

## PATENT ASSIGNMENT COVER SHEET

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
MI-YEON KIM	02/28/2019
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	SHINE BIOHARMA, INC.
<b>Street Address:</b>	5F, 14, CHEONGGYECHEON-RO, JUNG-GU
<b>City:</b>	SEOUL
<b>State/Country:</b>	KOREA, REPUBLIC OF
<b>Postal Code:</b>	04520
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15897138
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(650)938-5200
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<b>Phone:</b>	6503357908
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<b>Address Line 4:</b>	MOUNTAIN VIEW, CALIFORNIA 94041
<b>ATTORNEY DOCKET NUMBER:</b>	34688-39923/US (001US)
<b>NAME OF SUBMITTER:</b>	HAYAN YOON, REG NO. L0954
<b>SIGNATURE:</b>	/Hayan Yoon/
<b>DATE SIGNED:</b>	08/06/2019
<b>Total Attachments: 3</b>	
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## CONFIRMATORY ASSIGNMENT

WHEREAS, pursuant to the Agreement made on November 22, 2016 (the "2016 Agreement") between **Mi-Yeon Kim** ("INVENTOR") and **INDUSTRY-ACADEMIC COOPERATION FOUNDATION, YONSEI UNIVERSITY**, 50 Yonsei-ro, Seodaemun-gu, Seoul 03722, Korea ("UNIVERSITY"), INVENTOR agreed to assign and did assign to UNIVERSITY, with full title guarantee, free from all and any charges or other third party rights, absolutely all of her entire worldwide right, title, and interest in and to all inventions and improvements that are disclosed in Korean Application No. 10-2016-0158739, filed November 25, 2016 (the "'739 Korean Application");

WHEREAS, the following patent applications (collectively, the "APPLICATIONS") disclose subject matter of which INVENTOR is an inventor or joint inventor and that was not disclosed in the '739 Korean Application (the "Additional Inventions"):

- Korean Application No. 10-2017-0036268, filed March 22, 2017;
- International Application No. PCT/KR2017/013444, entitled "COMPOSITION FOR PROMOTING DIFFERENTIATION OF AND PROTECTING NEURAL STEM CELLS AND METHOD FOR INDUCING NEURAL REGENERATION USING SAME", filed on November 23, 2017, which claims priority from Korean Application No. 10-2016-0158739, filed November 25, 2016 and Korean Application No. 10-2017-0036268, filed March 22, 2017; and
- U.S. Application No. 15/897,138, filed on February 14, 2018, which claims priority from International Application No. PCT/KR2017/013444;

WHEREAS, pursuant to a contract made on April 10, 2017 (the "2017 Agreement") between INVENTOR and **SHINE BIOPHARMA, INC.**, a corporation of the Republic of Korea, having a place of business at 5F, 14, Cheonggyecheon-ro, Jung-gu, Seoul 04520, Republic of Korea ("ASSIGNEE") and subsequently pursuant to a contract made on October 11, 2018 (the "2018 Agreement") between INVENTOR and ASSIGNEE, INVENTOR agreed to assign and did assign her rights to the Additional Inventions to ASSIGNEE;

NOW, THEREFORE, it is hereby agreed and confirmed as follows:

For good and valuable consideration, INVENTOR confirms that she had sold, assigned, and transferred and does hereby sell, assign, and transfer to ASSIGNEE, for itself and its successors, transferees, and assignees, the following, to the extent not previously transferred to UNIVERSITY pursuant to the 2016 Agreement:

1. The entire worldwide right, title, and interest in and to all inventions and improvements ("SUBJECT MATTER") that are disclosed in the APPLICATIONS;
2. The entire worldwide right, title, and interest in and to: (a) the APPLICATIONS; (b) all applications claiming priority from the APPLICATIONS; (c) all provisional, utility, divisional, continuation, substitute, renewal, reissue, and

Title:	COMPOSITION FOR PROMOTING DIFFERENTIATION OF AND PROTECTING NEURAL STEM CELLS AND METHOD FOR INDUCING NEURAL REGENERATION USING SAME		
Filed:	November 23, 2017	Attorney Docket #:	34688-39923/WO
Application #:	PCT/KR2017/013444		

other applications related thereto that have been or may be filed in the United States or elsewhere in the world; (d) all patents (including reissues and re-examinations) that may be granted on the applications set forth in (a), (b), and (c) above; and (e) all right of priority in the APPLICATIONS and in any underlying provisional or foreign application, together with all rights to recover damages for infringement of provisional rights.

INVENTOR confirms that she agreed and does hereby agree that ASSIGNEE may apply for and receive patents for SUBJECT MATTER in ASSIGNEE's own name.

INVENTOR confirms that she agreed and does hereby agree to do the following, when requested, and without further consideration, in order to carry out the intent of this Confirmatory Assignment: (1) execute all oaths, assignments, powers of attorney, applications, and other papers necessary or desirable to fully secure to ASSIGNEE the rights, titles and interests herein conveyed; (2) communicate to ASSIGNEE all known facts relating to the SUBJECT MATTER; and (3) generally do all lawful acts that ASSIGNEE shall consider desirable for securing, maintaining, and enforcing worldwide patent protection relating to the SUBJECT MATTER and for vesting in ASSIGNEE the rights, titles, and interests herein conveyed. INVENTOR confirms that she further agreed and does hereby further agree to provide any successor, assign, or legal representative of ASSIGNEE with the benefits and assistance provided to ASSIGNEE hereunder.


INVENTOR represents that INVENTOR had the rights, titles, and interests to convey as set forth herein, and covenants with ASSIGNEE that the INVENTOR has not made and will not hereafter make any assignment, grant, mortgage, license, or other agreement affecting the rights, titles, and interests herein conveyed.

INVENTOR grants the attorney of record the power to insert on this Confirmatory Assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office or other authority for recordation of this document.

Title:	COMPOSITION FOR PROMOTING DIFFERENTIATION OF AND PROTECTING NEURAL STEM CELLS AND METHOD FOR INDUCING NEURAL REGENERATION USING SAME		
Filed:	November 23, 2017	Attorney Docket #:	34688-39923/WO
Application #:	PCT/KR2017/013444		

This Confirmatory Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Confirmatory Assignment.

**INVENTOR:**



Mi-Yeon KIM

Feb 28, 2019

Date