

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT5719133

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
DANIEL K. NOMURA	10/13/2017
ROBERTO ZONCU	10/05/2018
ALLISON M. ROBERTS	04/12/2018
KELVIN F. CHO	04/14/2018
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
<b>Street Address:</b>	1111 FRANKLIN STREET, TWELFTH FLOOR
<b>City:</b>	OAKLAND
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94607-5200
<b>PROPERTY NUMBERS Total: 3</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	62572234
<b>Application Number:</b>	16159380
<b>PCT Number:</b>	US1855692
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Email:</b>	IPDocketingBOS@mintz.com
<b>Correspondent Name:</b>	MINTZ, LEVIN, COHN, FERRIS, GLOVSKY AND POPEO, P.C
<b>Address Line 1:</b>	3580 CARMEL MOUNTAIN ROAD
<b>Address Line 2:</b>	300
<b>Address Line 4:</b>	SAN DIEGO, CALIFORNIA 92130
<b>ATTORNEY DOCKET NUMBER:</b>	052103-511P01US
<b>NAME OF SUBMITTER:</b>	DORIS LEE
<b>SIGNATURE:</b>	/Doris Lee/
<b>DATE SIGNED:</b>	09/13/2019
<b>Total Attachments: 4</b>	

source=052103-511P01US-Executed\_Assignment#page1.tif  
source=052103-511P01US-Executed\_Assignment#page2.tif  
source=052103-511P01US-Executed\_Assignment#page3.tif  
source=052103-511P01US-Executed\_Assignment#page4.tif

ASSIGNMENT

Attorney Docket No. 52103-511P01US

UC Case No. BK-2018-025-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- 1. Daniel K. Nomura
- 2. Roberto Zoncu
- 3. Allison M. Roberts
- 4. Kelvin F. Cho

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

mTORC1 MODULATORS

and which is found in

- (a) \_\_\_\_\_ U.S. patent application filed herewith and listing the above named persons as inventors
- (b) X U.S. Provisional Application Serial No. 62/572,234, filed on October 13, 2017
- (c) \_\_\_\_\_ U.S. Patent Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_
- (d) \_\_\_\_\_ U.S. Patent No. \_\_\_\_\_, issued \_\_\_\_\_
- (e) \_\_\_\_\_ International Patent Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;


ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

13 day of October, 2017



\_\_\_\_\_  
Daniel K. Nomura

\_\_\_\_\_ day of \_\_\_\_\_, 201\_\_\_\_\_

\_\_\_\_\_  
Roberto Zoncu

\_\_\_\_\_ day of \_\_\_\_\_, 201\_\_\_\_\_

\_\_\_\_\_  
Allison M. Roberts

\_\_\_\_\_ day of \_\_\_\_\_, 201\_\_\_\_\_

\_\_\_\_\_  
Kelvin F. Cho

Assignee hereby accepts this Assignment:

Cindy Varala  
Name

Patent Specialist  
Title

9/12/19  
Date

ASSIGNMENT

Attorney Docket No. 52103-511P01US  
UC. Case No. BK-2018-025-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Daniel K. Nomura      2. Roberto Zoncu      3. Allison M. Roberts      4. Kelvin F. Cho

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

mTORC1 MODULATORS

and which is found in

- (a) \_\_\_\_\_ U.S. patent application filed herewith and listing the above named persons as inventors
- (b) X U.S. Provisional Application Serial No. 62/572,234, filed on October 13, 2017
- (c) \_\_\_\_\_ U.S. Patent Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_
- (d) \_\_\_\_\_ U.S. Patent No. \_\_\_\_\_, issued \_\_\_\_\_
- (e) \_\_\_\_\_ International Patent Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this \_\_\_\_\_ day of \_\_\_\_\_, 201\_\_\_\_

5 day of OCTOBER, 2018

\_\_\_\_\_ day of \_\_\_\_\_, 201\_\_\_\_

\_\_\_\_\_ day of \_\_\_\_\_, 201\_\_\_\_

Signature of Inventor(s)

\_\_\_\_\_  
Daniel K. Nomura

\_\_\_\_\_  
Roberto Zoncu

\_\_\_\_\_  
Allison M. Roberts

\_\_\_\_\_  
Kelvin F. Cho

Assignee hereby accepts this Assignment:

Cecily Varela      patent specialist      9/12/19  
Name      Title      Date

ASSIGNMENT

Attorney Docket No. 52103-511P01US  
DC. Case No. BK-2018-025-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Daniel K. Nomura      2. Roberto Zoncu      3. Allison M. Roberts      4. Kelvin F. Cho

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

mFORCI MODULATORS

and which is found in

- (a) \_\_\_\_\_ U.S. patent application filed herewith and listing the above named persons as inventors
- (b)  U.S. Provisional Application Serial No. 62/572,234, filed on October 13, 2017
- (c) \_\_\_\_\_ U.S. Patent Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_
- (d) \_\_\_\_\_ U.S. Patent No. \_\_\_\_\_, issued \_\_\_\_\_
- (e) \_\_\_\_\_ International Patent Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissues or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this \_\_\_\_\_ day of \_\_\_\_\_, 2018

Signature of inventor(s)

\_\_\_\_\_ Daniel K. Nomura

\_\_\_\_\_ Roberto Zoncu

12 day of April, 2018 Allison M. Roberts  
Allison M. Roberts

\_\_\_\_\_ day of \_\_\_\_\_, 2018 \_\_\_\_\_  
Kelvin F. Cho

Assignee hereby accepts this Assignment:

Candy Virela      patent specialist      9/12/19  
Name      Title      Date

PATENT

ASSIGNMENT

Attorney Docket No. 52103-511P01US  
UC Case No. BK-2018-025-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- 1. Daniel K. Nomura
- 2. Roberto Zoncu
- 3. Allison M. Roberts
- 4. Kelvin F. Cho

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

mTORC1 MODULATORS

and which is found in

- (a) \_\_\_\_\_ U.S. patent application filed herewith and listing the above named persons as inventors.
- (b) X U.S. Provisional Application Serial No. 62/572,234, filed on October 13, 2017
- (c) \_\_\_\_\_ U.S. Patent Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_
- (d) \_\_\_\_\_ U.S. Patent No. \_\_\_\_\_, issued \_\_\_\_\_
- (e) \_\_\_\_\_ International Patent Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this	Signature of Inventor(s)
_____ day of _____, 201_____	_____
	Daniel K. Nomura
_____ day of _____, 201_____	_____
	Roberto Zoncu
_____ day of _____, 201_____	_____
	Allison M. Roberts
<u>14</u> day of <u>April</u> , <u>2018</u>	<u>Kelvin F. Cho</u>
	Kelvin F. Cho

Assignee hereby accepts this Assignment:

<u>Cindy Varela</u>	<u>patent specialist</u>	<u>9/12/19</u>
Name	Title	Date