

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5630775

| | |
|---|--|
| SUBMISSION TYPE: | NEW ASSIGNMENT |
| NATURE OF CONVEYANCE: | ASSIGNMENT |
| CONVEYING PARTY DATA | |
| Name | Execution Date |
| STEVEN LUDWIG | 08/01/2017 |
| SCOTT DHUPAR | 09/29/2017 |
| SABATINO BIANCO | 07/28/2017 |
| LARRY MCCLINTOCK | 07/25/2018 |
| RECEIVING PARTY DATA | |
| Name: | K2M, INC. |
| Street Address: | 600 HOPE PARKWAY SE |
| City: | LEESBURG |
| State/Country: | VIRGINIA |
| Postal Code: | 20175 |
| PROPERTY NUMBERS Total: 1 | |
| Property Type | Number |
| Application Number: | 16516702 |
| CORRESPONDENCE DATA | |
| Fax Number: | (908)654-7866 |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | |
| Phone: | 9086545000 |
| Email: | ASSIGNMENT@LERNERDAVID.COM |
| Correspondent Name: | LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP |
| Address Line 1: | 600 SOUTH AVE W |
| Address Line 4: | WESTFIELD, NEW JERSEY 07090 |
| ATTORNEY DOCKET NUMBER: | SPINE.9822 |
| NAME OF SUBMITTER: | JACLYN RICCI |
| SIGNATURE: | /JACLYN RICCI/ |
| DATE SIGNED: | 07/23/2019 |
| Total Attachments: 9 | |
| source=SPINE.9822 Inventors Assignment to K2M, Inc#page1.tif | |
| source=SPINE.9822 Inventors Assignment to K2M, Inc#page2.tif | |
| source=SPINE.9822 Inventors Assignment to K2M, Inc#page3.tif | |

source=SPINE.9822 Inventors Assignment to K2M, Inc#page4.tif
source=SPINE.9822 Inventors Assignment to K2M, Inc#page5.tif
source=SPINE.9822 Inventors Assignment to K2M, Inc#page6.tif
source=SPINE.9822 Inventors Assignment to K2M, Inc#page7.tif
source=SPINE.9822 Inventors Assignment to K2M, Inc#page8.tif
source=SPINE.9822 Inventors Assignment to K2M, Inc#page9.tif

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights

For: ☒ U.S. Application or ☐ U.S. Patent

By : ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR: Steven LUDWIG
Scott DHUPAR
Sabatino BIANCO

(Inventor(s) or person(s) or entity(es) who own the invention)

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on

Reel:

Frame:

hereby sells, assigns and transfers to

ASSIGNEE:

K2M, Inc.

(Type or print name of ASSIGNEE)

600 Hope Parkway SE

Address

Leesburg, VA 20175

United States

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided _____ percent (_____ %) interest for the United States and its territorial possessions in and to any and all improvements which are disclosed in the invention entitled:

EXPANDABLE SPINAL IMPLANTS

(Title of invention)

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith.
- (b) ☐ U.S. patent application executed on _____.
- (c) ☒ U.S. application Serial No. 14/965,246 filed on December 10, 2015.
- (d) ☒ U.S. provisional application No. 62/090,429 filed on December 11, 2014;
U.S. provisional application No. 62/158,470 filed on May 7, 2015; and
U.S. provisional application No. 62/206,779 filed on August 18, 2015.
- (e) ☐ U.S. Patent No. _____ issued _____.
- (f) ☐ PCT application No. _____ filed on _____.
- ☐ A change of address to which correspondence is to be sent
regarding patent maintenance fees is being sent
separately.
- (g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, continuation-in-part, divisional, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this _____ (Date of signing).

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.



Steven Ludwig

8/1/17

Dated

Scott Dhupar

Dated

Sabatino Bianco

Dated

If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person authorized to sign on behalf of ASSIGNOR

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

[] Notarization or Legalization Page Added.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this _____ (Date of signing).

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Steven Ludwig

Scott Dhupar

Sabatino Bianco

Dated

Dated

Dated

9/29/17

If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person authorized to sign on behalf of ASSIGNOR

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

[] Notarization or Legalization Page Added.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this _____ (Date of signing).

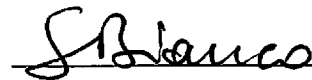
WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Steven Ludwig

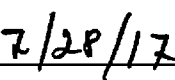
Dated

Scott Dhupar

Dated



Sabatino Bianco



Dated

If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person authorized to sign on behalf of ASSIGNOR

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

[] Notarization or Legalization Page Added.

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights

For: ☒ U.S. Application or ☐ U.S. Patent

By : ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR: Larry McClintock

(Inventor(s) or person(s) or entity(es) who own the invention)

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on

Reel:

Frame:

hereby sells, assigns and transfers to

ASSIGNEE:

K2M, Inc.

(Type or print name of ASSIGNEE)

600 Hope Parkway SE

Address

Leesburg, VA 20175

United States

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

PATENT

REEL: 040829 FRAME: 0056

☒ the entire right, title and interest

☐ an undivided _____ percent (_____ %) interest for the United States and its territorial possessions in and to any and all improvements which are disclosed in the invention entitled:

EXPANDABLE SPINAL IMPLANTS

(Title of invention)

and which is found in

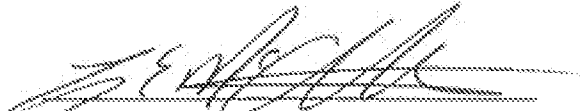
- (a) ☐ U.S. patent application executed on even date herewith.
- (b) ☐ U.S. patent application executed on _____.
- (c) ☒ U.S. application Serial No. 14/965,246 filed on December 10, 2015.
- (d) ☒ U.S. provisional application No. 62/090,429 filed on December 11, 2014;
U.S. provisional application No. 62/158,470 filed on May 7, 2015; and
U.S. provisional application No. 62/206,779 filed on August 18, 2015.
- (e) ☐ U.S. Patent No. _____ issued _____.
- (f) ☐ PCT application No. _____ filed on _____.
- ☐ A change of address to which correspondence is to be sent
regarding patent maintenance fees is being sent
separately.
- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, continuation-in-part, divisional, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this _____ (Date of signing).

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.


Larry McClintock

07/25/2018
Dated

If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person authorized to sign on behalf of ASSIGNOR

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

[] Notarization or Legalization Page Added.

