### 505591876 07/26/2019

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5638676

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSET PURCHASE |

#### **CONVEYING PARTY DATA**

| Name                          | Execution Date |
|-------------------------------|----------------|
| DR. REDDY'S LABORATORIES LTD. | 07/17/2019     |

#### **RECEIVING PARTY DATA**

| Name:           | UPSHER-SMITH LABORATORIES, LLC |  |
|-----------------|--------------------------------|--|
| Street Address: | 6701 EVENSTAD DRIVE N.         |  |
| City:           | MAPLE GROVE                    |  |
| State/Country:  | MINNESOTA                      |  |
| Postal Code:    | 55369                          |  |

### **PROPERTY NUMBERS Total: 5**

| Property Type       | Number   |
|---------------------|----------|
| Patent Number:      | 9211282  |
| Patent Number:      | 9610280  |
| Patent Number:      | 9974770  |
| Application Number: | 16125337 |
| Application Number: | 15924881 |

#### **CORRESPONDENCE DATA**

**Fax Number:** (612)305-1228

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 6123051220

**Email:** cberling@mrgiplaw.com

Correspondent Name: MUETING, RAASCH & GEBHARDT, P.A.

Address Line 1: 111 THIRD AVENUE SOUTH - SUITE 350

Address Line 4: MINNEAPOLIS, MINNESOTA 55401

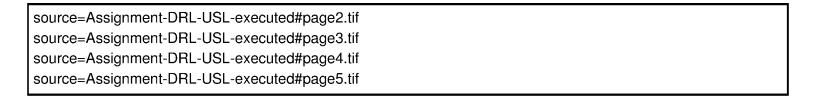
| ATTORNEY DOCKET NUMBER: | 0274.000094US90    |
|-------------------------|--------------------|
| NAME OF SUBMITTER:      | LAURA N. ARNESON   |
| SIGNATURE:              | /Laura N. Arneson/ |
| DATE SIGNED:            | 07/26/2019         |

**Total Attachments: 5** 

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PATENT REEL: 049878 FRAME: 0893

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This ASSIGNMENT OF PATENTS (this "Assignment Agreement") is made this 19th day of July . 2019 (the "Effective Date"), by and between Dr. Reddy's Laboratories Ltd, an Indian company ("Assigner"), and Upsher-Smith Laboratories, LLC, a Minnesota limited liability company ("Assignee"). Unless otherwise specifically provided herein, all capitalized terms used but not otherwise defined herein shall have the meanings ascribed thereto in the Asset Purchase Agreement.

WHEREAS, pursuant to that certain Asset Purchase Agreement dated as of June 13, 2019 (as may be amended from time to time, the "Asset Purchase Agreement") by and among Assignor, Assignee and Promius Pharma, LLC, Assignor has agreed to assign to Assignee certain patents and patent applications described in Exhibit A, attached hereto and made a part hereof (the "Patents"); and

WHEREAS, pursuant to the Asset Purchase Agreement, Assignee desires to purchase from Assignor, and Assignor has agreed to sell, all right, title and interest in and to the Patents as of the Effective Date;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor and Assignee hereby agree as follows:

- 1. Conveyance and Acceptance of Patents. Upon the terms and subject to the conditions of the Asset Purchase Agreement, Assignor hereby, irrevocably, without reservation, sells, transfers, grants, assigns and conveys to Assignee, free and clear of all Encumbrances other than the Permitted Encumbrances, and Assignee hereby purchases, acquires and accepts from Assignor, all of Assignor's right, title and interest in and to the Patents, together with the goodwill of the business symbolized by the Patents, and any and all other rights, privileges, and priorities provided under applicable law associated with respect to the Patents, including, but not limited to, the right of priority, the rights to any extension or renewal of any registration or application, the right to sue for present, past, and future infringement, the rights to use or abandon, the right to make derivative works, the right to reproduce in all formats, and the right to collect and retain any proceeds therefrom.
- 2. <u>Due Authorization</u>. Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States of America and any other official of any other applicable governmental authority to record Assignee as the assignee and owner of the Patents, and to issue any and all registrations from any and all applications for registration included in the Patents in and to the name of Assignee.
- 3. Further Assurances. Assignor shall execute and deliver such additional documents, instruments, conveyances and assurances, prepared by Assignee, and take such further actions as may be reasonably required to carry out the provisions hereof and give effect to the transactions contemplated by this Assignment Agreement, including the recordation of this Agreement and perfection of Assignee's interest in and to the Patents.
- 4. <u>Purchase Agreement Controls.</u> Nothing in this Assignment Agreement shall be deemed to supersede, diminish, enlarge or modify any of the provisions of the Asset Purchase Agreement, all of which survive the execution and delivery of this Assignment Agreement as provided and subject to the limitations set forth in the Asset Purchase Agreement. In the event of any conflict or inconsistency between the terms of the Asset Purchase Agreement and the terms hereof, the terms of the Asset Purchase Agreement shall govern and control.

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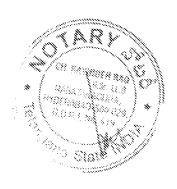
YOTALAJASOD 620

5. <u>Assignment: Governing Law: Jurisdiction</u>. This Assignment Agreement and the property herein contained shall be binding upon and inure to the benefit of Assignor, Assignee and their

respective successors and assigns. The provisions of Section 11.08 (Governing Law; Jurisdiction) of the Asset Purchase Agreement shall apply to this Assignment Agreement.

6. <u>Counterparts</u>. This Assignment Agreement may be executed in one or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument. A signed copy of this Assignment Agreement delivered by facsimile, e-mail or other means of electronic transmission shall be deemed to have the same legal effect as delivery of an original signed copy of this Assignment Agreement.

[Remainder of this page intentionally left blank. Signatures on following page.]



IN WITNESS WHEREOF, the parties, through their duly authorized representatives have executed this Assignment Agreement on the date first set above.

DR REDDY'S LABORATORIES LTD

|   | 5   | W. KEDDI 3 LABO  | KATOKIES, LTD. |        |
|---|---|--|----------------|--------|
|   |   | and the second   | . /1           |        |
|   | В   | y: <u> </u>  |                | w      |
|   | T   | itle:  | OPGRATENA      | sprice |
| Subscribed and swom to<br>This 11 day of 1244 |   |  |                |        |
| Notary Public                                 |   |  |                |        |
|   | ប   | PSHER-SMITH LAB  | ORATORIES, LLC |        |
|   | Ву  | r:   |                |        |
|   |   | ile:   |                |        |
| Subscribed and sworn to r                     | ne on   |  |                |        |
| This day of                                   | 2019 A. KAN-16'91   | ar North   | //-            |        |
|   | ATTESTI   |  | *              |        |
| Sotary Public                                 | CH. RAVINDSO ADVOCATE  ROTARY Appointed by Go 1-5-93, NARAWAGOA, HYD My Commission Expired on G.O.Gt. No. 415 Cell: 984921113 | .Sc. 11.S.<br>VI. OF T.S.<br>EMBAD-29<br>15-3-2021<br>I. |                |        |

[Signature Page to Assignment of Patents]

IN WITNESS WHEREOF, the parties, through their duly authorized representatives have executed this Patent Assignment Agreement to be effective as of the date first set above.

### DR. REDDY'S LABORATORIES LIMITED

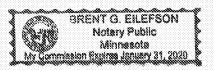
|                                  |                      | Ву:    |  |
|----------------------------------|----------------------|--------|--|
|                                  |                      | Title: |  |
| Subscribed and swo<br>Thisday of | orn to me on<br>2019 |        |  |
| Notary Public                    |                      |        |  |

UPSHER-SMITH LABORATORISS, LLC

Bv:

Title: Benjamin R. Field, IV, CEO and President

Subscribed and sworn to me on This 17day of 5 2019



### EXHIBIT A

### Patents

TOSYMRA
Patent Family-1:

| Country         | Status    | Application No.   | Filing Date | Patent No.                             | Grant Date                            |
|-----------------|-----------|-------------------|-------------|--|---------------------------------------|
| PCT             | Abandoned | PCT/US2010/038838 | 16-Jun-2010 | 1,                                     | ~                                     |
| PCT             | Published | PCT/IB2010/001708 | 17-Jun-2010 | Nationalized                           |                                       |
| US              | Expired   | 61/292,206        | 5-Jan-2010  | w.                                     | ,                                     |
| US              | Expired   | 61/292,213        | 5-Jan-2010  |  | *                                     |
| US              | Abandoned | 12/816,904        | 16-Jun-2010 | ^                                      |                                       |
| US              | Granted   | 12/817,740        | 17-Jun-2010 | US 9,211,282                           | 15-Dec-2015                           |
| US              | Granted   | 14/925,564        | 28-Oct-2015 | US 9,610,280                           | 94-Apr-2017                           |
| US              | Granted   | 15/434,814        | 16-Feb-2017 | US 9,974,770                           | 22-May-2018                           |
| US              | Pending   | 15/924,881        | 19-Mar-2018 | ************************************** | • • • • • • • • • • • • • • • • • • • |
| Australia       | Granted   | 2010299607A1      | 17-Jun-2010 | AU201029960<br>7B2                     | 10-Nov-2016                           |
| Japan           | Granted   | 2012-530344       | 17-Jun-2010 | JP5845183B2                            | 20-Jan-2016                           |
| EPO*            | Granted   | 20100743228       | 17-Jun-2010 | EP2480197B1                            | 11-Nov-2015                           |
| Mexico          | Granted   | 2012003519        | 17-Jun-2010 | MX338110                               | 01-Apr-2016                           |
| Korea           | Granted   | 1020127008650     | 17-Jun-2010 | KR101646079<br>B1                      | 06-Jun-2016                           |
| New<br>Zealand  | Granted   | 599344A1          | 17-Jun-2010 | NZ599344                               | 27-Feb-2015                           |
| South<br>Africa | Granted   | 20100743228       | 17-Jun-2010 | ZA2012/0216<br>8                       | 27-Dec-2012                           |
| Canada          | Granted   | 2775404           | 17-Jun-2010 | CA2775404C                             | 03-Jan-2017                           |

<sup>\*</sup>EP patent validated in Austria, Belgium, Switzerland, Czech, Germany, Denmark, Spain, France, United Kingdom, and Italy

Patent Family-2:

| Country Status | Application N | o. Filing Date Pate | nt No. Grant Date |
|----------------|---------------|---------------------|-------------------|
| US Pending     | 16/125,337    | 07-Sep-2018 -       |                   |

## ZEMBRACE

| Country | Status       | Application No.   | Filing Date | Patent No. | Grant Date |
|---------|--------------|-------------------|-------------|------------|------------|
| PCT     | Nationalized | PCT/US2016/015961 | 1-Feb-2016  |            |            |
| EPO     | Pending      | 16706279.3        | 1-Feb-2016  | .~         | 4.         |
| Canada  | Pending      | CA2994748         | 1-Feb-2016  | *          | ~          |
| Mexico  | Pending      | Mx/a/2018/001528  | 1-Feb-2016  | *          | -          |



**RECORDED: 07/26/2019**