

<b>PATENT ASSIGNMENT COVER SHEET</b>
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EPAS ID: PAT5638736

<b>SUBMISSION TYPE:</b>	CORRECTIVE ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	Corrective Assignment to correct the FIRST NAME OF SECOND INVENTOR (CORRECT SPELLING OF NAME IS: YUN SEOG LEE) previously recorded on Reel 044260 Frame 0864. Assignor(s) hereby confirms the THE NAME OF SECOND INVENTOR SHOULD READ: YUN SEOG LEE (NOT YUNSEOG LEE).

**CONVEYING PARTY DATA**

Name	Execution Date
SEYOUNG KIM	11/21/2017
YUN SEOG LEE	11/22/2017
DEVENDRA SADANA	11/29/2017
JOEL DE SOUZA	11/22/2017

**RECEIVING PARTY DATA**

<b>Name:</b>	INTERNATIONAL BUSINESS MACHINES CORPORATION
<b>Street Address:</b>	NEW ORCHARD ROAD
<b>City:</b>	ARMONK
<b>State/Country:</b>	NEW YORK
<b>Postal Code:</b>	10504

**PROPERTY NUMBERS Total: 1**

Property Type	Number
<b>Patent Number:</b>	10153159

**CORRESPONDENCE DATA****Fax Number:**

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

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**Email:** edciplaw@us.ibm.com

**Correspondent Name:** IBM CORPORATION - ENDICOTT DRAFTING CENTER

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**Address Line 2:** 1101 KITCHAWAN ROAD, ROUTE 134, PO BOX 2

**Address Line 4:** YORKTOWN, NEW YORK 10598

<b>ATTORNEY DOCKET NUMBER:</b>	YOR820160298US01
<b>NAME OF SUBMITTER:</b>	SUZANNE LOMBARDO
<b>SIGNATURE:</b>	/Suzanne Lombardo/
<b>DATE SIGNED:</b>	07/26/2019

**Total Attachments: 7**

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**DECLARATION (37 C.F.R. § 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. § 1.76) AND ASSIGNMENT**

Title of Invention: SOURCE AND DRAIN FORMATION USING SELF-ALIGNED PROCESSES

As a below named and undersigned inventor, I hereby declare that:

This declaration is directed to the attached application, or (if following box is checked):

United States application or PCT international application number \_\_\_\_\_ filed on \_\_\_\_\_.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application, including the claims.

I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56.

Whereas, I (“ASSIGNOR”) have made certain inventions, improvements, and discoveries (herein referred to as the “Invention”) disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;

Whereas, International Business Machines Corporation (herein referred to as the “ASSIGNEE”), a corporation of New York having a place of business at Armonk, New York, desires to acquire, and I desire to grant to the ASSIGNEE, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns,

whenever requested by the ASSIGNEE, its successors, legal representatives, or assigns.

I acknowledge my prior and ongoing obligations to sell, assign, and transfer my rights under this Assignment to the ASSIGNEE and am unaware of any reason why I may not have the full and unencumbered right to sell, assign, and transfer my rights hereby sold, assigned, and transferred, and have not executed, and will not execute, any document or instrument in conflict herewith. I also hereby grant the ASSIGNEE, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

Signature: E-SIGNED by /Seyoung Kim/ Date: 2017-11-21

(1) Legal Name of Inventor: Seyoung Kim

Signature: E-SIGNED by /Yunseog Lee/ Date: 2017-11-22

(2) Legal Name of Inventor: Yun Seog Lee

Signature: E-SIGNED by /Devendra Sadana/ Date: 2017-11-29

(3) Legal Name of Inventor: Devendra Sadana

Signature: E-SIGNED by /Joel de Souza/ Date: 2017-11-22

(4) Legal Name of Inventor: Joel de Souza



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## PATENT ASSIGNMENT COVER SHEET

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT

CONVEYING PARTY DATA	
Name	Execution Date
SEYOUNG KIM	11/21/2017
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JOEL DE SOUZA	11/22/2017

RECEIVING PARTY DATA	
Name:	Street Address:
INTERNATIONAL BUSINESS MACHINES CORPORATION	NEW ORCHARD ROAD

City:	ARMONK
State/Country:	NEW YORK
Postal Code:	10504

**PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15827108

**CORRESPONDENCE DATA**

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*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

Correspondent Name: IBM CORPORATION - ENDICOTT DRAFTING CENT  
 Address Line 1: T.J. WATSON RESEARCH CENTER  
 Address Line 2: 1101 KITCHAWAN ROAD, ROUTE 134, PO BOX 2  
 Address Line 4: YORKTOWN, NEW YORK 10598

**ATTORNEY DOCKET NUMBER:** YOR820160298US01

**NAME OF SUBMITTER:** SUZANNE LOMBARDO

**Signature:** /Suzanne Lombardo/

**Date:** 11/30/2017

This document serves as an Oath/Declaration (37 CFR 1.63).

**Total Attachments: 2**  
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UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECEMBER 1, 2017

PTAS

IBM CORPORATION - ENDICOTT DRAFTING  
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**504664262**

UNITED STATES PATENT AND TRADEMARK OFFICE  
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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT RECORDATION BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

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RECORDATION DATE: 11/30/2017

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NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: YOR820160298US01

ASSIGNOR:

KIM, SEYOUNG

DOC DATE: 11/21/2017

ASSIGNOR:

LEE, ~~YUNSEOG~~ YUN SEOG

DOC DATE: 11/22/2017

ASSIGNOR:

SADANA, DEVENDRA

DOC DATE: 11/29/2017

ASSIGNOR:

DE SOUZA, JOEL

DOC DATE: 11/22/2017

ASSIGNEE:

INTERNATIONAL BUSINESS MACHINES  
CORPORATION  
NEW ORCHARD ROAD  
ARMONK, NEW YORK 10504



APPLICATION NUMBER: 15827108                      FILING DATE:  
PATENT NUMBER:    ISSUE DATE:  
TITLE: SOURCE AND DRAIN FORMATION USING SELF-ALIGNED PROCESSES

ASSIGNMENT RECORDATION BRANCH  
PUBLIC RECORDS DIVISION