

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT5658902

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
JOSEPH P. ERRICO	08/05/2014
STEVEN MENDEZ	08/05/2014
BRUCE J. SIMON	08/14/2014
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	ELECTROCORE, INC.
<b>Street Address:</b>	150 ALLEN ROAD
<b>Internal Address:</b>	SUITE 201
<b>City:</b>	BASKING RIDGE
<b>State/Country:</b>	NEW JERSEY
<b>Postal Code:</b>	07920
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	16535205
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Email:</b>	ip@farberllc.com
<b>Correspondent Name:</b>	FARBER LLC
<b>Address Line 1:</b>	4 CORPORATE DRIVE
<b>Address Line 2:</b>	SUITE 287
<b>Address Line 4:</b>	SHELTON, CONNECTICUT 06484
<b>ATTORNEY DOCKET NUMBER:</b>	EC 58D1
<b>NAME OF SUBMITTER:</b>	TRAM ANH NGUYEN
<b>SIGNATURE:</b>	/Tram Anh Nguyen/
<b>DATE SIGNED:</b>	08/08/2019
<b>Total Attachments: 4</b>	
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source=EC-58D1_Assignment-As-Filed#page3.tif	



# Delaware

The First State

Page 1

*I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE LIMITED LIABILITY COMPANY UNDER THE NAME OF "ELECTROCORE, LLC" TO A DELAWARE CORPORATION, CHANGING ITS NAME FROM "ELECTROCORE, LLC" TO "ELECTROCORE, INC.", FILED IN THIS OFFICE ON THE TWENTY-FIRST DAY OF JUNE, A.D. 2018, AT 4:06 O`CLOCK P.M.*

*A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.*



4029515 8100V  
SR# 20185296301

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature in black ink, appearing to read "JB", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed in a small font.

Authentication: 202933900  
Date: 06-21-18

**PATENT**  
**REEL: 049998 FRAME: 0075**

**CERTIFICATE OF CONVERSION**

**OF**

**ELECTROCORE, LLC**  
**(a Delaware limited liability company)**

**FROM A LIMITED LIABILITY COMPANY**  
**INTO A CORPORATION**

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 04:06 PM 06/21/2018  
FILED 04:06 PM 06/21/2018  
SR 20185296301 - File Number 4029515

In order to effect the conversion of electroCore, LLC, a limited liability company organized under the laws of the State of Delaware (the "**LLC**"), into a corporation incorporated under the laws of the State of Delaware (the "**Corporation**"), it is hereby certified that:

1. The date of which the LLC was first formed was September 13, 2005.
2. The name of the LLC immediately prior to the filing of this Certificate of Conversion with the Secretary of State of the State of Delaware is electroCore, LLC.
3. The name of the Corporation as set forth in its certificate of incorporation filed in accordance with subsection (b) of Section 265 of the Delaware General Corporation Law is electroCore, Inc.
4. The LLC is a limited liability company organized under the laws of the State of Delaware.
5. This Certificate of Conversion has been duly executed in accordance with subsection (h) of Section 265 of the Delaware General Corporation Law.
6. The effective time of the filing of this Certificate of Conversion shall be effective upon filing of this Certificate with the Secretary of State of the State of Delaware.

IN WITNESS WHEREOF, the LLC has caused this Certificate of Conversion to be executed by its duly authorized Chief Executive Officer as of the 21st day of June, 2018.

ELECTROCORE, LLC

By: /s/ Francis R. Amato  
Name: Francis R. Amato  
Title: Chief Executive Officer

**ASSIGNMENT**

WHEREAS, **Joseph P. Errico**, a citizen of the United States of America, residing at 5 Tiffanys Way, Warren, NJ 07059 US; **Steven Mendez**, a citizen of the United States of America, residing at 10 Willow Drive, Chester, NJ 07930 US; and **Bruce J. Simon**, a citizen of the United States of America, residing at 56 Pollard Road, Mountain Lakes, NJ 07040 US; referred to as ASSIGNOR(S), have invented a certain new and useful invention ("INVENTION") entitled:

**MOBILE PHONE FOR STIMULATING THE TRIGEMINAL NERVE TO TREAT DISORDERS**

WHEREAS, ASSIGNOR(S) have filed an application for Letters Patent, entitled the same, identified as U.S. Continuation in Part Application Serial No. 14/337,059 filed 21 July 2014 (the "APPLICATION"); and

WHEREAS, **Electrocore, LLC**, with a principal place of business at 150 Allen Road, Suite 201, Basking Ridge, New Jersey 07920 US, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in, to and under said INVENTION, APPLICATION, and all United States Letters Patent to be obtained therefrom.

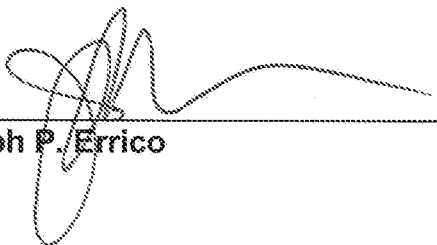
NOW THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for good and valuable consideration, the receipt of which is hereby acknowledged, the ASSIGNOR(S) hereby sell, assign, transfer, grant and convey to ASSIGNEE the full and exclusive right, title and interest in and to said APPLICATION and said INVENTION and any and all Letters Patents of the United States, to be obtained therefor on said APPLICATION and INVENTION and any and all non-provisionals, continuations, continuations-in-part, divisionals, renewals, substitutes, reexamination certificates and reissues thereof for the full term or terms for which the same may be granted.

ASSIGNOR(S) also hereby sell, assign, transfer, grant and convey to ASSIGNEE the full and exclusive right, title and interest in and to said INVENTION in all foreign countries, and all applications for Letters Patent which may evolve therefrom or from the APPLICATION, including the right to claim priority, including International Convention priority, based thereon.

ASSIGNOR(S) hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNOR(S) further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said APPLICATION, said INVENTION and said Letters Patent as may be known and accessible to ASSIGNOR(S), and ASSIGNOR(S) will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to

ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, defend and enforce said APPLICATION, said INVENTION, and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

  
\_\_\_\_\_  
Joseph P. Errico

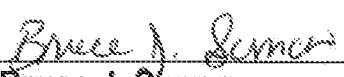
Date: 08/05/2014  
\_\_\_\_\_

Witness: \_\_\_\_\_  
Print Name: \_\_\_\_\_

  
\_\_\_\_\_  
Steven Mendez

Date: 08/05/2014  
\_\_\_\_\_

Witness: \_\_\_\_\_  
Print Name: \_\_\_\_\_

  
\_\_\_\_\_  
Bruce J. Simon

Date: 8/14/14  
\_\_\_\_\_

Witness: \_\_\_\_\_  
Print Name: \_\_\_\_\_