

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5659947

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|---|------------------------------------|
| SUBMISSION TYPE: | NEW ASSIGNMENT |
| NATURE OF CONVEYANCE: | ASSIGNMENT |
| CONVEYING PARTY DATA | |
| Name | Execution Date |
| KYLE W.H. CHAN | 07/05/2019 |
| APARAJITA HOSKOTE CHOURASIA | 07/05/2019 |
| PAUL E. ERDMAN | 07/02/2019 |
| LEAH FUNG | 07/05/2019 |
| FRANK MERCURIO | 07/05/2019 |
| ROBERT SULLIVAN | 07/02/2019 |
| RECEIVING PARTY DATA | |
| Name: | BIOOTHERYX, INC. |
| Street Address: | 20 CABIN RIDGE ROAD |
| City: | CHAPPAQUA |
| State/Country: | NEW YORK |
| Postal Code: | 10514 |
| PROPERTY NUMBERS Total: 1 | |
| Property Type | Number |
| Application Number: | 16438244 |
| CORRESPONDENCE DATA | |
| Fax Number: | (949)760-9502 |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | |
| Phone: | (949)760-0404 |
| Email: | efiling@knobbe.com |
| Correspondent Name: | KNOBBE, MARTENS, OLSON & BEAR, LLP |
| Address Line 1: | 2040 MAIN STREET |
| Address Line 2: | 14TH FLOOR |
| Address Line 4: | IRVINE, CALIFORNIA 92614 |
| ATTORNEY DOCKET NUMBER: | BIOTX.021A |
| NAME OF SUBMITTER: | JANE QIAN DAI |
| SIGNATURE: | /Jane Qian Dai/ |
| DATE SIGNED: | 08/08/2019 |
| This document serves as an Oath/Declaration (37 CFR 1.63). | |

PATENT

Total Attachments: 8

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COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))*Application Data Sheet filed previously or concurrently***Docket No.:** BIOTX.021A

Page 1 of 8

Title: FUSED THIOPHENE COMPOUNDS**Inventors:** Kyle W.H. Chan; Aparajita Hoskote Chourasia; Paul E. Erdman; Leah Fung; Frank Mercurio; Robert Sullivan***Declaration***

This Declaration is directed to U.S. or International Application No. **16/438,244**, filed June 11, 2019 and incorporating any amendments made thereto prior to the signature date of this Declaration.

As a named inventor, I declare that:

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

I have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

Assignment from Inventors

WHEREAS, **above-identified inventors** (individuals hereinafter "ASSIGNOR") invented certain new and useful improvements, technology, inventions, developments, ideas, ornamental designs, or discoveries related to **FUSED THIOPHENE COMPOUNDS** (collectively hereinafter referred to as the "Work") for which an application for Letters Patent in the United States (identified above) has been filed or prepared for filing with the United States Patent and Trademark Office (hereinafter the "Application"), and ASSIGNOR desires to assign or confirm assignment of the Work and the Application to the below identified Assignee.

AND WHEREAS, **BioTheryX, Inc.**, with its principal place of business at **20 Cabin Ridge Road, Chappaqua, NY 10514** (hereinafter the "ASSIGNEE"), desires to acquire or confirm ownership of the entire right, title, and interest in and to the Application and the Work.

NOW, THEREFORE, for good and valuable consideration of which receipt is hereby acknowledged, ASSIGNOR hereby acknowledges that ASSIGNOR has sold, assigned, transferred, and set over, and by these presents does hereby sell, assign, transfer, and set over, unto said ASSIGNEE, **its** successors, legal representatives, and assigns, the entire right, title, and interest throughout the world in and to the Application and the Work, including: all provisional applications relating to the Work and the Application (including but not limited to U.S. Provisional Application No. **62/684,495**, filed **June 13, 2018**); all nonprovisional applications claiming priority to aforementioned provisional and/or the Application, including, all divisions, continuations, continuations-in-part, and reissues, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof; and all rights of priority under International Conventions and any related Letters Patent which may hereafter be granted or filed in any country or countries foreign to the United States, all extensions, renewals, and reissues thereof.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all related Letters Patent to the ASSIGNEE, **its** successors, legal representatives, and assigns.

PATENT**REEL: 050002 FRAME: 0967**

COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))*Application Data Sheet filed previously or concurrently***Docket No.:** BIOTX.021A

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Title: FUSED THIOPHENE COMPOUNDS**Inventors:** Kyle W.H. Chan; Aparajita Hoskote Chourasia; Paul E. Erdman; Leah Fung; Frank Mercurio;
Robert Sullivan

AND ASSIGNOR DOES HEREBY sell, assign, transfer, and convey to ASSIGNEE, its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of said Letters Patent before or after issuance.

AND ASSIGNOR DOES HEREBY covenant and agree that ASSIGNOR will: communicate to said ASSIGNEE, its successors, legal representatives, and assigns any facts known to ASSIGNOR respecting the Work; testify in any legal proceeding; assist in the preparation of any other Patent Property relating to the Application and the Work or any improvements made thereto; sign/execute all lawful papers; authorize the filing of, execute, and make all rightful oaths and/or declarations in connection with the Application and the Work including any improvements made thereto, any patent applications filed therefrom, and any continuing application filed from any of the aforementioned applications; and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives, and assigns, to obtain and enforce proper patent protection for the Work in all countries.

PATENT**REEL: 050002 FRAME: 0968**

COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))*Application Data Sheet filed previously or concurrently***Docket No.:** BIOTX.021A

Page 3 of 8

Title: FUSED THIOPHENE COMPOUNDS**Inventors:** Kyle W.H. Chan; Aparajita Hoskote Chourasia; Paul E. Erdman; Leah Fung; Frank Mercurio;
Robert Sullivan**Legal Name of Inventor:** Kyle W.H. ChanIN TESTIMONY WHEREOF, I hereunto set my hand and seal this 5 day of July,
2019

Signature: _____

Signature before a Notary is desirable but not required.

A NOTARY PUBLIC OR OTHER OFFICER COMPLETING THIS CERTIFICATE
VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE
DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE
TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF CALIFORNIA

COUNTY OF _____

} ss.

On _____, before me, _____, notary public, personally
appeared Kyle W.H. Chan who proved to me on the basis of satisfactory evidence to be the person(s)
whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they
executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the
instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing
paragraph is true and correct.

WITNESS my hand and official seal.

Notary Signature

[SEAL]

COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))*Application Data Sheet filed previously or concurrently*

Docket No.: BIOTX.021A

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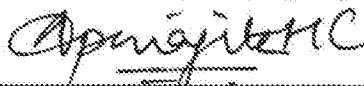
Title: FUSED THIOPHENE COMPOUNDS

Inventors: Kyle W.H. Chan; Aparajita Hoskote Chourasia; Paul E. Erdman; Leah Fung; Frank Mercurio;
Robert Sullivan

Legal Name of Inventor: Aparajita Hoskote Chourasia

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 5th day of JULY,
2019

Signature: _____

*Signature before a Notary is desirable but not required.*

A NOTARY PUBLIC OR OTHER OFFICER COMPLETING THIS CERTIFICATE
VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE
DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE
TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF CALIFORNIA

ss.

COUNTY OF _____

On _____, before me, _____, notary public, personally
appeared Aparajita Hoskote Chourasia who proved to me on the basis of satisfactory evidence to be the
person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that
he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their
signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted,
executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing
paragraph is true and correct.

WITNESS my hand and official seal.

Notary Signature

[SEAL]

COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))*Application Data Sheet filed previously or concurrently***Docket No.:** BIOTX.021A

Page 5 of 8

Title: FUSED THIOPHENE COMPOUNDS**Inventors:** Kyle W.H. Chan; Aparajita Hoskote Chourasia; Paul E. Erdman; Leah Fung; Frank Mercurio;
Robert Sullivan**Legal Name of Inventor:** Paul E. ErdmanIN TESTIMONY WHEREOF, I hereunto set my hand and seal this 2 day of July,
2019Signature: *Signature before a Notary is desirable but not required.*

A NOTARY PUBLIC OR OTHER OFFICER COMPLETING THIS CERTIFICATE
VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE
DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE
TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF CALIFORNIA

ss.

COUNTY OF _____

On _____, before me, _____, notary public, personally
appeared Paul E. Erdman who proved to me on the basis of satisfactory evidence to be the person(s)
whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they
executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the
instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing
paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]

Notary Signature

COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))*Application Data Sheet filed previously or concurrently*

Docket No.: BIOTX.021A

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Title: FUSED THIOPHENE COMPOUNDS

Inventors: Kyle W.H. Chan; Aparajita Hoskote Chourasia; Paul E. Erdman; Leah Fung; Frank Mercurio;
Robert Sullivan

Legal Name of Inventor: Leah Fung

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 5 day of July,
2014.Signature: Leah Fung*Signature before a Notary is desirable but not required.*

A NOTARY PUBLIC OR OTHER OFFICER COMPLETING THIS CERTIFICATE
VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE
DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE
TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF CALIFORNIA

COUNTY OF _____

ss.

On _____, before me, _____, notary public, personally
appeared Leah Fung who proved to me on the basis of satisfactory evidence to be the person(s) whose
name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed
the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument
the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing
paragraph is true and correct.

WITNESS my hand and official seal.

Notary Signature

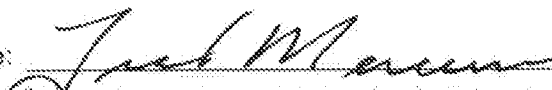
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COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))*Application Data Sheet filed previously or concurrently***Docket No.:** BIOTX.021A

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Title: FUSED THIOPHENE COMPOUNDS**Inventors:** Kyle W.H. Chan; Aparajita Hoskote Chourasia; Paul E. Erdman; Leah Fung; Frank Mercurio;
Robert Sullivan**Legal Name of Inventor:** Frank MercurioIN TESTIMONY WHEREOF, I hereunto set my hand and seal this 5 day of July,
2019.

Signature:

*Signature before a Notary is desirable but not required.*

A NOTARY PUBLIC OR OTHER OFFICER COMPLETING THIS CERTIFICATE
VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE
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TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF CALIFORNIA

ss.

COUNTY OF _____

On _____, before me, _____, notary public, personally
appeared Frank Mercurio who proved to me on the basis of satisfactory evidence to be the person(s)
whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they
executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the
instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing
paragraph is true and correct.

WITNESS my hand and official seal.

Notary Signature

[SEAL]

COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))

Application Data Sheet filed previously or concurrently

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Title: FUSED THIOPHENE COMPOUNDS

Inventors: Kyle W.H. Chan; Aparajita Hoskote Chourasia; Paul E. Erdman; Leah Fung; Frank Mercurio;
Robert Sullivan

Legal Name of Inventor: Robert Sullivan

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 2 day of July,
2019.

Signature: [Signature]

Signature before a Notary is desirable but not required.

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TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF CALIFORNIA

COUNTY OF _____

ss.

On _____, before me, _____, notary public, personally
appeared Robert Sullivan who proved to me on the basis of satisfactory evidence to be the person(s)
whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they
executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the
instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing
paragraph is true and correct.

WITNESS my hand and official seal.

Notary Signature

[SEAL]

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