

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT5730267

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
UNIVERSITY OF EAST ANGLIA	11/10/2017
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	UEA ENTERPRISES LIMITED
<b>Street Address:</b>	THE REGISTRY
<b>Internal Address:</b>	UNIVERSITY OF EAST ANGLIA
<b>City:</b>	NORWICH
<b>State/Country:</b>	UNITED KINGDOM
<b>Postal Code:</b>	NR4 7TJ
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14357533
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(914)288-0023
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	(914) 288-0022
<b>Email:</b>	uspto@leasonellis.com
<b>Correspondent Name:</b>	LEASON ELLIS LLP
<b>Address Line 1:</b>	ONE BARKER AVENUE
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<b>Address Line 4:</b>	WHITE PLAINS, NEW YORK 10601
<b>ATTORNEY DOCKET NUMBER:</b>	04607/003712-US0
<b>NAME OF SUBMITTER:</b>	DAVID LEASON
<b>SIGNATURE:</b>	/david leason/
<b>DATE SIGNED:</b>	09/20/2019
<b>Total Attachments: 8</b>	
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Intellectual Property Assignment

**UEA:** THE UNIVERSITY OF EAST ANGLIA, a university established under Royal Charter with registered number RC000651 of Norwich Research Park, Norwich, NR4 7TJ, UK; and

**UEAE:** UEA ENTERPRISES LIMITED a company incorporated in England (company no. 02626389) whose registered office is at The Registry, University of East Anglia, Norwich, NR4 7TJ, UK

This Assignment is made on the date when both parties have signed below subject to the terms set out in the schedules listed below (the "**Schedules**") and the details listed in the Schedules, which both UEA and the UEAE undertake to observe in the performance of this Assignment.

Schedules

<b>Schedule 1</b>	Key Terms
<b>Schedule 2</b>	Registered Intellectual property
<b>Schedule 3</b>	General Terms and Conditions

**Signed by the duly authorised representative of University of East Anglia**

Name:	J.P. CARTER	Signature:	<i>J.P. Carter</i>
Position:	HEAD OF INNOVATION	Date:	10 <sup>th</sup> November 2017

**Signed by the duly authorised representative of UEA Enterprises Limited**

Name:	Dr Georgina Pope	Signature	<i>Georgina Pope</i>
Position:	Intellectual Property Manager	Date	10 <sup>th</sup> NOVEMBER 2017

This Assignment shall only become binding on UEA once it has been signed by both UEA and UEAE.



Schedule 1

Key Terms

<b>"Registered Intellectual Property"</b>	means the intellectual property set out in Schedule 2, and any subsequent patent application for protection of any rights in the Inventions; and all granted patents, further applications, or other similar forms of protection anywhere in the world claiming priority with or from such patent applications, including utility models, certificates of invention and all divisionals, continuations, continuations-in-part, reissues, renewals, extensions, additions, supplementary protection certificates or equivalent to any such patent applications and patents.
<b>"Fee"</b>	means £1, receipt of which is hereby acknowledged



Schedule 2

Registered Intellectual Property

Country	Name	Patent Application Number	Inventor(s)
EUROPE	Method and system for determining parameters of an image processing pipeline of a digital camera	15828505.6	GRAHAM FINLAYSON
United States of America	Method and system for determining parameters of an image processing pipeline of a digital camera	15/528981	GRAHAM FINLAYSON
UK	Method & System for determining Parameters of an Image Processing pipeline of a digital camera	1520752.5	GRAHAM FINLAYSON
EUROPE	Spectral Estimation Method, System and Reference Target Design Method	12806614.9	GRAHAM FINLAYSON
United States of America	Spectral Estimation Method, System and Reference Target Design Method	14/357533	GRAHAM FINLAYSON



### Schedule 3

#### WHEREAS:

- (A) Professor Graham Finlayson from the School of Computing Sciences undertook research and development at UEA generating Intellectual Property with UEA project reference numbers i299 and i482 resulting in the filing of the patent application ("Registered Intellectual Property") by UEA listed in Schedule 2.
- (B) For, the avoidance of doubt and by virtue of Intellectual Property Regulations of UEA, the Intellectual Property Rights including the Registered Intellectual Property from Professor Graham Finlayson who is a UEA employee automatically passed to the UEA and University of East Anglia further formalised ownership of the Registered Intellectual Property by entering into an assignment agreement with Finlayson on 10<sup>th</sup> November 2017.
- (C) The UEA wishes to assign and UEAE wishes to receive all Intellectual Property Rights in the Registered Intellectual Property for the purposes of exploitation on the terms set out below.

#### IT IS HEREBY AGREED:

### Terms and Conditions

#### **1** Definitions and interpretation

- 1.1 In this Assignment (except where the context requires otherwise) terms have the meanings given in the other Schedules and:

"**Key Terms**" means the key terms set out in Schedule 1 of this Assignment; and

"**Intellectual Property Rights**" means any invention, improvement, patent, design, process, information, copyright work (including without limitation rights in and to technical processes, systems, methods, software design, algorithms, code, scripts or other computer software), rights in databases, topography right, domain name, trade



mark, trade name or get-up (whether capable of being patented or registered or not) or application to register any such right and any other intellectual property right of any nature whatsoever in any part of the world including the Registered Intellectual Property.

- 1.2 Any reference in these General Terms and Conditions to a clause is to the relevant clause of these General Terms and Conditions unless otherwise stated.
- 1.3 The clause headings are included for convenience only and shall not affect the interpretation of this Assignment.

## **2 Assignment**

- 2.1 In consideration of the Fee paid by UEAE to UEA (receipt of which is hereby acknowledged), UEA hereby assigns to UEAE all of its right, title and interest in the Intellectual Property Rights including the Registered Intellectual Property for its full term and any renewal or extension of that term, together with all rights of action accrued including, without limitation, the right to take proceedings and recover damages in respect of infringement of such rights.

## **3 Further assurance**

- 3.1 UEA agrees to and shall use its reasonable endeavours to procure, that UEA shall do all such acts and things and sign and execute all such deeds and documents which may be required to give effect to the assignment in clause 2.

## **4 Licence Back**

- 4.1 UEAE grants to UEA an irrevocable royalty-free, world-wide, non-exclusive, perpetual, non-transferable, licence to use the Intellectual Property Rights including the Registered Intellectual Property for research, teaching and academic purposes.

## **5 Miscellaneous**

- 5.1 The failure or delay of a party to exercise or enforce any right under this Assignment shall not operate as a waiver of that right or preclude the exercise or enforcement of it at any time or times thereafter.



- 5.2 This Assignment constitutes the entire understanding between the parties with respect to the subject matter of this Assignment and supersedes and replaces all prior agreements, negotiations and discussions between the parties relating to it.
- 5.3 No variation of this Assignment shall be valid unless it is in writing and signed by or on behalf of each of the parties.
- 5.4 The Contracts (Rights of Third Parties) Act 1999 as amended and in force from time to time shall not apply to this Assignment and nothing in this Assignment shall confer or purport to confer on or operate to give any third party any benefit or any right to enforce any term of this Assignment except as expressly provided in this Assignment.
- 5.5 If any provision of this Assignment shall be held to be unlawful, invalid or unenforceable, in whole or in part, under any enactment or rule of law, such provision or part shall to that extent be severed from this Assignment and rendered ineffective as far as possible without modifying or affecting the legality, validity or enforceability of the remaining provisions of this Assignment which will remain in full force and effect.
- 5.6 Nothing in this Assignment is intended to or shall operate to create a partnership or joint venture of any kind between the parties, or to authorise either party to act as agent for the other, and neither party shall have authority to act in the name or on behalf of or otherwise to bind the other in any way.
- 5.7 Any notice to be given under this Assignment shall be in writing and shall be delivered by hand, sent by first class post or sent by facsimile (such notice to be confirmed by letter posted within 12 hours) to the address of the other party set out in this Assignment (or such other address as may have been notified). Any such notice or other document shall be deemed to have been served; if delivered by hand – at the time of delivery; if sent by post – upon the expiration of 48 hours after posting; and if sent by facsimile – at 9.00am on the next business day after the facsimile was dispatched.



# UEA

5.8 This Assignment shall be governed by and construed in accordance with English law and each party hereby irrevocably submits to the non-exclusive jurisdiction of the English Courts.

