# 505571125 07/15/2019

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:		NEW ASSIGNMENT	
NATURE OF CONVEYANCE:		ASSIGNMENT	
CONVEYING PARTY D	ΑΤΑ		
		Name	Execution Date
ERIC E SWAYZE			04/25/2012
SUSAN M FREIER			04/06/2012
ROBERT A MACLEOD			04/05/2012
YOUNGSOO KIM			04/06/2012
RECEIVING PARTY DA	TA		
Name:	IONIS PHARMACEUTIALS, INC.		
Street Address:	2855 GAZELLE COURT		
City:	CARLSBAD		
State/Country:	CALIFORNIA		
Postal Code:	92010		
PROPERTY NUMBERS Total: 1 Property Type Application Number: 11			1
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Property Type Application Number:	1	<b>Number</b> 5149642	
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PATENT

#### ASSIGNMENT

WHEREAS, I/we, Eric E. Swayze, of Encinitas, CA, Susan M. Freier, of San Diego, CA, Robert A. MacLeod, of San Diego, CA, and Youngsoo Kim, of San Diego, CA, has/have invented a certain invention entitled MODULATION OF SIGNAL TRANSDUCER AND ACTIVATOR OF TRANSCRIPTION 3 (STAT3) EXPRESSION, for which I/we have made applications for patents in the United States and foreign jurisdictions through the Patent Cooperation Treaty, said applications having been assigned Application No. PCT/US2012/031642 filed March 30, 2012, and Application No. 13/436,558 filed March 30, 2012; and

WHEREAS, ISIS Pharmaceuticals, Inc. hereinafter referred to as the assignee, of 1896 Rutherford Road, Carlsbad CA, a corporation of Delaware, desires to acquire the entire right, title and interest in and to the said inventions or improvements and in and to the said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries:

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) to each of us in hand paid by said assignee, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said assignors, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over to said assignee, the entire right, title and interest in and to said inventions or improvements and said application and any and all continuations, divisions and renewals of and substitutes for said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in the United States and any and all other countries, and authorize said assignee, to file in our names applications for Letters Patent in all countries, the same to be held and enjoyed by said assignee, its successors, assigns, nominees or legal representatives, to the full end of the term or terms for which said Letters Patent respectively may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by us had this assignment, sale and transfer not

ASSIGNMENT - Page 1 of 6

been made.

AND we hereby covenant that we have full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith, and we further covenant and agree that we will each time request is made and without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said inventions or improvements, said application and said Letters Patent to said assignee, its successors, assigns, nominees, or legal representatives, and each of us agrees to communicate to said assignee or to its nominee all known facts respecting said inventions or improvements, said application and said Letters Patent, to testify in any legal proceedings, to sign all lawful papers to execute all disclaimers and divisional, continuing, reissue and foreign applications, to make all rightful oaths, and generally to do everything possible to aid said assignee, its successors, assigns, nominees and legal representatives to obtain and enforce for its or their own benefit proper patent protection for said inventions or improvements in any and all countries.

AND we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue to said assignee, as assignee of the entire right, title and interest, any and all Letters Patent for said inventions or improvements, including any and all Letters Patent of the United States which may be issued and granted on or as a result of the application aforesaid, in accordance with the terms of this assignment.

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PATENT

IN WITNESS WHEREOF, we have hereunto set our hands and seals.

SS.

Dated: <u>4/25</u> 2012

State of <u>California</u>) County of <u>San Diego</u>

Eric E. Swayze

On <u>April 25, 2012</u> before me, <u>Laura L. Earnes</u>, Notary Public, personally appeared **Eric E. Swayze**, who is proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.



WITNESS my hand and official seal.

Signature of Notary

ASSIGNMENT - Page 3 of 6

PATENT

Dated: <u>4/6</u> 20<u>12</u>

Mithen

Susan M. Freier

State of <u>California</u> County of <u>San Diego</u> SS.

On <u>April 16, 2012</u> before me, <u>LAURAL. EAMES</u>, Notary Public, personally appeared Susan M. Freier, who is proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.



WITNESS my hand and official seal.

Signature of Notary

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DOCKET NO.: BIOL0142US - WO

PATENT

Dated:  $\frac{4/05}{20/2}$ 

**Robert A. MacLeod** 

State of <u>California</u> County of <u>San Diego</u> SS.

On <u>April 5, 2012</u> before me, <u>Laura L. Eames</u>, Notary Public, personally appeared **Robert A. MacLeod**, who is proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.



WITNESS my hand and official seal.

Signature of Notary

ASSIGNMENT - Page 5 of 6

DOCKET NO.: BIOL0142US - WO

PATENT

Dated: 4/620 / 2

oùngsoo Kim

State of California SS. County of <u>San Diego</u>

On <u>April 16, 2012</u> before me, <u>LAURA L. EAMES</u>, Notary Public, personally appeared Youngsoo Kim, who is proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/shc/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s)/acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.



WITNESS my hand and official seal.

Signature of Notary

ASSIGNMENT - Page 6 of 6

PATENT REEL: 049752 FRAME: 0067

**RECORDED: 07/15/2019**