

## PATENT ASSIGNMENT COVER SHEET

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Stylesheet Version v1.2

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
ADRIAN HALL	12/13/2017
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	UCB BIOPHARMA SPRL
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<b>City:</b>	BRUSSELS
<b>State/Country:</b>	BELGIUM
<b>Postal Code:</b>	1070
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	16480251
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<b>SIGNATURE:</b>	/Yuchen Cao/
<b>DATE SIGNED:</b>	07/23/2019
<b>Total Attachments: 6</b>	
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## CONFIRMATORY ACKNOWLEDGEMENT, ASSIGNMENT and UNDERTAKING AGREEMENT

THIS AGREEMENT is made the 13<sup>TH</sup> day of DECEMBER 2017 between

- (1) **Adrian HALL** (“**THE INVENTOR**”);  
C/O Intellectual Property Dept  
UCB Biopharma SPRL  
Allée de la Recherche, 60  
B-1070 Brussels  
BELGIUM
- (2) **UCB BIOPHARMA SPRL** (“**UCB BIOPHARMA**”)  
Allée de la Recherche, 60  
B-1070 Brussels  
BELGIUM

### WHEREAS

(A) **THE INVENTOR** has been determined as being the sole inventor or one of the joint inventors of the invention or inventions entitled “**ALKOXY BIS HETEROARYL DERIVATIVES AS MODULATORS OF PROTEIN AGGREGATION**” and identified by the patent family reference number [PF0112] (“the Invention”);

(B) At the time the Invention was made, **THE INVENTOR** was employed by **UCB BIOPHARMA**.

(C) The Invention is described in a patent application (“the Application”), identified by the following short particulars, which patent application has with the consent of all the parties hereto been filed in the name of **UCB BIOPHARMA** with the patent office shown:

Patent Office	Filing Date	Application Number
European Patent Office	26 January 2017	17153210.4

- (D) It is the wish and intention of all the parties hereto that:
- (i) the Application shall continue in the name of **UCB BIOPHARMA**;
  - (ii) any patent application or like application filed in any country or territory and being directed to the Invention (whether or not claiming priority under the Paris Convention or like arrangement from the Application and including all divisional applications, applications for patents of addition, continuation applications, continuation-in-part applications, applications for re-issue national applications, patent term extensions, supplementary protection certificates, regional applications and international applications and like corresponding applications)

("Corresponding Application(s)") shall be in the name of and shall vest in **UCB BIOPHARMA**; and

- (iii) any patent granted on the Application or on any Corresponding Application(s) shall be in the name of and shall vest in **UCB BIOPHARMA**.

NOW THIS AGREEMENT WITNESSETH:

1. THE **INVENTOR** ACKNOWLEDGES that:

- a) he or she made the Invention as part of his or her normal duties as employee of **UCB BIOPHARMA** and the circumstances were such that an invention might reasonably have been expected to result from the carrying out of his or her duties; and
- b) all his or her right, title, and interest in the invention transferred to **UCB BIOPHARMA** by virtue of his or her employment agreement and/or applicable laws.

TO HOLD unto **UCB BIOPHARMA** absolutely.

2. Insofar as the rights in the Invention and the Application are still vested in **THE INVENTOR**, in consideration of One Dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency whereof are hereby acknowledged, **THE INVENTOR** HEREBY ASSIGNS to **UCB BIOPHARMA**:

- (i) the Invention;
- (ii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the Invention in any country or territory of the world together with the right to claim priority of the Application under the Paris Convention or any other patent treaty, law or convention) and all the rights, powers, liberties and immunities belonging thereto or accrued therefrom free from all encumbrances to the intent that any patent granted pursuant to the Application or to any Corresponding Application(s) shall be in the name of and vested in **UCB BIOPHARMA**;
- (iii) the right to recover and take all such proceedings as may be necessary for the recovery of damages, an account of profits or other relief in respect of all infringements of any patent or patents granted pursuant to the Application or to any Corresponding Application(s) whether such infringements take place before or after the date of this Agreement;

TO HOLD unto **UCB BIOPHARMA** absolutely.

5. **UCB BIOPHARMA** HEREBY ACCEPTS all that is transferred to it under this Agreement.
6. EACH OF **THE INVENTOR** HEREBY UNDERTAKES to do or have done as and when required by **UCB BIOPHARMA** or its nominee, as **UCB BIOPHARMA** shall direct and at the expense of **UCB BIOPHARMA**, any and all acts and things including the execution of documents necessary or expedient for perfecting the assignments contained herein and/or for giving effect to the wishes and intentions of the parties hereto.
7. EACH OF **THE INVENTOR** HEREBY AUTHORIZES **UCB BIOPHARMA** or its nominee and the agents of any of them as **UCB BIOPHARMA** shall direct to insert here below at (\*) the relevant application number and/or any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document:

(*)	US Serial No :	<u>16/480,251</u>
	and/or PCT Application No :	<u>PCT/EP2018/051579</u>
	Filing Date :	<u>January 23, 2018</u>

8. IN THE EVENT THAT ANY OF THE TERMS OF THIS AGREEMENT is held to be invalid, the remainder of the terms shall continue in full force and effect.
9. THIS AGREEMENT SHALL BE CONSTRUED in all respects in accordance with law governing Prior Agreement.

IN WITNESS whereof the parties have caused this agreement to be duly executed on the day and year first above written.

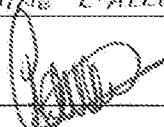
This page remains without signatures on purpose – all signatures are executed on follow-up pages (4-5).

Signed by THE INVENTOR

Adrian HALL

Signature: 

Place: BRAINE L'ALLEUD, BELGIUM

Witness signature: 

Name: Marina CRAIGH

Address: Vo UCB Biopharma SPRL

Place: Allée de la Recherche, 60  
B-1070 Bruxelles - Belgium

Witness signature: 

Name: Chantal BERNAERTS  
Senior Trademark Administrator

Address: UCB Biopharma SPRL

Place: Intellectual Property Department  
Allée de la Recherche 60  
1070 Brussels, Belgium

Signed for and on behalf of **UCB BIOPHARMA**

By: Name: Stéphane DROUIN

Title: Vice President, Head Group Intellectual Property

Signature: \_\_\_\_\_

Place: \_\_\_\_\_

*Stéphane Drouin*  
*Brussels, Belgium*

Witness signature: \_\_\_\_\_

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Place: \_\_\_\_\_

*Marina Crainich*  
Marina CRAINICH

*40* UCB Biopharma SPRL  
Allée de la Recherche, 60  
B-1070 Bruxelles - Belgium

Witness signature: \_\_\_\_\_

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Place: \_\_\_\_\_

*Chantal Bernaerts*  
Chantal BERNAERTS  
Senior Trademark Administrator

UCB Biopharma SPRL  
Intellectual Property Department  
Allée de la Recherche 60  
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INVENTOR: J. H. HARRIS  
ATTORNEY: J. H. HARRIS  
BY: J. H. HARRIS