

PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ROBERT DEAN DALLY	01/14/2019
MARIA CRISTINA GARCIA PAREDES	01/14/2019
LAWRENCE JOSEPH HEINZ II	01/14/2019
JENNIFER MARIE HOWELL	01/14/2019
FRANK GEORGE NJOROGÉ	01/11/2019
YAN WANG	01/15/2019
GENSHI ZHAO	01/11/2019
RECEIVING PARTY DATA	
Name:	Eli Lilly and Company
Street Address:	Lilly Corporate Center
Internal Address:	Patent Division
City:	Indianapolis
State/Country:	INDIANA
Postal Code:	46285
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16481146
CORRESPONDENCE DATA	
Fax Number:	(317)276-3861
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	patents@lilly.com
Correspondent Name:	ELI LILLY AND COMPANY
Address Line 1:	P. O. BOX 6288
Address Line 2:	PATENT DIVISION
Address Line 4:	INDIANAPOLIS, INDIANA 46206-6288
ATTORNEY DOCKET NUMBER:	X21823
NAME OF SUBMITTER:	PATRICIA A FOOR
SIGNATURE:	/Patricia A Foor/

DATE SIGNED:	07/26/2019
Total Attachments: 21 source=X21823 PCT Assignment - DALLY#page1.tif source=X21823 PCT Assignment - DALLY#page2.tif source=X21823 PCT Assignment - DALLY#page3.tif source=X21823 PCT Assignment - GARCIA PAREDES#page1.tif source=X21823 PCT Assignment - GARCIA PAREDES#page2.tif source=X21823 PCT Assignment - GARCIA PAREDES#page3.tif source=X21823 PCT Assignment - HEINZ#page1.tif source=X21823 PCT Assignment - HEINZ#page2.tif source=X21823 PCT Assignment - HEINZ#page3.tif source=X21823 PCT Assignment - HOWELL#page1.tif source=X21823 PCT Assignment - HOWELL#page2.tif source=X21823 PCT Assignment - HOWELL#page3.tif source=X21823 PCT Assignment - NJOROGES#page1.tif source=X21823 PCT Assignment - NJOROGES#page2.tif source=X21823 PCT Assignment - NJOROGES#page3.tif source=X21823 PCT Assignment - WANG#page1.tif source=X21823 PCT Assignment - WANG#page2.tif source=X21823 PCT Assignment - WANG#page3.tif source=X21823 PCT Assignment - ZHAO#page1.tif source=X21823 PCT Assignment - ZHAO#page2.tif source=X21823 PCT Assignment - ZHAO#page3.tif	

ASSIGNMENT

WHEREAS, I

Robert Dean DALLY of Carmel, IN
Citizenship: US

am a co-inventor, including at least the following person(s):

Maria Cristina GARCIA PAREDES of Indianapolis, IN
Citizenship: ES

Lawrence Joseph HEINZ II of Pittsboro, IN
Citizenship: US

Jennifer Marie HOWELL of Indianapolis, IN
Citizenship: US

Frank George NJOROGÉ of Carmel, IN
Citizenship: US

Yan WANG of Carmel, IN
Citizenship: US

Genshi ZHAO of Carmel, IN
Citizenship: US

of an invention that is the subject of a patent application ("Application") which is entitled CD73
INHIBITORS, for filing:

☐ In the United States Patent and Trademark Office on _____
and accorded Serial Number _____

☐ In the _____ on _____
and accorded Serial Number _____

☐ In the Spanish Patent Office as a European Application on _____
and accorded Serial Number _____

☒ as an international application under the Patent Cooperation Treaty ("PCT"),
with United States Patent and Trademark Office acting as Receiving Office on
22 February 2019 and accorded Serial Number PCT/US2019/019074

☐ as an international application under the Patent Cooperation Treaty ("PCT"),
with The State Intellectual Property Office (SIPO) of China acting as Receiving
Office on _____ and accorded Serial Number _____

which claims the benefit of priority application Serial Numbers 62/636,978, filed
March 1, 2018 and 62/775,553, filed December 5, 2018.

I hereby give permission to insert above the serial number(s) and filing date(s) for the
application when known.

WHEREAS ELI LILLY AND COMPANY, an Indiana corporation having its principal place of business at Lilly Corporate Center, Indianapolis, Indiana 46265, wishes to acquire the entire interest in all inventions disclosed in such Application;

NOW, THEREFORE, in consideration of my employment, any agreements related thereto, or other good and valuable consideration, the receipt of which is hereby acknowledged, I hereby assign to Eli Lilly and Company, its successors and assigns (collectively "Lilly") my entire right, title and interest in, to and under the Application, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all present or future patent applications to such inventions that may be filed in any country, inclusive of, but not limited to, continuations, continuations-in-part, divisions, substitutions, reexaminations, reissues, international applications filed under the PCT, United States provisional patent applications, subsequent United States provisional patent applications claiming some or all of this invention, certificates of addition, utility models, petty patents, as well as all other intellectual property related to the Application, inclusive of, but not limited to, supplementary protection certificates; and any related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by Lilly for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by me had this Assignment and sale to Lilly not been made.

For myself and for my heirs, successors and legal representatives, I covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

For myself and for my heirs, successors and legal representatives, I further covenant and agree with Lilly that upon request I and they will, without further consideration than that now paid, but at the expense of Lilly: (i) execute original, provisional, substitute, continuation, divisional, continuation-in-part, reexamined, or reissued applications, amended specifications, or rightful declarations or oaths for such application; (ii) communicate to Lilly any facts known to me or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings, litigation discovery proceedings and depositions, oppositions, cancellation proceedings, priority contests, public use proceedings, administrative agency proceedings, litigation and other court actions and the like; (iv) execute and deliver any application papers, affidavits, declarations, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for Lilly, may be necessary or desirable to secure the grant of Letters Patent and related intellectual property to Lilly or its nominees, in the United States and in all other countries where Lilly may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for Lilly and to vest and confirm in Lilly or its nominees the full and complete legal and equitable title to all such Letters Patent and related intellectual property.

I have executed this assignment on the date indicated below.

Jan 14 2019
Date

Robert Dean Dally
Robert Dean DALLY

ACCEPTED BY:

[Signature]
Authorized Representative
for ELI LILLY AND COMPANY

Printed Name GRANT R. REED
Title ASSOCIATE GENERAL PATENT COUNSEL
Date January 15, 2019

ASSIGNMENT

WHEREAS, I

Maria Cristina GARCIA PAREDES of Indianapolis, IN
Citizenship: ES

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Citizenship: US

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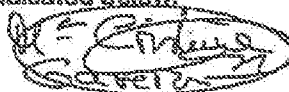
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I have executed this assignment on the date indicated below.

01/14/2019
Date



Maria Cristina GARCIA PAREDES

ACCEPTED BY:



Authorized Representative
for ELI LILLY AND COMPANY

Printed Name

GRANT B. REED

Title

ASSOCIATE GENERAL PATENT COUNSEL

Date

January 15, 2019

ASSIGNMENT

WHEREAS, I

Lawrence Joseph HEINZ II of Pittsboro, IN
Citizenship: US

am a co-inventor, including at least the following person(s):

Robert Dean DALLY of Carmel, IN
Citizenship: US

Maria Cristina GARCIA PAREDES of Indianapolis, IN
Citizenship: ES

Jennifer Marie HOWELL of Indianapolis, IN
Citizenship: US

Frank George NJORGE of Carmel, IN
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NOW, THEREFORE, in consideration of my employment, any agreements related thereto, or other good and valuable consideration, the receipt of which is hereby acknowledged, I hereby assign to Eli Lilly and Company, its successors and assigns (collectively "Lilly") my entire right, title and interest in, to and under the Application, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all present or future patent applications to such inventions that may be filed in any country, inclusive of, but not limited to, continuations, continuations-in-part, divisions, substitutions, reexaminations, reissues, international applications filed under the PCT, United States provisional patent applications, subsequent United States provisional patent applications claiming some or all of this invention, certificates of addition, utility models, petty patents, as well as all other intellectual property related to the Application, inclusive of, but not limited to, supplementary protection certificates; and any related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by Lilly for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by me had this Assignment and sale to Lilly not been made.

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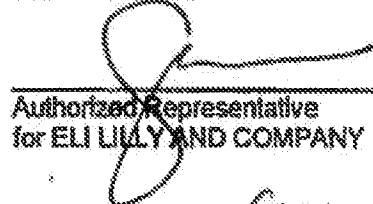
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1/14/2019
Date


Lawrence Joseph HEINZ II

ACCEPTED BY:



Authorized Representative
for ELI LILLY AND COMPANY

Printed Name GRANT E. REED
Title ASSOCIATE GENERAL, PATENT COUNSEL
Date January 15, 2019

ASSIGNMENT

WHEREAS, I

Jennifer Marie HOWELL of Indianapolis, IN
Citizenship: US

am a co-inventor, including at least the following person(s):

Robert Dean DALLY of Carmel, IN
Citizenship: US

Maria Cristina GARCIA PAREDES of Indianapolis, IN
Citizenship: ES

Lawrence Joseph HEINZ II of Pittsboro, IN
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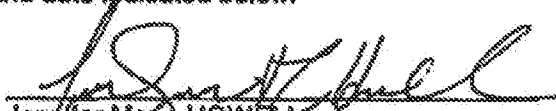
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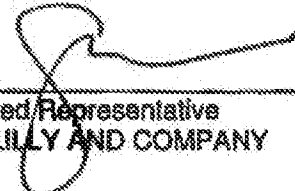
I have executed this assignment on the date indicated below.

1/14/2019
Date


Jennifer Marie HOWELL

Accepted as of the date above.

ACCEPTED BY:


Authorized Representative
for ELI LILLY AND COMPANY

Printed Name GRANT E. REED
Title ASSOCIATE GENERAL PATENT COUNSEL
Date January 15, 2019

ASSIGNMENT

WHEREAS, I

Frank George NJOROGÉ of Carmel, IN
Citizenship: US

am a co-inventor, including at least the following person(s):

Robert Dean DALLY of Carmel, IN
Citizenship: US

Maria Cristina GARCIA PAREDES of Indianapolis, IN
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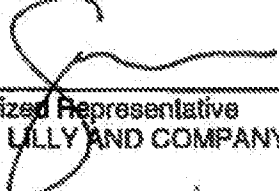
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January 11th 2019
Date

F. George Moroge
Frank George MOROGE

ACCEPTED BY:



Authorized Representative
for ELI LILLY AND COMPANY

Printed Name GRANT E. REED
Title ASSOCIATE GENERAL PATENT COUNSEL
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Yan WANG of Carmel, IN
Citizenship: US

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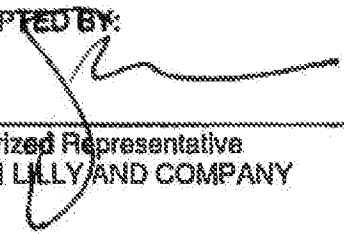
For myself and for my heirs, successors and legal representatives, I further covenant and agree with Lilly that upon request I and they will, without further consideration than that now paid, but at the expense of Lilly: (i) execute original, provisional, substitute, continuation, divisional, continuation-in-part, reexamined, or reissued applications, amended specifications, or rightful declarations or oaths for such application; (ii) communicate to Lilly any facts known to me or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings, litigation discovery proceedings and depositions, oppositions, cancellation proceedings, priority contests, public use proceedings, administrative agency proceedings, litigation and other court actions and the like; (iv) execute and deliver any application papers, affidavits, declarations, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for Lilly, may be necessary or desirable to secure the grant of Letters Patent and related intellectual property to Lilly or its nominees, in the United States and in all other countries where Lilly may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for Lilly and to vest and confirm in Lilly or its nominees the full and complete legal and equitable title to all such Letters Patent and related intellectual property.

I have executed this assignment on the date indicated below.

1. 15, 2019
Date

Yc WCE
Yan WANG

ACCEPTED BY:



Authorized Representative
for ELI LILLY AND COMPANY

Printed Name GRANT E. REED
Title ASSOCIATE GENERAL PATENT COUNSEL
Date January 15, 2019

ASSIGNMENT

WHEREAS, I

Genshi ZHAO of Carmel, IN
Citizenship: US

am a co-inventor, including at least the following person(s):

Robert Dean DALLY of Carmel, IN
Citizenship: US

Maria Cristina GARCIA PAREDES of Indianapolis, IN
Citizenship: ES

Lawrence Joseph HEINZ II of Pittsboro, IN
Citizenship: US

Jennifer Marie HOWELL of Indianapolis, IN
Citizenship: US

Frank George NJORGE of Carmel, IN
Citizenship: US

Yan WANG of Carmel, IN
Citizenship: US

of an invention that is the subject of a patent application ("Application") which is entitled CD73 INHIBITORS, for filing:

☐ in the United States Patent and Trademark Office on _____
and accorded Serial Number _____,

☐ in the _____ on _____
and accorded Serial Number _____,

☐ in the Spanish Patent Office as a European Application on _____
and accorded Serial Number _____,

☒ as an international application under the Patent Cooperation Treaty ("PCT"),
with United States Patent and Trademark Office acting as Receiving Office on
22 February 2019 and accorded Serial Number PCT/US2019/019074

☐ as an international application under the Patent Cooperation Treaty ("PCT"),
with The State Intellectual Property Office (SIPO) of China acting as Receiving
Office on _____ and accorded Serial Number _____,

which claims the benefit of priority application Serial Numbers 62/636,978, filed
March 1, 2018 and 62/775,553, filed December 5, 2018.

I hereby give permission to insert above the serial number(s) and filing date(s) for the
application when known.

WHEREAS ELI LILLY AND COMPANY, an Indiana corporation having its principal place of business at Lilly Corporate Center, Indianapolis, Indiana 46285, wishes to acquire the entire interest in all inventions disclosed in such Application;


NOW, THEREFORE, in consideration of my employment, any agreements related thereto, or other good and valuable consideration, the receipt of which is hereby acknowledged, I hereby assign to Eli Lilly and Company, its successors and assigns (collectively "Lilly") my entire right, title and interest in, to and under the Application, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all present or future patent applications to such inventions that may be filed in any country, inclusive of, but not limited to, continuations, continuations-in-part, divisions, substitutions, reexaminations, reissues, international applications filed under the PCT, United States provisional patent applications, subsequent United States provisional patent applications claiming some or all of this invention, certificates of addition, utility models, petty patents, as well as all other intellectual property related to the Application, inclusive of, but not limited to, supplementary protection certificates; and any related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by Lilly for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by me had this Assignment and sale to Lilly not been made.

For myself and for my heirs, successors and legal representatives, I covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

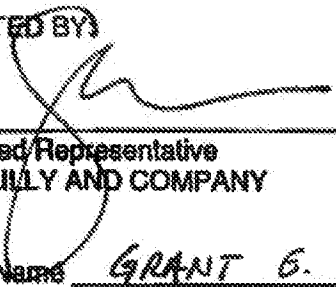
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I have executed this assignment on the date indicated below.

01-11-2019
Date


Genshi ZHAO

ACCEPTED BY


Authorized Representative
for ELI LILLY AND COMPANY

Printed Name GRANT G. REED
Title ASSOCIATE GENERAL PATENT COUNSEL
Date January 15, 2019