

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5661136

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
DIEGO ALVARADO	03/28/2016
JAY LILLQUIST	03/28/2016
GWENDA LIGON	03/28/2016
RECEIVING PARTY DATA	
Name:	KOLLTAN PHARMACEUTICALS, INC.
Street Address:	300 GEORGE STREET
Internal Address:	SUITE 530
City:	NEW HAVEN
State/Country:	CONNECTICUT
Postal Code:	06511
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15755421
CORRESPONDENCE DATA	
Fax Number:	(212)755-7306
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	2123263939
Email:	gjunge@jonesday.com, nluo@jonesday.com, wtokmakidis@jonesday.com,pjiang@jonesday.com
Correspondent Name:	JONES DAY
Address Line 1:	250 VESEY STREET
Address Line 4:	NEW YORK, NEW YORK 10281
ATTORNEY DOCKET NUMBER:	12638-115-999
NAME OF SUBMITTER:	PEILING JIANG
SIGNATURE:	/Peiling Jiang/
DATE SIGNED:	08/09/2019
Total Attachments: 3	
source=12638-115-999 Assignment Alavarado, Lillquist, Ligon to Kolltan#page1.tif	
source=12638-115-999 Assignment Alavarado, Lillquist, Ligon to Kolltan#page2.tif	

ASSIGNMENT

WHEREAS, WE, **Diego ALVARADO**, citizen of the U.S.A. and Spain, residing in Madison, CT, U.S.A., **Jay LILLQUIST**, citizen of the U.S.A., residing in East Lyme, CT, U.S.A., and **Gwenda LIGON**, citizen of the U.S.A., residing in Hamden, CT, U.S.A., ASSIGNORS, are inventors, with Michael Feldhaus, of the invention in **ANTI-ALK ANTIBODIES AND METHODS OF USE THEREOF** for which we have filed a provisional application for a Patent of the United States

- ☒ which is identified by Jones Day docket no. 12638-115-888
- ☒ which was filed on August 27, 2015, Application No. 62/210,705

and WHEREAS, **KOLLTAN PHARMACEUTICALS, INC.**, a corporation organized and existing under the laws of the state of Delaware, and having an office for the transaction of business at 300 George Street, Suite 530, New Haven, Connecticut 06511, ASSIGNEE, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States provisional application and all applications claiming priority thereto under 35 U.S.C. § 119(e) and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and all right to sue for infringement including past infringement;

AND WE HEREBY authorize and request the Commissioner for Patents and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date 3/28, 2016

Diego ALVARADO

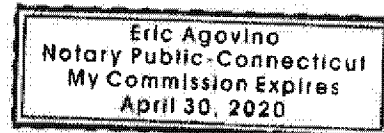
L.S.

STATE OF CONNECTICUT)
) SS.:
COUNTY OF NEW HAVEN)

On March 28, 2016 before me, Eric Agovino, Notary Public, personally appeared **Diego ALVARADO**, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Signature [Signature] (Seal)



Date 3/28/2016, 2016

Jay LILLQUIST

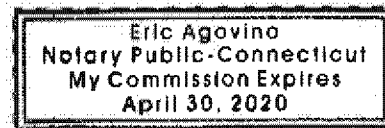
L.S.

STATE OF CONNECTICUT)
) SS.:
COUNTY OF NEW HAVEN)

On March 28, 2016 before me, Eric Agovino, Notary Public, personally appeared **Jay LILLQUIST**, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Signature [Signature] (Seal)



Date 3/28/2016, 2016

Gwenda LIGON

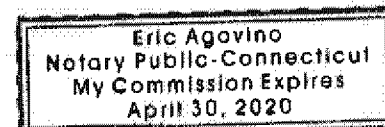
L.S.

STATE OF CONNECTICUT)
) SS.:
COUNTY OF NEW HAVEN)

On March 28, 2016 before me, Eric Agovino, Notary Public, personally appeared **Gwenda LIGON**, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

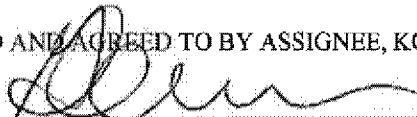
WITNESS my hand and official seal

Signature [Signature] (Seal)



ACCEPTED AND AGREED TO BY ASSIGNEE, KOLLTAN PHARMACEUTICALS, INC.:

Signature



Date:

5/6/16

Printed name

Gerald McMahon

Title

President and CEO