505622766 08/15/2019

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5669568

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
MICHELLE RENEE KUHNE	02/02/2019
ALAN J. KORMAN	03/03/2019
HAICHUN HUANG	02/02/2019
YIMING YIN	04/04/2019
ROBERT F. GRAZIANO	04/04/2019
NATALIE A. BEZMAN	05/05/2019
PAVEL STROP	03/05/2019
RICHARD Y. HUANG	04/04/2019
GUODONG CHEN	04/04/2019
MOHAN SRINIVASAN	06/06/2019
PETER SUNG KEUN LEE	06/17/2019
GAMZE OZLEM CAMDERE	06/22/2019

#### **RECEIVING PARTY DATA**

Name:	BRISTOL-MYERS SQUIBB COMPANY
Street Address:	ROUTE 206 AND PROVINCE LINE ROAD
City:	PRINCETON
State/Country:	NEW JERSEY
Postal Code:	08543

#### **PROPERTY NUMBERS Total: 2**

Property Type	Number
Application Number:	16362411
PCT Number:	US2019023693

#### **CORRESPONDENCE DATA**

**Fax Number:** (609)252-4526

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 6502609683
Email: patents@bms.com

Correspondent Name: BRISTOL-MYERS SQUIBB COMPANY
Address Line 1: ROUTE 206 AND PROVINCE LINE ROAD

505622766 REEL: 050059 FRAME: 0545

PATENT

Address Line 4: PRIN	CETON, NEW JERSEY 08543
ATTORNEY DOCKET NUMBER:	13054-US-NP_13054-WO-PCT
NAME OF SUBMITTER:	CHRISTOPHER R. LEACH
SIGNATURE:	/Christopher R. Leach/
DATE SIGNED:	08/15/2019
Total Attachments: 14	
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#### **ASSIGNMENT**

We,

KUHNE, Michelle Renee; KORMAN, Alan J.; HUANG, Haichun; YIN, Yiming; GRAZIANO, Robert F.; BEZMAN, Natalie A.; STROP, Pavel; HUANG, Richard Y.; CHEN, Guodong; SRINIVASAN, Mohan; LEE, Peter Sung Keun; CAMDERE, Gamze Ozlem

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to Bristol-Myers Squibb Company, a Delaware corporation, having a place of business at Rt. 206 & Province Line Road, Princeton, NJ 08543, and its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

#### ANTIBODIES AGAINST MICA AND/OR MICB AND USES THEREOF

invented by us and described in the non-provisional United States Patent Application Number 16/362,411, filed 22 March 2019, and PCT International Patent Application Number PCT/US2019/023693, filed 22 March 2019,

including said non-provisional United States patent application and PCT International Patent Application and any patent application claiming priority from above identified patent applications, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, said interest being the entire ownership of said invention and all of said patent applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

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And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all patent applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said patent applications, patents (including reissue patents), extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee and its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this  $\frac{2}{100}$  day of  $\frac{1}{100}$ , 2019

Michelle Nener Chuti

#### **NOTARIAL CERTIFICATE**

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA

COUNTY OF SAN MATEO )

before me, Christopher R. Leach - Notary Public,

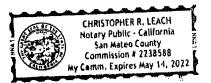
Here Insert Name and Title of the Officer

personally appeared

Michelle Renee KUHNE

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/afe subscribed to the within instrument and acknowledged to me that ke/she/they executed the same in hig/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public

Docket No. 13054-US-NP: 3338.1010003

13054-WO-PCT: 3338.101PC02

Signed this 5th day of muc, 2019

by

Jan J. KORMAN

### NOTARIAL CERTIFICATE

### CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA

COUNTY OF SAN MATEO

On

before me, Christopher R. Leach - Notary Public,

Here Insert Name and Title of the Officer

personally appeared

Alan J. KORMAN

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public

Signed this day of , 2019

by Haichun HIIANG

### NOTARIAL CERTIFICATE

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA

COUNTY OF SCI 17 MONTES

On

before me Chistopher Read - Notary Public,

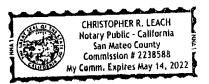
Here Insert Name and Title of the Officer

personally appeared

Haichun HUANG

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public

Signed this Anday of April 2019

by

**Yiming YIN** 

Witness

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Signed this the day of april 2019

Robert F. GRAZIANO

### **NOTARIAL CERTIFICATE**

UNITED STATES	OF AMERICA )	i
STATE OF	New Jersey	ss

COUNTY OF Mercei

n \_\_\_\_\_\_\_ 2019, personally appeared before me,

Robert F. GRAZIANO, known or identified to me to be the individual(s) described in and who executed the foregoing Assignment and acknowledged the same as a free act and deed for uses and purposes therein expressed.

100 (C.F.)

Notary Public

NELL ANNE E. BARTHOLOMEW

Notary Public of New Jersey

My Commission Expires March 23, 2021

Signed this day of May, 2019

by Natalie A. BEZMAN

### **NOTARIAL CERTIFICATE**

### CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA )	
COUNTY OF SAN MATEO )	
On 5/5/19	before me, Christopher R. Leach - Notary Public,  Here Insert Name and Title of the Officer
personally appeared	
Natalie A. BEZMAN	
	Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public

Docket No. 13054-US-NP: 3338.1010003

13054-WO-PCT: 3338.101PC02

Signed this Gray of May, 2019

### **NOTARIAL CERTIFICATE**

### CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA )	
COUNTY OF SAN MATEO )	
On	
	before me, Christopher R. Leach - Notary Public,
	Here Insert Name and Title of the Officer
personally appeared	, u
Pavel STROP	
	Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/ske/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public

Signed this <u>\*</u> day of <u>\*</u>, 2019

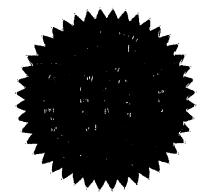
Richard Y. HUANG

### **NOTARIAL CERTIFICATE**

<b>UNITED STATE</b>	S OF AMERICA	)
STATE OF	New Jersey	) ss
COLINTY OF	Marcar	١.,

On \_\_\_\_\_\_\_, 2019, personally appeared before me,

Richard Y. HUANG, known or identified to me to be the individual(s) described in and who executed the foregoing Assignment and acknowledged the same as a free act and deed for uses and purposes therein expressed.



Notary Public

NELL ANNE E. BARTHOLOMEW Notary Public of New Jersey My Commission Expires March 23, 2021

Signed this the day of the 2019

b١

Guodong CHEN

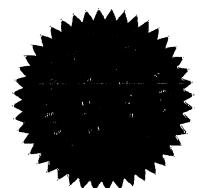
# **NOTARIAL CERTIFICATE**

UNITED STATES	OF AMERICA	)
STATE OF	New Jersey	) ss

COUNTY OF Mercer

, 2019, personally appeared before me,

Guodong CHEN, known or identified to me to be the individual(s) described in and who executed the foregoing Assignment and acknowledged the same as a free act and deed for uses and purposes therein expressed.



Notary Public

NELL ANNE E. BARTHOLOMEW Notary Public of New Jersey My Commission Expires March 23, 2021

Docket No. 13054-US-NP: 3338.1010003

13054-WO-PCT: 3338.101PC02

Signed this <u>b</u> day of <u>sink</u>, 2019

by Mohan SRINIVASAN

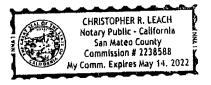
### NOTARIAL CERTIFICATE

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the Individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA )		
COUNTY OF SAN MATEO )		
On 6/6/19	before me, Christopher R. Leach - Notary Public,  Here Insert Name and Title of the Officer	
personally appeared	Here Insert Nume und Time of the Officer	
Mohan SRINIVASAN		
Name(s) of Signer(s)		

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public

Signed this 14 day of 4 h, 2019

by \_\_\_\_\_

**Peter Sung Keun LEE** 

### NOTARIAL CERTIFICATE

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STATE OF CALIFORNIA )	
COUNTY OF SAN MATEO )	
On <u>6/71/19</u>	before me, Christopher R. Leach - Notary Public,  Here Insert Name and Title of the Officer
personally appeared	
Peter Sung Keun LEE	
<del></del>	Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public

	200			5.10	2)
Signed	this 🛂	day	of	1 9 1	_, 2019

Gamze Ozlem CAMDERE

### NOTARIAL CERTIFICATE

### CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT CIVIL CODE § 1189

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STATE OF CALIFORNIA

COUNTY OF SAN MATEO

personally appeared

before me, Christopher R. Leach - Notary Public,

Here Insert Name and Title of the Officer

Gamze Ozlem CAMDERE

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS may hand and official seal.

Place Notary Seal Above

14