generally do everything possible to aid the said **Cornell University**, its successors, legal representatives, and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

Witness Signature Option

David A. Putnam
David A. PUTNAM

7/1/19
Date

First Witness Signature

Date

First Witness Printed Name

Second Witness Signature

Date

Second Witness Printed Name

Notary Option

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 1st day
of JULY, 2019

David A. Putnam
David A. PUTNAM

STATE OF NEW YORK
COUNTY OF TOMPKINS:

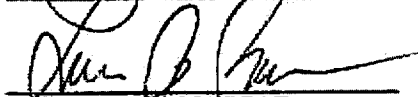
This 1st day of JULY, 2019, before me personally came the
above-named DAVID A. PUTNAM, to me personally known as the individual
who executed the same of his/her own free will for the purposes therein set forth.

Susan G. Koehl
Notary Public

Commission expires on 19 JULY 2021

SUSAN A. KOEHL
NOTARY PUBLIC-STATE OF NEW YORK
No. 01KO6027991
Qualified in Tompkins County
My Commission Expires July 19, 2021

Witness Signature Option



Lawrence BONASSAR



First Witness Signature

5/15/19

Date

5/15/19

Date

First Witness Printed Name



Second Witness Signature

5/15/19

Date

Second Witness Printed Name

Notary Option

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this ____ day
of _____, 2019

Lawrence BONASSAR

STATE OF _____ : _____
COUNTY OF _____ :

This ____ day of _____, 2019, before me personally came the
above-named _____, to me personally known as the individual
who executed the same of his/her own free will for the purposes therein set forth.

Notary Public

Commission expires on _____.

Witness Signature Option

Zhexun SUN

Date

First Witness Signature

Date

First Witness Printed Name

Second Witness Signature

Date

Second Witness Printed Name

Notary Option

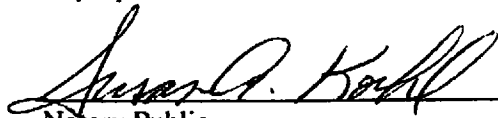
IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 9TH day
of MAY, 2019



Zhexun SUN

STATE OF NEW YORK
COUNTY OF TOMPKINS

This 9TH day of MAY, 2019, before me personally came the
above-named ZHEXUN SUN, to me personally known as the individual
who executed the same of his/her own free will for the purposes therein set forth.



Notary Public

Commission expires on 19 JULY 2021

SUSAN A. KOEHL
NOTARY PUBLIC-STATE OF NEW YORK
No. 01KO6027991
Qualified in Tompkins County
My Commission Expires July 19, 2021