# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5739976

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
EDWARD JOHN BERTACCINI	04/14/2017
MARGARET FRANCES DAVIES	04/14/2017

## **RECEIVING PARTY DATA**

Name:	THE UNITED STATES GOVERNMENT AS REPRESENTED BY THE DEPARTMENT OF VETERANS AFFAIRS	
Street Address:	Iress: 810 VERMONT AVENUE NW	
City:	WASHINGTON	
State/Country:	D.C.	
Postal Code:	20420	
Name:	THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY	
Street Address:	et Address: 3000 EL CAMINO REAL	
Internal Address:	nal Address: BUILDING FIVE, SUITE 300	
City:	PALO ALTO	
State/Country:	CALIFORNIA	
Postal Code:	ostal Code: 94306	

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16210631

## CORRESPONDENCE DATA

Fax Number: (650)472-3052

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 650-472-4068

Email: patents@vlplawgroup.com

VLP LAW GROUP LLP/DAVID A. ROISE **Correspondent Name:** 

Address Line 1: 555 BRYANT STREET

Address Line 2: **SUITE 820** 

Address Line 4: PALO ALTO, CALIFORNIA 94301

ATTORNEY DOCKET NUMBER: S13-362 US2

> **PATENT** REEL: 050504 FRAME: 0248

505693166

NAME OF SUBMITTER:	DAVID A. ROISE	
SIGNATURE: /David A. Roise/		
DATE SIGNED:	09/26/2019	
Total Attachments: 4		
source=S13-362 WO1 PCT assignment - Bertaccini executed#page1.tif		
source=S13-362 WO1 PCT assignment - Bertaccini executed#page2 tif		

source=S13-362 WO1 PCT assignment - Bertaccini executed#page1.tif source=S13-362 WO1 PCT assignment - Bertaccini executed#page2.tif source=S13-362 WO1 PCT assignment - Davies executed#page1.tif source=S13-362 WO1 PCT assignment - Davies executed#page2.tif

PATENT REEL: 050504 FRAME: 0249

### ASSIGNMENT

This Assignment is by and between

Edward John Bertaccini, resident of 1502 Chaumont Dr., San Jose, CA 95118, USA; and Margaret Frances Davies, resident of 780 E Meadow Dr., Palo Alto, CA 94303, USA; (collectively hereinafter termed "Assignor") and

The Board of Trustees of the Leland Stanford Junior University, a non-profit organization duly organized under and pursuant to the laws of California, and having its principal place of business at 3000 El Camino Real, Building Five, Suite 300, Palo Alto, CA 94306-2100, USA; and The United States Government as represented by the Department Of Veterans Affairs, a government agency having its administrative offices located at 810 Vermont Avenue NW, Washington, DC 20420, USA;. (collectively hereinafter termed "Assignee")

WHEREAS, said Assignor has invented certain new and useful improvements in an invention entitled "Novel Methods, Compounds, And Compositions For Anesthesia" and disclosed in Patent Cooperation Treaty (PCT) International Application No. PCT/US2015/056067, filed on October 16, 2015, and

WHEREAS, said Assignee desires to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Assignor (all collectively hereinafter termed "said invention"), and in and to any and all patents, utility models, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries;

NOW, THEREFORE, in consideration of good and valuable consideration, receipt and sufficiency of which is acknowledged by said Assignor to have been received in full from said Assignee:

- 1. Said Assignor hereby sells, assigns, and transfers unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to priority, and any priorities claimed by said application; (c) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention and all other treaties of like purposes; (d) in and to any and all applications filed and any and all patents and like rights of exclusion granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (e) in and to each and every reissue or extensions of any of said patents; and (f) in and to all rights and privileges of said patents, including provisional rights, and rights to damages, profits or recoveries of any nature for infringement of said patents.
- 2. Said Assignor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Assignor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings,

Page 1 of 2

infringement actions and court actions; provided, however, that the expense incurred by said Assignor in providing such cooperation shall be paid for by said Assignee.

- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignor, said Assignor's respective heirs, legal representatives and assigns.
- 4. Said Assignor hereby warrants and represents that he/she has full right to convey the entire right, title and interest by this instrument, and has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.
- 5. If any provisions of this Assignment are held by a court of competent jurisdiction to be invalid under any applicable statute or rule of law, they are to that extent to be deemed omitted and the remaining provisions of this instrument shall remain in full force and effect.
- 6. This instrument may be executed in multiple counterparts, any one of which will be considered an original, but all of which will constitute one and the same instrument.

IN WITNESS WHEREOF, this Assignment has been executed and delivered the date and year written to said Assignee.

Executed by Assignor:	
Signature: Edward John Bertaccini	Date: 4-14-17
Signature: Margaret Frances Davies	Date:

#### ASSIGNMENT

This Assignment is by and between

Edward John Bertaccini, resident of 1502 Chaumont Dr., San Jose, CA 95118, USA; and Margaret Frances Davies, resident of 780 E Meadow Dr., Palo Alto, CA 94303, USA; (collectively hereinafter termed "Assignor") and

The Board of Trustees of the Leland Stanford Junior University, a non-profit organization duly organized under and pursuant to the laws of California, and having its principal place of business at 3000 El Camino Real, Building Five, Suite 300, Palo Alto, CA 94306-2100, USA; and The United States Government as represented by the Department Of Veterans Affairs, a government agency having its administrative offices located at 810 Vermont Avenue NW, Washington, DC 20420, USA;. (collectively hereinafter termed "Assignee")

WHEREAS, said Assignor has invented certain new and useful improvements in an invention entitled "Novel Methods, Compounds, And Compositions For Anesthesia" and disclosed in Patent Cooperation Treaty (PCT) International Application No. PCT/US2015/056067, filed on October 16, 2015, and

WHEREAS, said Assignee desires to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Assignor (all collectively hereinafter termed "said invention"), and in and to any and all patents, utility models, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries;

NOW, THEREFORE, in consideration of good and valuable consideration, receipt and sufficiency of which is acknowledged by said Assignor to have been received in full from said Assignee:

- 1. Said Assignor hereby sells, assigns, and transfers unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to priority, and any priorities claimed by said application; (c) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention and all other treaties of like purposes; (d) in and to any and all applications filed and any and all patents and like rights of exclusion granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (e) in and to each and every reissue or extensions of any of said patents; and (f) in and to all rights and privileges of said patents, including provisional rights, and rights to damages, profits or recoveries of any nature for infringement of said patents.
- 2. Said Assignor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Assignor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings,

Page 1 of 2

infringement actions and court actions; provided, however, that the expense incurred by said Assignor in providing such cooperation shall be paid for by said Assignee.

- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignor, said Assignor's respective heirs, legal representatives and assigns.
- 4. Said Assignor hereby warrants and represents that he/she has full right to convey the entire right, title and interest by this instrument, and has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.
- 5. If any provisions of this Assignment are held by a court of competent jurisdiction to be invalid under any applicable statute or rule of law, they are to that extent to be deemed omitted and the remaining provisions of this instrument shall remain in full force and effect.
- 6. This instrument may be executed in multiple counterparts, any one of which will be considered an original, but all of which will constitute one and the same instrument.

IN WITNESS WHEREOF, this Assignment has been executed and delivered the date and year written to said Assignee.

Executed 1	by Assignor:	
	,	
Signature:	Edward John Bertaccini	Date:
Signature:	Margaret Frances Davies	Date: 4/14/17

Page 2 of 2

RECORDED: 09/26/2019