

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5629132

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JAVIER GORDON OGEMBO	01/04/2019
TRUDY MORRISON	12/13/2018
RECEIVING PARTY DATA	
Name:	UNIVERSITY OF MASSACHUSETTS
Street Address:	ONE BEACON STREET, 31ST FLOOR
City:	BOSTON
State/Country:	MASSACHUSETTS
Postal Code:	02108
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16389701
CORRESPONDENCE DATA	
Fax Number:	(510)788-8786
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	5107888781
Email:	aaeverson@medlencarroll.com,rldalton@medlencarroll.com,docketing@medlencarroll.com
Correspondent Name:	MEDLEN AND CARROLL, LLP
Address Line 1:	1440 BROADWAY, SUITE 1440
Address Line 4:	OAKLAND, CALIFORNIA 94612
ATTORNEY DOCKET NUMBER:	UMASS-W-19338
NAME OF SUBMITTER:	ABBY EVERSON
SIGNATURE:	/abby everson/
DATE SIGNED:	07/22/2019
Total Attachments: 4	
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source=assignmentsexecuted18748#page3.tif	

Form PTO-1595	RECORDATION FORM COVER SHEET		U.S. Department of Commerce Patent and Trademark Office
	PATENTS ONLY		
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.			
1. Name of conveying party(ies): Javier Gordon Ogembo Trudy Morrison		2. Name and address of receiving party(ies): University of Massachusetts One Beacon Street, 31st Floor Boston, MA 02108	
Additional name(s) of conveying party(ies) attached? No		Additional name(s) & address(es) attached? No	
3. Nature of conveyance: Assignment Execution Date: 01/04/2019 12/13/2018			
4. Title of Invention: Virus-Like Particle Compositions and Vaccines Against Epstein-Barr Virus Infection and Disease			
A. New Patent Application No.(s): 16/389,701		B. Patent No.(s): N.A.	
Additional numbers attached? No			
5. Name and address of party to whom correspondence concerning document should be mailed: Peter G. Carroll MEDLEN & CARROLL, LLP 1440 Broadway, Suite 1010 Oakland, California 94612		6. Total number of applications and patents involved: 1	
		7. Total fee(s): Effective January 01, 2014, as an electronic submission, payment in the amount of \$40 (\$40 Recordation fee) is not submitted.	
		8. Deposit Account Authorization: The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 08-1290 .	
DO NOT USE THIS SPACE			
9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.			
Date: <u>July 19, 2019</u>		Signature: <i>Mahattamdan</i>	
		Name: Maha A. Hamdan	
		Reg. No.: 43,655	

ASSIGNMENT

WHEREAS, Wc, Javier Gordon Ogembo and Trudy Morrison, hereinafter referred to as "ASSIGNOR", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: Virus-Like Particle Compositions and Vaccines Against Epstein-Barr Virus Infection and Disease

Filing Date: 9/15/2017


Serial No.: 15/558,942

WHEREAS, University of Massachusetts, One Beacon Street, 31st Floor, Boston, MA 02108, herein after referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in said invention and application and in any Letters Patent which may be granted on the same;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to ASSIGNOR by ASSIGNEE and other good and valuable consideration, receipt of which is hereby acknowledged, ASSIGNOR has sold, assigned and transferred, and by these presents does sell, assign and transfer unto said ASSIGNEE, and ASSIGNEE's successors and assigns, all right, title and interest in and to said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNOR had this assignment and transfer not been made, to the full end and term of any Letters Patent.

ASSIGNOR further agrees that ASSIGNOR will, without charge to said ASSIGNEE, but at ASSIGNEE's expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications; execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof; and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE's successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNOR has hereunto signed ASSIGNOR's names to this assignment on the date indicated below.

 01/04/2019

Javier Gordon Ogembo

Date

Trudy Morrison

Date

STATE OF

)

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ss.

COUNTY OF

)

On this _____ day of _____, in the year of _____, before me, the undersigned notary public, personally appeared the above-named ASSIGNOR, known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same.

California Compliant Notary
Acknowledgment/Seal Attached

NOTARY PUBLIC

SEAL

CALIFORNIA ACKNOWLEDGMENT

CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

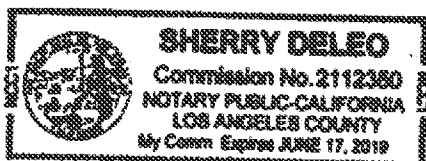
State of California

County of Los Angeles

On JANUARY 4, 2019 before me, Sherry DeLeo, Notary Public

personally appeared JAVIER GORDON OGEMBO

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Sherry DeLeo
Signature of Notary Public

Place Notary Seal and/or Stamp Above

OPTIONAL

Completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: Assignment Attorney Docket No: UM-ASS-

Document Date: JANUARY 4, 2019 Number of Pages: ONE

Signer(s) Other Than Named Above: (1) of (2) signers

Capacity(ies) Claimed by Signer(s)

Signer's Name: JAVIER GORDON OGEMBO

☐ Corporate Officer - Title(s): _____

☒ Partner - ☐ Limited ☐ General

☒ Individual ☐ Attorney in Fact

☒ Trustee ☐ Guardian or Conservator

☐ Other: _____

Signer is Representing: _____

Signer's Name: _____

☐ Corporate Officer - Title(s): _____

☐ Partner - ☐ Limited ☐ General

☐ Individual ☐ Attorney in Fact

☐ Trustee ☐ Guardian or Conservator

☐ Other: _____

Signer is Representing: _____

ASSIGNMENT

WHEREAS, We, **Javier Gordon Ogembo and Trudy Morrison**, hereinafter referred to as "ASSIGNOR", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **Virus-Like Particle Compositions and Vaccines Against Epstein-Barr Virus Infection and Disease**

Filing Date: 9/15/2017

Serial No.: 15/558,942

WHEREAS, **University of Massachusetts, One Beacon Street, 31st Floor, Boston, MA 02108**, herein after referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in said invention and application and in any Letters Patent which may be granted on the same;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to ASSIGNOR by ASSIGNEE and other good and valuable consideration, receipt of which is hereby acknowledged, ASSIGNOR has sold, assigned and transferred, and by these presents does sell, assign and transfer unto said ASSIGNEE, and ASSIGNEE's successors and assigns, all right, title and interest in and to said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNOR had this assignment and transfer not been made, to the full end and term of any Letters Patent.

ASSIGNOR further agrees that ASSIGNOR will, without charge to said ASSIGNEE, but at ASSIGNEE's expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications; execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof; and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE's successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNOR has hereunto signed ASSIGNOR's names to this assignment on the date indicated below.

Javier Gordon Ogembo

Date

Trudy Morrison

Date

12/13/2018

STATE OF

Massachusetts

ss.

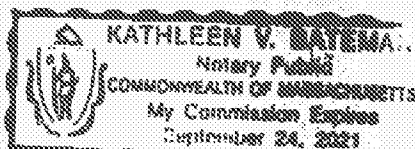
COUNTY OF

Worcester

On this 13th day of December, in the year of 2018, before me, the undersigned notary public, personally appeared the above-named ASSIGNOR, known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same.

Kathleen V. Bateman
NOTARY PUBLIC

SEAL



PATENT