

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
COLIN RICHARD GREEN	08/21/2015
YERI KIM	08/21/2015
BRADFORD JAMES DUFT	08/21/2015
ANTHONY PHILLIPS	08/21/2015
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State/Country:	CALIFORNIA
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Internal Address:	LEVEL 10, UNISERVICES HOUSE
City:	AUCKLAND
State/Country:	NEW ZEALAND
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PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14833041
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SIGNATURE:	/Vicki G. Norton/
DATE SIGNED:	09/11/2019
Total Attachments: 5 source=E3697-00431_CONFIRMATORY_ASSSIGNMENT_EXECUTED#page1.tif source=E3697-00431_CONFIRMATORY_ASSSIGNMENT_EXECUTED#page2.tif source=E3697-00431_CONFIRMATORY_ASSSIGNMENT_EXECUTED#page3.tif source=E3697-00431_CONFIRMATORY_ASSSIGNMENT_EXECUTED#page4.tif source=E3697-00431_CONFIRMATORY_ASSSIGNMENT_EXECUTED#page5.tif	

CONFIRMATORY ASSIGNMENT

THIS CONFIRMATORY ASSIGNMENT is made this 21st day of August, 2015
BETWEEN:

- (1) **AUCKLAND UNISERVICES LIMITED**, having an address at Level 10,
UniServices House, 70 Symonds Street, Auckland 1142, New Zealand ("UniServices");
- (2) **CODA THERAPEUTICS, INC.**, a Delaware corporation located at 12520 High
Bluff Drive, Suite 350, San Diego, California, United States, 92130 ("CoDa")
- (3) **COLIN RICHARD GREEN**, a New Zealand citizen, of 3/4 Crescent Road,
Epsom, Auckland, New Zealand;
- (4) **YERI KIM**, a New Zealand citizen, of 2/7 Fifeshire St, Takapuna, North Shore City,
Auckland;
- (5) **ANTHONY PHILLIPS**, a New Zealand citizen, c/o CoDa Therapeutics, Inc. 12520
High Bluff Drive, Suite 350, San Diego, CA 92130-2093
- (6) **BRADFORD JAMES DUFT**, a United States citizen, c/o CoDa Therapeutics, Inc.
12520 High Bluff Drive, Suite 350, San Diego, CA 92130-2093

A. Whereas Colin Richard Green, Yeri Kim, Anthony Phillips and Bradford James Duft, hereinafter individually and jointly referred to as "Assignors" confirm and assign all their respective share and interest in the subject matter disclosed in the patent applications listed in Exhibit A, including all inventions and discoveries described therein ("the Channel Modulator Intellectual Property Rights");

B. Whereas, the subject matter disclosed in the patent applications listed in Exhibit A was developed and made by, or on behalf of Uniservices and CoDa, on or before the effective filing dates of the patent applications listed in Exhibit A;

C. Whereas UniServices and CoDa have agreed to enter into an Exclusive License Agreement with respect to the Channel Modulator Intellectual Property Rights; and,

D. Whereas UniServices and CoDa have agreed that neither party will commercialize the Channel Modulator Intellectual Property Rights without the express written agreement of the other, said Exclusive License Agreement constituting UniServices' written agreement that CoDa

shall be the entity responsible for commercializing the Channel Modulator Intellectual Property Rights.

NOW THEREFORE, to give full effect to the above the parties have agreed to execute this Confirmatory Assignment and have agreed that this Confirmatory Assignment should be recorded in the Patent Office;

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, and intending to be legally bound hereby, we, the undersigned Assignors, hereby confirm that we have agreed to sell, assign, transfer, and convey unto the Assignees, its successors, and assigns, our entire right, title, and interest in and to the invention(s) described in the Channel Modulator Intellectual Property Rights (including the patent application listed in Exhibit A) for the territory of the United States and its possessions and territories and for the territory of all foreign countries worldwide; and by these presents confirm the sale, assignment, transfer and conveyance in and to the Patent Application(s) in Exhibit A and all inventions and improvements that are described and/or claimed in the Patent Application(s), or any U.S. or foreign patent or application that claims or is entitled to claim the benefit of the priority date of said application(s), including any utility application ("said utility application"); any continuation, continuation-in-part, or divisional application of said utility application; any patent(s) that issue from the foregoing application(s), including any utility patents and models; any patent(s) that issue from the foregoing application(s) and are subjected to *inter partes* review, supplemental examination, reexamination, reissue, substitutes, any post-grant proceeding, or the like; and any design registrations granted for any of the inventions or improvements described in the foregoing application(s) or patent(s); all rights in any inventions and improvements that are described and/or claimed in any patents and/or patent applications that claim or are entitled to claim priority to the filing date of any one or more of the foregoing applications and that are filed in accordance with the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like or similar purpose; together with rights of priority created by such patent applications under any international treaty or convention relating thereto, including any rights accrued by the publication of the applications, such as 35 U.S.C. § 154(d), Art. 67(1), and any rights arising from the invention under laws and conventions protecting copyright, trademark, trade dress, or

other industrial property, together with all rights of priority created by such patent applications under any international treaty or convention relating thereto; and

such invention(s), application(s), and patent(s) to be owned, held and enjoyed jointly by CoDa and UniServices,


Assignors authorize the Assignees or the Assignee's representative to insert the application number(s) and filing date(s) of these application(s) into this Assignment or any application claiming priority thereto if they are unknown at the time this Assignment is executed.

Assignors agree that, when requested, they will, without charge to the Assignees but at their own expense, sign all papers, take all rightful oaths, make all rightful declarations, and do all acts which may be necessary, desirable, or convenient for securing and maintaining patents or other forms of protection for the invention(s) in any and all countries, and for vesting title thereto in the Assignees or its successors or assigns.

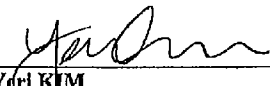
Assignors agree to communicate to the Assignees or its representatives any facts known to the Assignors respecting the invention(s) and, when requested by the Assignees and at its expense, will testify in any legal proceedings, and generally do everything possible to aid the Assignees, its successors, assigns, and legal representatives, to obtain and enforce protection for the invention(s) in any and all countries.

Assignors agree that a copy of this Assignment shall be deemed a full, legal, and formal equivalent of any assignment, consent to file, or like document that may be required in any country for any purpose, and more particularly, in proof of the right of the Assignees, or its successors, assigns, and legal representatives to apply for patent or other protection for the invention(s), and to claim the benefits of the right of priority provided by any relevant international treaty or convention relating to any of the aforementioned patent applications.

Date: August 21st 2015


Colin Richard GREEN

Date: Aug 21st 2015


Yeri KIM


Date: 21 August 2015


Bradford James DUFF

Date: 21 August 2015


Anthony PHILLIPS

Date: September 11, 2019


CoDa Therapeutics, Inc., by David Pool,
Chief Financial Officer

Date: 10/9/2019


Auckland UniServices Limited, by

EXHIBIT A
Channel Modulator Intellectual Property Rights

COUNTRY	APPLICATION NO.	APPLICATION DATE	TITLE
USA	14/833,041	August 21, 2015	Methods of Treatment
PCT	PCT/US2015/046425	August 21, 2015	Methods of Treatment
NZ	628630 (Provisional)	August 22, 2014	Methods of Treatment
NZ	709673 (Provisional)	July 2, 2015	Methods of Treatment
US	62/080,217 (Provisional)	November 14, 2014	Gap junction modulators for treatment of ocular neuropathy
US	62/085,226 (Provisional)	November 26, 2014	Gap junction modulators for treatment of ocular neuropathy
US	62/146,128 (Provisional)	April 10, 2015	Gap junction modulators for treatment of ocular disorders
US	62/147,488 (Provisional)	April 14, 2015	Gap junction modulators for treatment of ocular disorders