

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT5659875

|                              |                |
|------------------------------|----------------|
| <b>SUBMISSION TYPE:</b>      | NEW ASSIGNMENT |
| <b>NATURE OF CONVEYANCE:</b> | ASSIGNMENT     |

**CONVEYING PARTY DATA**

| Name          | Execution Date |
|---------------|----------------|
| XINGDONG ZHAO | 07/10/2019     |
| ZUWEN ZHOU    | 07/10/2019     |
| CHENGXI HE    | 07/10/2019     |
| ZHIFU LI      | 07/10/2019     |
| YUE RONG      | 07/10/2019     |
| RUI TAN       | 07/10/2019     |
| HUAJIE ZHANG  | 07/10/2019     |
| HONGBIN LIU   | 07/10/2019     |
| WEIPENG ZHANG | 07/10/2019     |
| HAOHAN TAN    | 07/10/2019     |
| ZHIFANG CHEN  | 07/10/2019     |
| LIHUA JIANG   | 07/10/2019     |
| YANXIN LIU    | 07/10/2019     |
| MIN LIN       | 07/10/2019     |
| JING SUN      | 07/10/2019     |
| WEIBO WANG    | 07/10/2019     |

**RECEIVING PARTY DATA**

|                          |                                          |
|--------------------------|------------------------------------------|
| <b>Name:</b>             | SHANGHAI FOCHON PHARMACEUTICAL CO., LTD. |
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| <b>State/Country:</b>    | CHINA                                    |
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| <b>Name:</b>             | FOCHON PHARMACEUTICALS, LTD.             |
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| <b>Internal Address:</b> | NAN'AN DISTRICT                          |
| <b>City:</b>             | CHONGQING                                |
| <b>State/Country:</b>    | CHINA                                    |
| <b>Postal Code:</b>      | 400061                                   |

**PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 16475826 |

**CORRESPONDENCE DATA**

**Fax Number:** (215)965-1331

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

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**Email:** jgodish@panitchlaw.com

**Correspondent Name:** JESSICA L. GODISH

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**Address Line 2:** TWO COMMERCE SQUARE 2001 MARKET ST, SUITE 2800

**Address Line 4:** PHILADELPHIA, PENNSYLVANIA 19103

|                                |             |
|--------------------------------|-------------|
| <b>ATTORNEY DOCKET NUMBER:</b> | 209671-15US |
|--------------------------------|-------------|

|                           |                   |
|---------------------------|-------------------|
| <b>NAME OF SUBMITTER:</b> | JESSICA L. GODISH |
|---------------------------|-------------------|

|                   |                     |
|-------------------|---------------------|
| <b>SIGNATURE:</b> | /Jessica L. Godish/ |
|-------------------|---------------------|

|                     |            |
|---------------------|------------|
| <b>DATE SIGNED:</b> | 08/08/2019 |
|---------------------|------------|

|  |                                                            |
|--|------------------------------------------------------------|
|  | This document serves as an Oath/Declaration (37 CFR 1.63). |
|--|------------------------------------------------------------|

**Total Attachments: 5**

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**INVENTOR'S COMBINED  
DECLARATION (37 CFR 1.63) AND ASSIGNMENT  
(Utility Patent Application)**

As a below-named inventor/assignor of a certain new and useful invention entitled:

**COMPOUNDS AS BCL-2-SELECTIVE APOPTOSIS-INDUCING AGENTS**

**DECLARATION**

I hereby declare that:

This Declaration is directed to the patent application attached hereto or United States application or PCT Application number PCT/CN2018/071562 filed on January 5, 2018 and U.S. Patent Application No. 16/475,826 filed on July 3, 2019.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this Declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Note to Inventor: 37 C.F.R. § 1.63(e) states: "A person may not execute an oath or declaration for an application unless that person has reviewed and understands the contents of the application, including the claims, and is aware of the duty to disclose to the Office all information known to the person to be material to patentability as defined in § 1.56."

**ASSIGNMENT**

WHEREAS, I ("ASSIGNOR") desire/am obligated to assign to the below-named ASSIGNEES the invention identified above;

WHEREAS, ("ASSIGNEES"):

Shanghai Fochon Pharmaceutical Co., Ltd.  
(a Chinese Corporation)  
Room 512, Building A, No. 1289 Yishan Road  
Shanghai 200233  
PEOPLE'S REPUBLIC OF CHINA

and

Fochon Pharmaceuticals, Ltd.  
(a Chinese Corporation)

No. 565, Tushan Road  
Nan'an District, Chongqing 400061  
PEOPLE'S REPUBLIC OF CHINA

are desirous of acquiring the entire right, title and interest in and to the invention throughout the United States, and all right, title and interest in, to and under any and all Letters Patent of the United States resulting from the invention;

FOR GOOD and VALUABLE CONSIDERATION, the full receipt and sufficiency of which are hereby acknowledged, ASSIGNOR(S), intending to be legally bound, do hereby:

AUTHORIZE said ASSIGNEES, or their representatives to insert above the filing date and application number of the application when these are known;

SELL, ASSIGN, TRANSFER and CONVEY to ASSIGNEES the whole and entire right, title and interest for the United States and its possessions and territories in and to the invention which is disclosed in the above-identified patent application, and, in and to any and all patent applications related thereto including, but not limited to, all provisionals, non-provisionals, divisionals, continuations, continuations-in-part, substitutes, reexaminations, reissues and all other applications for patent which have been or shall be filed in the United States on the invention; all original, reissued and reexamined patents and extensions thereof which have been or shall be issued in the United States on the invention to the full end of the term or terms for which the patent(s) may be granted, as fully and entirely as the same would have been held by the undersigned ASSIGNOR(S) had this Assignment not been made; and specifically including all rights of priority created by the above patent application under any treaty, convention or law relating thereto;

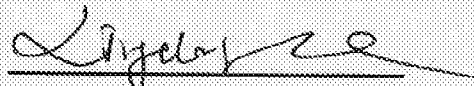
AUTHORIZE and REQUEST the issuing authority to issue any and all United States patents granted on the invention to ASSIGNEES;

WARRANT and REPRESENT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by ASSIGNOR(S), and that the full right to convey the same as herein expressed is possessed by ASSIGNOR(S);

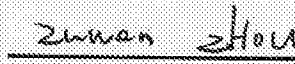
AGREE and UNDERTAKE, when requested and at the expense of ASSIGNEES, to carry out in good faith the intent and purpose of this Assignment, ASSIGNOR(S) will execute all non-provisionals, divisionals, continuations, continuations-in-part, substitutes, reexaminations, reissues, and all other patent applications on the invention; execute all lawful oaths, declarations, assignments, powers of attorney and other papers; communicate to ASSIGNEES all facts known to ASSIGNOR(S) relating to the invention and the history thereof; and generally do everything possible which ASSIGNEES shall consider desirable for vesting title to the invention in ASSIGNEES, and for securing, maintaining and enforcing proper patent protection for the invention; all without further compensation to ASSIGNOR(S);

TO BE BINDING on the heirs, assigns, representatives and successors of ASSIGNOR(S) and extending to the successors, assigns, and nominees of ASSIGNEES.

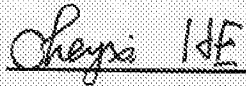
First joint inventor/Assignor:

Date: July 10th 2019 Signature:   
Typed Legal Name: Xingdong ZHAO

Second joint inventor/Assignor:

Date: July 10th 2019 Signature:   
Typed Legal Name: Zuwen ZHOU

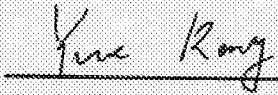
Third joint inventor/Assignor:

Date: July 10th 2019 Signature:   
Typed Legal Name: Chengxi HE

Fourth joint inventor/Assignor:

Date: July 10th 2019 Signature:   
Typed Legal Name: Zhifu LI

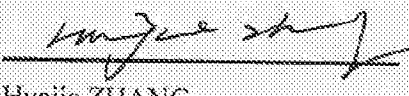
Fifth joint inventor/Assignor:

Date: July 10th 2019 Signature:   
Typed Legal Name: Yue RONG

Sixth joint inventor/Assignor:

Date: July 10th 2019 Signature:   
Typed Legal Name: Rui TAN

Seventh joint inventor/Assignor:

Date: July 10th 2019 Signature:   
Typed Legal Name: Huajie ZHANG

Eighth joint inventor/Assignor:

Date: July, 10th, 2019 Signature: Hongbin LIU  
Typed Legal Name: Hongbin LIU

Ninth joint inventor/Assignor:

Date: July, 10th, 2019 Signature: Weipeng ZHANG  
Typed Legal Name: Weipeng ZHANG

Tenth joint inventor/Assignor:

Date: July 10th, 2019 Signature: Haohan TAN  
Typed Legal Name: Haohan TAN

Eleventh joint inventor/Assignor:

Date: July 10th, 2019 Signature: Zhifang CHEN  
Typed Legal Name: Zhifang CHEN

Twelfth joint inventor/Assignor:

Date: July 10th, 2019 Signature: Lihua JIANG  
Typed Legal Name: Lihua JIANG

Thirteenth joint inventor/Assignor:

Date: July 10th, 2019 Signature: Yanxin LIU  
Typed Legal Name: Yanxin LIU

Fourteenth joint inventor/Assignor:

Date: July 10th, 2019 Signature: Min LIN  
Typed Legal Name: Min LIN

Fifteenth joint inventor/Assignor:

Date: July 10, 2019

Signature:

Jing Sun

Typed Legal Name: Jing SUN

Sixteenth joint inventor/Assignor:

Date: July 10, 2019

Signature:

Wei Wang

Typed Legal Name: Weiho WANG