

PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT5696641

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT		
CONVEYING PARTY DATA			
Name			Execution Date
AMANDA SMITH			09/12/2017
RECEIVING PARTY DATA			
Name:	JPMORGAN CHASE BANK, N.A.		
Street Address:	383 MADISON AVENUE		
City:	NEW YORK		
State/Country:	NEW YORK		
Postal Code:	10179		
PROPERTY NUMBERS Total: 2			
Property Type	Number		
Application Number:	29677881		
Application Number:	29677902		
CORRESPONDENCE DATA			
Fax Number:	(678)553-2302		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
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Address Line 2:	C/O GREENBERG TRAURIG, LLP		
Address Line 4:	CHICAGO, ILLINOIS 60601		
ATTORNEY DOCKET NUMBER:	52227.001644&52227.001645		
NAME OF SUBMITTER:	ROBERT A. KING		
SIGNATURE:	/ROBERT A. KING/		
DATE SIGNED:	08/30/2019		
Total Attachments: 5			
source=Amanda Smith Assignment Document#page1.tif			
source=Amanda Smith Assignment Document#page2.tif			
source=Amanda Smith Assignment Document#page3.tif			
source=Amanda Smith Assignment Document#page4.tif			

ASSIGNMENT

WHEREAS, I, Amanda Smith; have invented, developed, and/or have rights in the invention(s) (hereinafter “Inventions”) disclosure in a United States patent Applications entitled:

DISPLAY SCREEN OR PORTION THEREOF WITH A GRAPHICAL USER INTERFACE;
and DISPLAY SCREEN OR PORTION THEREOF WITH A GRAPHICAL USER
INTERFACE

filed on January 24, 2019, and respectively accorded Application Nos. 29/677, 881 and 29/677, 902 (hereinafter “Applications”); and

WHEREAS, JPMorgan Chase Bank, N.A., a corporation organized under the laws of the United States of America and the State of Ohio, and having a place of business at 383 Madison Avenue, New York, NY 10179 (hereinafter “Assignee”), is desirous of acquiring the entire right, title, and interest in and to the Inventions and in and to the Applications.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I have sold, assigned, transferred and set over, and do hereby sell, assign, transfer and set over to Assignee and its successors and assigns, our entire right, title and interest, worldwide, in and to the Invention and the Application, and all patents and applications, worldwide, claiming priority to and/or benefit of, directly or indirectly, or based in whole or in part on, said Application and/or said Invention, including continuations, continuations-in-part, divisionals, reissues, reexaminations, renewals, substitutes, and extensions thereof, and any international and regional applications, based in whole or in part on any of the aforesaid applications or in whole or in part on said Invention, and all priority rights under the International Convention for the Protection of Industrial Property for every member country, and all utility-model registrations, inventor’s certificates, and the like heretofore or hereafter filed, worldwide, for the Invention, and in and to any and all patents (including all extensions, renewals, reexaminations and reissues thereof), worldwide, which have been or may be granted on any of the aforesaid applications or on said Invention or any parts thereof.

AND I HEREBY covenant and agree for ourselves and our heirs, executors and administrators that I will communicate to Assignee any facts known to us respecting the Invention and the aforementioned applications, sign all lawful papers, testify in any legal proceeding, make all rightful oaths, and take any other actions that may be necessary or desirable to secure to Assignee its right, title, and interest in and to the aforementioned patents, applications and Invention, and generally do everything possible to aid Assignee to obtain and enforce proper patent protection for the Invention and the aforementioned patents and applications;

AND I HEREBY agree to execute without further consideration any further documents and instruments which may be necessary, lawful and proper in the prosecution of said applications, or in the preparation or prosecution of any continuation, continuation-in-part, divisional, substitute, renewal, reexamination or reissue application or in any amendments, extensions or interference proceedings, or other applications for patents or similar rights of any region or country, that may be necessary to secure to Assignee its interest and title in and to said Invention or any parts thereof, and in and to said applications and patents;

AND I HEREBY authorize and request our agents, Greenberg Traurig, LLP, whose address is 77 West Wacker Drive, Suite 3100; Chicago, IL 60601, to insert hereon any identification necessary or desirable for recordation of this document, including the filing date and application number of said Application when known;

AND I HEREBY covenant for ourselves and our legal representatives, and agree with said Assignee, its successors and assigns, that I have the full right to convey the entire interest herein assigned, and that I have granted no right or license to make, use, sell or offer to sell said Invention, to anyone except said Assignee, that prior to the execution of this deed, our right, title and interest in said Invention and said Application had not been otherwise encumbered, and that I have not and will not execute any instrument in conflict therewith;

AND I HEREBY authorize and request the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty is to issue patents on applications as aforesaid, to issue any and all letters patents which may be granted upon said United States and foreign applications or upon the Invention to the Assignee.

- SIGNATURE BEGINS ON NEXT PAGE -

JPMORGAN CHASE & CO.

**CODE OF CONDUCT 2017
AFFIRMATION RECORD**

I hereby affirm that I have read, understand, and am in compliance with the JPMorgan Chase (JPMC) Code of Conduct and all internal JPMC policies that apply to me. I agree, as a condition of my employment, to remain in compliance with the Code and all applicable JPMC policies, as amended from time to time.

I affirm that I have reported any known or suspected violations of the Code of Conduct, internal JPMC policies, or laws or regulations applicable to JPMC's business, as required by Section 1.5 of the Code of Conduct. I understand that failure to do so can result in disciplinary action, up to and including termination of employment.

I understand that the Code of Conduct is updated periodically as necessary, and that the most current version is posted on the JPMorgan Chase intranet.

I acknowledge that:

- I must conduct myself in a way that is consistent with the Code of Conduct, demonstrating compliance with the principles of the Code of Conduct, and with applicable laws and regulations;
- Section 3.6 of the Code of Conduct imposes certain responsibilities that continue after my employment with JPMC terminates, including but not limited to, the return of property, the protection of confidential information, and assisting with investigations or litigation related to my role at JPMC;
- to the extent required by the Responsibilities of Former Employees Policy – Firmwide, I agree to abide by the prohibition in soliciting or hiring JPMC employees and soliciting customers. I further acknowledge that it is my responsibility to be familiar with all of my post-employment obligations; and
- I agree to abide by these responsibilities after my employment terminates.

Standard ID: E743313

Name: Smith, Amanda

Department: 162193 - DESIGN FOR BUS AND COMMERCIAL

Affirmation Date: 12 Sep 2017

Confirmation Number: 2545319

**A CODE AFFIRMATION BY THE ABOVE-NAMED EMPLOYEE WAS RECEIVED
BY CODE OF CONDUCT OPERATIONS**

**PATENT
REEL: 050225 FRAME: 0621**

3.1 Protecting JPMorgan Chase's Assets and Using Them Appropriately

Our assets are the resources we use to conduct our business. Use Company assets for legitimate Company business and appropriately safeguard them including against cyber-related attack, theft, loss, waste or abuse. By protecting our assets, we protect our competitive advantage in the marketplace. You also have a responsibility to extend the same degree of care to assets entrusted to our Company by others.

Our assets include:

- Physical assets, such as office furnishings, equipment and supplies
- Technology assets, such as computer hardware, software and information systems
- Financial assets, such as cash, securities and credit cards
- Our Company's name, its brand and our customer relationships
- Information assets, such as intellectual property, including information about products, services, systems and other data

Company assets should be used only for the conduct of the Company's business, except where otherwise provided by the Code or other Company policies. The Company's business includes, for these purposes, service related to an outside activity at the request of the Company (for example, service on the board of a not-for-profit at the request of JPMC, or at the request of the JPMC Market Leadership Team, or service on an advisory committee or on the board of a clearing house, exchange or depository at the request of JPMC (see Section 3.3.3)).

All physical and technology assets provided by the Company, whether used inside or outside the JPMorgan Chase workplace, are Company property and are provided for your business use. Never sell, lend or give them away, regardless of their condition or value, unless you are authorized to do so. In general, you should not use our assets (including technology or information resources) for your personal activities. Personal use of JPMC electronic communication devices

(email, telephone, etc.) and assets (JPMC email addresses, JPMC technology, JPMC information resources, etc.) should be kept to a minimum, to the extent permitted in your jurisdiction or business, and comply with JPMC policies and procedures, and with applicable laws and regulations. Personal use should not interfere with your business obligations, should not burden JPMorgan Chase's assets, should not introduce additional risk to the Company, and should not negatively impact or disrupt your colleagues.

Use electronic communications wisely and be aware of the Company's monitoring practices.

We reserve the right to monitor, review, access, record, and disclose data as we deem appropriate, subject to applicable laws and regulations. You should not expect privacy when using Company resources.

Make sure your use of the Company intranet and internet access complies with our policies. In particular:

- Do not transmit or provide access to confidential information unless it is adequately protected in accordance with Company policies and its transmission is necessary for business purposes.
- Do not use these resources in a way that would violate applicable law (for example, use or sale of controlled substances) or any of our policies (for example, discrimination, harassment, gambling, misuse of confidential information or social media).
- Do not access, download, upload, save or send sexually oriented – or other offensive materials.
- Do not access, download, upload, save, send or use any proprietary materials – such as software, articles, photographs, pictures or graphs – unless you are authorized to do so.
- Do not send Company information to an external email address for any non-business purpose or to your personal email account for any reason.

Protect our information systems. The threat of a cyber attack is a very real risk for our Company.

Never install unauthorized software, applications, hardware or storage devices on your Company-issued computer, and don't access our network through unauthorized applications or devices. Take care to prevent theft, loss or unauthorized use of electronic information and systems by:

- Using extreme caution in opening email attachments from unknown or suspicious senders
- Protecting your passwords and personal IDs and not sharing them with others
- Ensuring the physical security of information or hardware that is assigned to you

Respect and protect our intellectual property.

Information you create, send, receive, download or store on our electronic or telephonic equipment and systems is Company property. Any invention, discovery, development, concept, idea, process or work related to our Company's business – no matter what form it takes,

Is my Company email address a Company asset?

Yes, your Company email address is a Company asset and should only be used for business purposes, including business-related websites. For instance, you should not use your Company email address as a username for any new personal website accounts and should use diligent efforts to remove it as a username from any existing personal accounts.

whether or not it can be patented or copyrighted, even if you only work on it outside the office — that you develop alone or with others while you work at JPMorgan Chase is referred to as a “Company Invention” and belongs to the Company. As a condition of your employment, you assign to the Company, exclusively, all of your rights, title and interest in any Company Inventions. You are required to provide any documents or other assistance we need to obtain intellectual property rights (such as patents, trademarks and copyrights) for Company Inventions developed during your employment with us.

Please note, if a Company Invention you develop is later copyrighted, it is called a “work made for hire.” As such, the Company owns the copyright to that Company Invention and is not required to acknowledge your role in creating it or ask your permission to modify it, expand on it or benefit from it.

Select related policies & further guidance

3.2 Maintaining Accurate Records

Recordkeeping policies and controls are essential to the successful operation of our Company and our ability to meet our legal and business requirements. Each of us is responsible for being accurate, complete and honest in Company records and for complying with all of the recordkeeping policies, controls and procedures we have in place.

Never falsify any book, record or account that relates to the business of our Company, customers, employees or suppliers or the disposition of our assets. This means being honest and accurate in all aspects of your job, including entries you make on expense reports, timekeeping records, results you record on sales incentive plans and claims you make under our employee benefit plans.