

PATENT ASSIGNMENT COVER SHEET

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Stylesheet Version v1.2

EPAS ID: PAT5617341

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the 2ND ASSIGNEE'S ADDRESS TO READ AS 5-10, AZA-SUNAHAMAWARI, 45, SHIRAKATA-CHO, FUKUI-SHI, FUKUI, JAPAN 910-3131 previously recorded on Reel 049553 Frame 0282. Assignor(s) hereby confirms the ASSIGNMENT.

CONVEYING PARTY DATA

Name	Execution Date
KENJI TAKAMORI	05/15/2019
YUICHIRO IMANARI	05/15/2019
YUSUKE MAEDA	05/15/2019

RECEIVING PARTY DATA

Name:	SUMITOMO CHEMICAL COMPANY, LIMITED
Street Address:	27-1, SHINKAWA 2-CHOME, CHUO-KU
City:	TOKYO
State/Country:	JAPAN
Postal Code:	104-8260
Name:	TANAKA CHEMICAL CORPORATION
Street Address:	5-10, AZA-SUNAHAMAWARI, 45,
Internal Address:	SHIRAKATA-CHO, FUKUI-SHI
City:	FUKUI
State/Country:	JAPAN
Postal Code:	910-3131

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	16472161

CORRESPONDENCE DATA

Fax Number: (202)756-8087

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Email: rfeimster@mwe.com, ipdocketmwe@mwe.com,
wdcipptsclerks@mwe.com

Correspondent Name: TOMOKI TANIDA

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ATTORNEY DOCKET NUMBER:	079326-0042
NAME OF SUBMITTER:	RENELL FEIMSTER FOR TOMOKI TANIDA
SIGNATURE:	/Renell Feimster for Tomoki Tanida/
DATE SIGNED:	07/15/2019
Total Attachments: 10 source=Corrected_Assignment#page1.tif source=Corrected_Assignment#page2.tif source=Assignment_Cover_Sheet#page1.tif source=Statement_on_English_Translation#page1.tif source=Combined_Dec_Assign#page1.tif source=Combined_Dec_Assign#page2.tif source=Combined_Dec_Assign#page3.tif source=Combined_Dec_Assign#page4.tif source=Combined_Dec_Assign#page5.tif source=Combined_Dec_Assign#page6.tif	



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUNE 24, 2019

PTAS

TOMOKI TANIDA
MCDERMOTT WILL & EMERY LLP
500 NORTH CAPITOL STREET, N.W.
WASHINGTON, DC 20001

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DOCKET NUMBER: 079326-0042

ASSIGNOR:

TAKAMORI, KENJI

DOC DATE: 05/15/2019

ASSIGNOR:

IMANARI, YUICHIRO

DOC DATE: 05/15/2019

ASSIGNOR:

MAEDA, YUSUKE

DOC DATE: 05/15/2019

ASSIGNEE:

SUMITOMO CHEMICAL COMPANY, LIMITED
27-1, SHINKAWA 2-CHOME, CHUO-KU
TOKYO, JAPAN 104-8260

ASSIGNEE:

TANAKA CHEMICAL CORPORATION
5-10, ~~AZA-SUNABAMAWARI~~, 45,
SHIRAKATA-CHO, FUKUI-SHI
FUKUI, JAPAN 910-3131

AZA-SUNAHAMAWARI

APPLICATION NUMBER: 16472161

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: POSITIVE ELECTRODE ACTIVE MATERIAL FOR LITHIUM SECONDARY
BATTERIES, POSITIVE ELECTRODE FOR LITHIUM SECONDARY BATTERIES,
AND LITHIUM SECONDARY BATTERY

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT5585396

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
KENJI TAKAMORI	05/15/2019
YUICHIRO IMANARI	05/15/2019
YUSUKE MAEDA	05/15/2019
RECEIVING PARTY DATA	
Name:	SUMITOMO CHEMICAL COMPANY, LIMITED
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Phone:	202-756-8000
Email:	rfeimster@mwe.com, ipdocketmwe@mwe.com, wdcipptsclerks@mwe.com
Correspondent Name:	TOMOKI TANIDA
Address Line 1:	MCDERMOTT WILL & EMERY LLP
Address Line 2:	500 NORTH CAPITOL STREET, N.W.
Address Line 4:	WASHINGTON, D.C. 20001
ATTORNEY DOCKET NUMBER:	079326-0042

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: : Customer Number: 20277
Kenji TAKAMORI et al. :
: :
Application No.: U.S. National Phase Patent : Confirmation No.: Not yet assigned
Application of PCT/JP2017/045980 :
: :
Filed: June 20, 2019 : Art Unit: N/A
: :
For: POSITIVE ELECTRODE ACTIVE MATERIAL : Examiner: Not yet assigned
FOR LITHIUM SECONDARY BATTERIES,
POSITIVE ELECTRODE FOR LITHIUM
SECONDARY BATTERIES, AND LITHIUM
SECONDARY BATTERY

STATEMENT REGARDING ENGLISH TRANSLATION OF DECLARATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I, Tomoki Tanida, am fluent in Japanese and I hereby certify that the attached English translation of the Declaration is an accurate translation.

Respectfully submitted,

MCDERMOTT WILL & EMERY LLP


/Tomoki Tanida/

Tomoki Tanida
Registration No. 60,453

500 North Capitol Street, N.W
Washington, DC 20001
Phone: (202) 756-8196 TT:rgf
Facsimile: (202) 756-8087
Date: June 20, 2019

**Please recognize our Customer No. 20277 as
our correspondence address.**

COMBINED DECLARATION AND ASSIGNMENT FOR PATENT APPLICATION

特許出願の宣誓書および譲渡書

As a below named inventor, I hereby declare that:

私は、下記に記名された発明者として、以下について宣誓する：

I believe I am the original or an original joint inventor of a claimed invention in the application for which a patent is sought on the invention entitled:

私は、下記の名称の発明について、特許が求められている、本願の請求項に係る発明のもともとの発明者、または、もともとの共同発明者である：

POSITIVE ELECTRODE ACTIVE MATERIAL FOR LITHIUM SECONDARY BATTERIES, POSITIVE ELECTRODE FOR LITHIUM SECONDARY BATTERIES, AND LITHIUM SECONDARY BATTERY

This declaration is directed to:

この宣誓書は：

the attached application, or
添付された出願、または

United States application number or PCT international application number
PCT/JP2017/045980 filed on December 21, 2017.
_____に出願された米国出願もしくはPCT 国際出願番号 _____ に宛てられている。

The above-identified application was made or authorized to be made by me.

上記の出願は、私自身、または私が権限を授与したものによって行われたものである。

In the event that the filing date and/or application number are not entered above at the time I execute this document, and if such information is deemed necessary, I hereby authorize and request the registered practitioners of McDermott Will & Emery LLP, associated with the Customer Number 20277, to insert above the filing date and/or application number of the application.

私がこの文書に署名する際に出願日および/または出願番号が記入されていない場合であって、その情報が必要であると認められる場合、私は、カスタマーナンバー20277のMcDermott Will & Emery LLPの登録実務家が、本願の出願日および/または出願番号を記入すること承認する。

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

本宣誓書において、故意に虚偽の申立を行った場合は、18 U.S.C. 1001により、罰金、最高5年の禁固刑、またはその両方の対象となることを認める。

ASSIGNMENT

譲渡書

In consideration of the premises and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, the undersigned, who have made a certain new and useful invention, hereby sell, assign and transfer unto

SUMITOMO CHEMICAL COMPANY, LIMITED
27-1, Shinkawa 2-chome, Chuo-ku, Tokyo 104-8260 Japan

and

TANAKA CHEMICAL CORPORATION
5-10, Aza-Sunahamawari, 45, Shirakata-cho, Fukui-shi, Fukui 910-3131 Japan

(hereinafter designated as the Assignee), its successors and assigns the entire right, title and interest for the United States of America as defined in 35 U.S.C. § 100 in the invention described in the application identified in this document, and the undersigned hereby authorize and request the United States Commissioner of Patents and Trademarks to issue any and all United States Letters Patent which may be granted therefor and any and all extensions, divisions, reissues, substitutes, renewals, continuations, or continuations-in-part thereof, and the right to all benefits under the International Convention for the Protection of Industrial Property to the Assignee, for its interest as Assignee, its successors, assigns and legal representatives; the undersigned agree that the attorneys of record in the application shall hereafter act on behalf of the Assignee;

新規かつ有用な発明をした署名者は、既述の事項および有効な約因が十分であることを確認し、ここに、上記の住所を持つ譲受人、その相続人および承継人に対し、この文書で特定された出願に開示された発明につき、35 U.S.C. §100で定義されるアメリカ合衆国における、全ての権利、所有権、および利益を譲渡し、署名者は、特許庁長官に対し、その発明についての全ての米国特許権、および全ての存続期間の延長特許、分割出願の特許、再発行特許、代替出願の特許、更新出願の特許、継続出願の特許、または一部継続出願の特許の発行を要請し、さらに、工業所有権の保護に関する国際条約の下で認められる全ての利益に対する権利を、譲受人、その相続人、その承継人に譲渡および移転する。署名者は、本願の代理人が、譲受人を代理することに同意する。

AND the undersigned hereby agree to transfer a like interest, and to render all necessary assistance in making application for and obtaining original, divisional, reissued or extended Letters Patent of the United States, upon request of the Assignee, its successors, assigns and legal representatives, and without further remuneration, in and to any improvements, and applications for patent based thereon, growing out of or related to the said invention; and to execute any papers by the Assignee, its successors, assigns and legal representatives, deemed essential to Assignee's full protection and title in and to the invention hereby transferred.

署名者は、類似の権利を譲渡することに同意し、譲受人、その相続人、承継人、および法定代理人の求めにより、さらなる報酬を要求することなく、上記発明に関連して得られる改良発明、その改良発明に基づく特許出願について、出願の作成、最初の特許、その分割出願の特許、再発行特許、および延長特許を米国において取得するための全ての必要な援助を行うこと同意すると共に、譲受人、その相続人、承継人、および法定代理人によって作成された、譲受人がここで譲渡される発明の保護と権利に必須と思われるいかなる文書に署名することに同意する。

Attorney Docket No.

Legal name of first inventor Kenji TAKAMORI	
First inventor's signature Kenji TAKAMORI	Date May 15, 2019

Legal name of second inventor Yuichiro IMANARI	
Second inventor's signature Yuichiro IMANARI	Date May 15, 2019

Legal name of third inventor Yusuke MAEDA	
Third inventor's signature	Date

Legal name of fourth inventor	
Fourth inventor's signature	Date

Legal name of fifth inventor	
Fifth inventor's signature	Date

Legal name of sixth inventor	
Sixth inventor's signature	Date

Legal name of seventh inventor	
Second inventor's signature	Date

Attorney Docket No.

COMBINED DECLARATION AND ASSIGNMENT FOR PATENT APPLICATION
特許出願の宣誓書および譲渡書

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海 31 - 05605
05 21 - 0
21 3

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and

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2019-05-05
05:11:11
2/3

Attorney Docket No.

Legal name of first inventor Kenji TAKAMORI	
First inventor's signature	Date

Legal name of second inventor Yuichiro IMANARI	
Second inventor's signature	Date

Legal name of third inventor Yusuke MAEDA	
Third inventor's signature <i>Yusuke Maeda</i>	Date May 15, 2019

Legal name of fourth inventor	
Fourth inventor's signature	Date

Legal name of fifth inventor	
Fifth inventor's signature	Date

Legal name of sixth inventor	
Sixth inventor's signature	Date

Legal name of seventh inventor	
Second inventor's signature	Date