## 505628777 08/19/2019

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

tylesheet Version v1.2

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
PHILIP JONES	08/15/2019
BARBARA CZAKO	08/15/2019
CHRISTOPHER L. CARROLL	08/15/2019
PIJUS MANDAL	08/15/2019
JASON CROSS	08/15/2019

#### **RECEIVING PARTY DATA**

Name:	BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM
Street Address:	201 WEST 7TH ST.
City:	AUSTIN
State/Country:	TEXAS
Postal Code:	78701

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16536923

### **CORRESPONDENCE DATA**

**Fax Number:** (415)432-6001

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 415.696.5422
Email: RDer@mintz.com
Correspondent Name: YAOHUA DONG

Address Line 1: MINTZ LEVIN COHN FERRIS GLOVSKY & POPEO, P.C.

Address Line 2: ONE FINANCIAL CENTER

Address Line 4: BOSTON, MASSACHUSETTS 02111

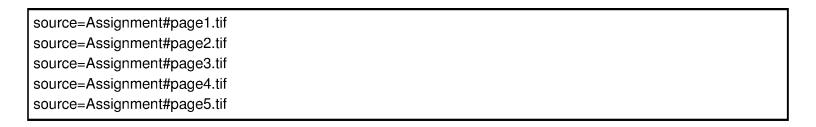
ATTORNEY DOCKET NUMBER:	052736-504001US
NAME OF SUBMITTER:	YAOHUA DONG
SIGNATURE:	/Yaohua Dong/
DATE SIGNED:	08/19/2019
	•

**Total Attachments: 5** 

PATENT REEL: 050093 FRAME: 0189

EPAS ID: PAT5675582

505628777



PATENT REEL: 050093 FRAME: 0190

#### ASSIGNMENT

We, Philip JONES of 2727 Carolina Way, Houston, TX 77005, a citizen of the United Kingdom, Barbara CZAKO of 4332 Betty Street, Bellaire, TX 77401, a citizen of the United States, Christopher L. CARROLL of 1901 East Road, Houston, TX 77054, a citizen of the United States, Pijus MANDAL of 4630 Springfield Lakes Street, Sugarland, TX 77479, a citizen of India, and Jason CROSS of 2821 Moss Lake Lane, Pearland, TX 77854, a citizen of Canada have invented **PTPN11 INHIBITORS**. This is an Assignment of the following rights, title and interest (check all that apply):

☑ United States of America rights, title and interest in the invention
Foreign rights, title and interest in the invention
An application to the Commissioner for Patents of the United States of America filed
herewith
☐ United States Provisional Patent Application Serial No. 62/717,588 filed on
August 10, 2018
☐ United States Provisional Patent Application Serial No. 62/773,921 filed on
November 30, 2018
United States Patent Application Serial No. 16/536,923 filed on August 9, 2019
United States Patent No(s).
International (PCT) Patent Application Serial No. PCT/US2019/045903 filed on
August 9, 2019
Other (specify):

We were employed by The University of Texas M. D. Anderson Cancer, a State Institution of Higher Education established under the laws of the State of Texas within The University of Texas System, at the time the invention was made. The conditions under which said invention was made are such that the Board of Regents, The University of Texas System (hereinafter SYSTEM), having a place of business at 201 West 7<sup>th</sup> St., Austin, TX 78701, owns the entire right, title, and interest in the invention, in the United States and all other countries throughout the world.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we, the undersigned, have voluntarily sold, assigned, and transferred and do voluntarily sell, assign, and transfer to SYSTEM, and successors and assigns, our full and exclusive right, title and interest in and to the invention(s) disclosed in said application throughout the world, including the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in said application. This includes an assignment of all Letters Patent that may be granted on the invention in the United States of America and all countries throughout the world, and any divisional, renewal, continuation in whole or in part, substitution, conversion, reexamination, reissue, prolongation or extension thereof; and the right to claim priority from the patent application as provided for by United States law, the Patent Cooperation Treaty, the European Patent Convention, the Paris Convention, or other applicable law.

We have authorized SYSTEM to file and prosecute this patent application, as well as any corresponding international or national applications that claim priority from it. SYSTEM has the right to select attorneys or agents of its choice to prosecute at its discretion these applications on

its behalf. We authorize and request the issuance of said Letters Patent to SYSTEM, as assignee of the entire right, title, and interest to be held as fully and entirely as the same would have been held by us had this assignment not been made.

We grant SYSTEM, the power to insert on this Assignment any further information that may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

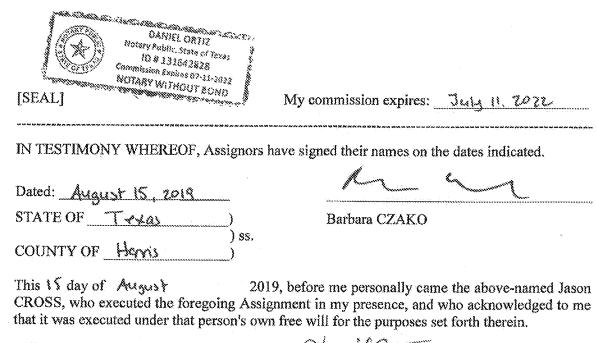
We warrant that there are no outstanding assignments, grants, liens, encumbrances, or agreements either written, oral, or implied that will impair, diminish, limit, or abridge the interest herein conveyed at the time of the execution of the present assignment. We shall not communicate nor cooperate in any manner with any third party, other than SYSTEM, that claims any rights in and to said invention(s) and said application.

We also hereby assign all rights, title and interest to any improvements related to said invention(s) to SYSTEM and agree to promptly and fully communicate to SYSTEM any facts and information known to us respecting any improvements related to said invention(s) and said application while any patent application anywhere in the world is still pending that claims priority, whether directly or indirectly, from said invention(s) or said application(s). We will maintain any such facts and information in confidence and will only reveal such facts and information to SYSTEM or its assigns.

We also covenant that we will communicate to SYSTEM, its representatives, assigns or agents, any facts known to us respecting said invention(s) or said application(s), and to testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths, provide all requested documents, and do everything reasonably possible to aid SYSTEM and its assigns to obtain and enforce proper patent protection for said invention(s) or said application(s) in the United States or any foreign country. These provisions are binding upon our heirs, legal representatives, administrators and assigns.

We grant SYSTEM, the power to insert on this Assignment any further information that may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

NOTARY WITHOUT BOND



DANIEL ORTIZ

Nofary Public for Texas

10 # 131542828

Commission Expires 17-11-2022

NOTARY WITHOUT BOND

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: August 15, 2019

STATE OF T-xal
) ss.

COUNTY OF Havis

Christopher L. CARROLL

This \( \) day of \( \) day of \( \) 2019, before me personally came the above-named Barbara CZAKO, who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein.

OANIEL ORTIZ

Notary Public, State of Texas

10 # 1316628228

Commission Expires 07-11-2022

NOTARY WITHOUT BOND

Notary Publicator TAGO

My commission expires: July 11, 2022

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated. Dated: August 15, 7019 STATE OF TAYOU Pijus MANDAL COUNTY OF BOX'S This 15 day of August 2019, before me personally came the above-named Christopher L. CARROLL, who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein, DANIEL ORTIZ Notary Public, State of Toxes 10 # 131642828 My commission expires: July 11, 7022 Commission Expires 07-31-2022 NOTARY WITHOUT BOND IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated. Dated: <u>August 15, 2019</u> STATE OF TYKES Jason CROSS COUNTY OF HOW'S This 15 day of Myast 2019, before me personally came the above-named Pijus MANDAL, who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein. [SEAL] My commission expires: ] LL 11, 1002 DANIEL ORTIZ Hosary Public, State of Texas 10 8 131642828 Commission Expires 07-11-2022 NOTARY WITHOUT BOND

IN TESTIMONY WHEREOF, a representative of SYSTEM has signed their name on the date indicated, acknowledging acceptance of the transfer.

Dated: AUGUST 15, 2019

STATE OF TEXAS

Assignee: Board of Regents, The University of Texas System

Name of representative: Marc Vockell Title: Assistant General Counsel

COUNTY OF TRAVIS

This Ista day of August

201% before me personally came the above-named Marc Vockell, who executed the foregoing Assignment in my presence, and who acknowledged to me that it was executed under that person's own free will for the purposes set forth therein.

Marcella Jacks
Notary or Consular Officer

[SEAL]

MARCELLA TRANT Notary Public Notary ID # 13200563-0 State of Texas Comm. Exp. 05/01/2023

NOTARY WITHOUT BOND

RECORDED: 08/19/2019