#### 505599079 07/31/2019

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5645880

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME

#### **CONVEYING PARTY DATA**

Name	Execution Date
AERPIO THERAPEUTICS, INC.	03/15/2017

#### **RECEIVING PARTY DATA**

Name:	AERPIO THERAPEUTICS LLC	
Street Address:	9987 CARVER ROAD	
City:	CINCINNATI	
State/Country:	ОНЮ	
Postal Code: 45242		

#### **PROPERTY NUMBERS Total: 12**

Property Type	Number
Application Number:	61258914
Application Number:	61258918
Patent Number:	8778412
Patent Number:	8536181
Patent Number:	8309537
Patent Number:	8999971
Patent Number:	9278930
Application Number:	15366437
Application Number:	15900447
Patent Number:	8883774
Patent Number:	9045495
Patent Number:	9540326

#### **CORRESPONDENCE DATA**

**Fax Number:** (650)493-6811

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Email:** rgallo@wsgr.com, patentdocket@wsgr.com **Correspondent Name:** WILSON SONSINI GOODRICH & ROSATI

Address Line 1: 650 PAGE MILL ROAD

Address Line 4: PALO ALTO, CALIFORNIA 94304-1050

PATENT REEL: 049919 FRAME: 0032

505599079

ATTORNEY DOCKET NUMBER:	45725-708
NAME OF SUBMITTER: TRISHA AGRAWAL	
SIGNATURE:	/Trisha Agrawal/
<b>DATE SIGNED:</b> 07/29/2019	
Total Attachments: 6	

source=AERPIO THERAPEUTICS LLC - DE - AUTHENTICATION COPY (1)#page1.tif source=AERPIO THERAPEUTICS LLC - DE - AUTHENTICATION COPY (1)#page2.tif source=AERPIO THERAPEUTICS LLC - DE - AUTHENTICATION COPY (1)#page3.tif source=AERPIO THERAPEUTICS LLC - DE - AUTHENTICATION COPY (1)#page4.tif source=AERPIO THERAPEUTICS LLC - DE - AUTHENTICATION COPY (1)#page5.tif source=AERPIO THERAPEUTICS LLC - DE - AUTHENTICATION COPY (1)#page6.tif



Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF

DELAWARE, DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND

CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE

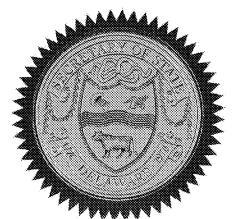
CORPORATION UNDER THE NAME OF "AERPIO THERAPEUTICS, INC." TO A

DELAWARE LIMITED LIABILITY COMPANY, CHANGING ITS NAME FROM "AERPIO

THERAPEUTICS, INC." TO "AERPIO THERAPEUTICS LLC", FILED IN THIS

OFFICE ON THE FIFTEENTH DAY OF MARCH, A.D. 2017, AT 5:03 O'CLOCK

P.M.



5067447 8100V SR# 20190853344

You may verify this certificate online at corp.delaware gov/authver.shtml

WS(C)

Authentication: 202229334

Date: 02-08-19

#### STATE OF DELAWARE CERTIFICATE OF CONVERSION

from a Corporation to a Limited Liability Company pursuant to Section 18-214 of the Limited Liability Act

- 1. The jurisdiction where the Corporation was first incorporated is Delaware.
- 2. The jurisdiction immediately prior to filing this Certificate is Delaware.
- 3. The date the Corporation first incorporated is November 17, 2011.
- 4. The name of the Corporation immediately prior to filing this Certificate is Aerpio Therapeutics, Inc.
- 5. The name of the Limited Liability Company as set forth in its Certificate of Formation is Aerpio Therapeutics LLC.

[Signature Page Follows]

State of Delaware
Secretary of State
Division of Corporations
Delivered 05:03 PM 03/15/2017
FILED 05:03 PM 03/15/2017
SR 20171791803 - File Number 5067447

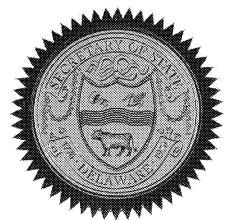
IN WITNES of March, 2017.	S WHEREOF, the undersigned has executed this Certificate on this 15th	day
	/s/ Joseph Gardner Joseph Gardner Authorized Person	243333

[Signature Page to Certificate of Conversion]

Page 1

# Delaware The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF FORMATION OF "AERPIO THERAPEUTICS LLC", FILED IN THIS OFFICE ON THE FIFTEENTH DAY OF MARCH, A.D. 2017, AT 5:03 O'CLOCK P.M.



5067447 8100 5R# 20190853344

You may verify this certificate online at corp delaware gov/authver.shtml

Janey W. Bolleck, Secretary of State

Authentication: 202229335

Date: 02-08-19

### STATE of DELAWARE LIMITED LIABILITY COMPANY CERTIFICATE of FORMATION

# AERPIO THERAPEUTICS LLC

FIRST. The name of the limited liability company is Aerpio Therapeutics LLC.

SECOND. The address of its registered office in the State of Delaware is The Corporation Trust Company, 1209 Orange Street, Wilmington, Delaware 19801.

THIRD. The name of its Registered agent at such address is The Corporation Trust Company, 1209 Orange Street, Wilmington, Delaware 19801.

[Signature Page Follows]

State of Delaware
Secretary of State
Division of Corporations
Delivered 05:03 PM 03/15/2017
FILED 05:03 PM 03/15/2017
SR 20171791803 - File Number 5067447

15th day of March, 2017.	
	/s/Joseph Gardner
	Joseph Gardner
	Authorized Person

In Witness Whereof, the undersigned has executed this Certificate of Formation this

[Signature Page to LLC Certificate of Formation]

PATENT REEL: 049919 FRAME: 0039

RECORDED: 07/31/2019