

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5742865

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
AARON MICHAEL DUMAS	03/20/2018
BRIAN CHRISTOPHER BISHOP	03/20/2018
DAVID PARRY-JONES	03/20/2018
JEREMY PETER SCOTT	03/22/2018
MEENAKSHI SUNDARAM SHANMUGHAM	03/20/2018
PETER RICHARD MULLENS	03/20/2018
RECEIVING PARTY DATA	
Name:	PHARMARON UK LIMITED
Street Address:	THE OLD GLASSWORKS, NETTLEFOLD ROAD
City:	CARDIFF
State/Country:	GREAT BRITAIN
Postal Code:	CF24 5JQ
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16498207
CORRESPONDENCE DATA	
Fax Number:	(518)452-5579
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	5184525600
Email:	zes@hrfmlaw.com
Correspondent Name:	HESLIN ROTHENBERG FARLEY & MESITI P.C.
Address Line 1:	5 COLUMBIA CIRCLE
Address Line 4:	ALBANY, NEW YORK 12203
ATTORNEY DOCKET NUMBER:	3073.182
NAME OF SUBMITTER:	ERICA M. HINES, ESQ.
SIGNATURE:	/Erica M. Hines/
DATE SIGNED:	09/27/2019
Total Attachments: 8	

source=3073182 Assignment Inventors to Pharmaron UK Limited (H1552541xD16FB)#page1.tif
source=3073182 Assignment Inventors to Pharmaron UK Limited (H1552541xD16FB)#page2.tif
source=3073182 Assignment Inventors to Pharmaron UK Limited (H1552541xD16FB)#page3.tif
source=3073182 Assignment Inventors to Pharmaron UK Limited (H1552541xD16FB)#page4.tif
source=3073182 Assignment Inventors to Pharmaron UK Limited (H1552541xD16FB)#page5.tif
source=3073182 Assignment Inventors to Pharmaron UK Limited (H1552541xD16FB)#page6.tif
source=3073182 Assignment Inventors to Pharmaron UK Limited (H1552541xD16FB)#page7.tif
source=3073182 Assignment Inventors to Pharmaron UK Limited (H1552541xD16FB)#page8.tif

THIS ASSIGNMENT is made effective from 28th day of March 2017

BETWEEN:

- (1) **Pharmaron UK Limited** of The Old Glassworks, Nettlefold Road, Cardiff, CF24 5JQ, United Kingdom, a company incorporated in England and Wales (hereinafter referred to as "**Pharmaron**"); and
- (2) **Aaron Michael Dumas**, whose place of work is at Hertford Road, Hoddesdon, Hertfordshire, EN11 9BU, United Kingdom; and
- (3) **Brian Christopher Bishop**, whose place of work is at Hertford Road, Hoddesdon, Hertfordshire, EN11 9BU, United Kingdom; and
- (4) **David Parry-Jones**, whose place of work is at Hertford Road, Hoddesdon, Hertfordshire, EN11 9BU, United Kingdom; and
- (5) **Jeremy Peter Scott**, whose place of work is at Hertford Road, Hoddesdon, Hertfordshire, EN11 9BU, United Kingdom; and
- (6) **Meenakshi Sundaram Shanmugham**, whose place of work is at Hertford Road, Hoddesdon, Hertfordshire, EN11 9BU, United Kingdom; and
- (7) **Peter Richard Mullens**, whose place of work is at Hertford Road, Hoddesdon, Hertfordshire, EN11 9BU.

WHEREAS

- (A) Aaron Michael Dumas, Brian Christopher Bishop, David Parry-Jones, Jeremy Peter Scott, Meenakshi Sundaram Shanmugham and Peter Richard Mullens are employees of Pharmaron and are inventors (the "**Inventors**") of the invention (the "**Invention**") claimed in an International patent application (the "**PCT Application**") to be filed claiming priority from GB1704965.1 filed on 28th March 2017 (the "**Priority Application**") and which Invention arose in the course of their normal duties as an employee.
- (B) The Inventors (the "**Assignors**") have agreed to assign to Pharmaron all their right, title and interest in and to the Invention, the Priority Application and the PCT Application.

NOW IT IS HEREBY AGREED as follows:

The headings in this Assignment are for convenience only and shall not affect its interpretation.

1. **ASSIGNMENT**

- 1.1 In consideration of the agreement of Pharmaron to pay to each of the Inventors the sum of one pound (£1), receipt of which is hereby acknowledged, the Assignors hereby assign to Pharmaron in respect of the Priority Application and the PCT Application:
- 1.1.1 all their right, title and interest in and to the Invention and the full exclusive benefit of it;
 - 1.1.2 all their right, title and interest in and to the Priority Application and the PCT Application, and the full and exclusive benefit of the Priority Application and the PCT Application, and all rights, privileges and advantages associated with the Priority Application and the PCT Application;
 - 1.1.3 the full right to apply for and obtain patents or other similar forms of protection in respect of any part or parts of the subject-matter of the Priority Application and the PCT Application throughout the world and the right to claim priority from the Priority Application and the PCT Application;
 - 1.1.4 all their right title and interest in and to any patents, patent applications or other similar forms of protection in respect of the Invention pursuant to the Priority Application and the PCT Application; and all applications and granted patents or other similar forms of protection anywhere in the world claiming priority with or from such Priority Application or the PCT Application (or both), or based on or derived from the Priority Application or the PCT Application (or both) including but not limited to all countries designated in the PCT Application, patent applications filed in non-PCT countries ('non-PCT applications') (hereinafter collectively referred to as "the Patents and Patent Applications"), utility model and design patents and certificates of invention and all divisionals, continuations, continuations-in-part, reissues, renewals, extensions, revalidations, registrations, inventors' certificates, petty patents, additions supplementary protection certificates or equivalent to any such patent applications and patents (together with the Priority Application the "**Patent Rights**"); and
 - 1.1.5 the right to bring proceedings for any previous infringement of the rights assigned.
- 1.2 The Assignors hereby agree with Pharmaron that the Assignors will at the request and cost of Pharmaron promptly provide to Pharmaron all documents relating to the filing and prosecution of the the Patents and Patent Applications and execute, sign and do all instruments, applications, documents, acts and things that may reasonably be required by Pharmaron to enable Pharmaron to enjoy the full benefit of the property and rights hereby assigned and (if requested to do so by Pharmaron) to apply for any patents or other forms of protection in

respect of the Invention throughout the world and fully and effectively to assign the same to Pharmaron or as Pharmaron shall direct.

2. GENERAL

- 2.1** This Agreement shall be governed by the laws of England and Wales and the parties hereby submit to the non-exclusive jurisdiction of the courts of England.
- 2.2** No term of this Agreement is enforceable under the Contracts (Rights of Third Parties) Act 1999 by a person who is not a party to this Agreement.
- 2.3** None of the Assignors shall make any press or other public announcement concerning any aspect of this Agreement without first obtaining the agreement of Pharmaron to the text of that announcement, such agreement not to be unreasonably withheld, conditioned or delayed.

IN WITNESS whereof the parties hereto have entered into this agreement as from the day and year above written.

For and on behalf of **Pharmaron UK Limited**

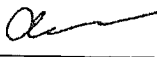
Signature: 

Name: ANTONY JOHN DAVIES

Title: VICE PRESIDENT, PR+D

Date: 20 MARCH 2018

Aaron Michael Dumas

Signature: 

Date: 20 March 2018

Brian Christopher Bishop

Signature: 

Date: 20 Mar 2018

David Parry-Jones

Signature: D. Parry-Jones

Date: 20 MAR 2018

Jeremy Peter Scott

Signature: _____

Date: _____

Meenakshi Sundaram Shanmugham

Signature: M. S. Shanmugham

Date: 20 MAR 2018

Peter Richard Mullens

Signature: P. R. Mullens

Date: 20 MAR 2018

THIS ASSIGNMENT is made effective from 28th day of March 2017

BETWEEN:

- (1) **Pharmaron UK Limited** of The Old Glassworks, Nettlefold Road, Cardiff, CF24 5JQ, United Kingdom, a company incorporated in England and Wales (hereinafter referred to as "**Pharmaron**"); and
- (2) **Aaron Michael Dumas**, whose place of work is at Hertford Road, Hoddesdon, Hertfordshire, EN11 9BU, United Kingdom; and
- (3) **Brian Christopher Bishop**, whose place of work is at Hertford Road, Hoddesdon, Hertfordshire, EN11 9BU, United Kingdom; and
- (4) **David Parry-Jones**, whose place of work is at Hertford Road, Hoddesdon, Hertfordshire, EN11 9BU, United Kingdom; and
- (5) **Jeremy Peter Scott**, whose place of work is at Hertford Road, Hoddesdon, Hertfordshire, EN11 9BU, United Kingdom; and
- (6) **Meenakshi Sundaram Shanmugham**, whose place of work is at Hertford Road, Hoddesdon, Hertfordshire, EN11 9BU, United Kingdom; and
- (7) **Peter Richard Mullens**, whose place of work is at Hertford Road, Hoddesdon, Hertfordshire, EN11 9BU.

WHEREAS

- (A) Aaron Michael Dumas, Brian Christopher Bishop, David Parry-Jones, Jeremy Peter Scott, Meenakshi Sundaram Shanmugham and Peter Richard Mullens are employees of Pharmaron and are inventors (the "**Inventors**") of the invention (the "**Invention**") claimed in an International patent application (the "**PCT Application**") to be filed claiming priority from GB1704965.1 filed on 28th March 2017 (the "**Priority Application**") and which Invention arose in the course of their normal duties as an employee.
- (B) The Inventors (the "**Assignors**") have agreed to assign to Pharmaron all their right, title and interest in and to the Invention, the Priority Application and the PCT Application.

NOW IT IS HEREBY AGREED as follows:

The headings in this Assignment are for convenience only and shall not affect its interpretation.

1. **ASSIGNMENT**

1.1 In consideration of the agreement of Pharmaron to pay to each of the Inventors the sum of one pound (£1), receipt of which is hereby acknowledged, the Assignors hereby assign to Pharmaron in respect of the Priority Application and the PCT Application:

1.1.1 all their right, title and interest in and to the Invention and the full exclusive benefit of it;

1.1.2 all their right, title and interest in and to the Priority Application and the PCT Application, and the full and exclusive benefit of the Priority Application and the PCT Application, and all rights, privileges and advantages associated with the Priority Application and the PCT Application;

1.1.3 the full right to apply for and obtain patents or other similar forms of protection in respect of any part or parts of the subject-matter of the Priority Application and the PCT Application throughout the world and the right to claim priority from the Priority Application and the PCT Application;

1.1.4 all their right title and interest in and to any patents, patent applications or other similar forms of protection in respect of the Invention pursuant to the Priority Application and the PCT Application; and all applications and granted patents or other similar forms of protection anywhere in the world claiming priority with or from such Priority Application or the PCT Application (or both), or based on or derived from the Priority Application or the PCT Application (or both) including but not limited to all countries designated in the PCT Application, patent applications filed in non-PCT countries ('non-PCT applications') (hereinafter collectively referred to as "the Patents and Patent Applications"), utility model and design patents and certificates of invention and all divisionals, continuations, continuations-in-part, reissues, renewals, extensions, revalidations, registrations, inventors' certificates, petty patents, additions supplementary protection certificates or equivalent to any such patent applications and patents (together with the Priority Application the "**Patent Rights**"); and

1.1.5 the right to bring proceedings for any previous infringement of the rights assigned.

1.2 The Assignors hereby agree with Pharmaron that the Assignors will at the request and cost of Pharmaron promptly provide to Pharmaron all documents relating to the filing and prosecution of the the Patents and Patent Applications and execute, sign and do all instruments, applications, documents, acts and things that may reasonably be required by Pharmaron to enable Pharmaron to enjoy the full benefit of the property and rights hereby assigned and (if requested to do so by Pharmaron) to apply for any patents or other forms of protection in


respect of the Invention throughout the world and fully and effectively to assign the same to Pharmaron or as Pharmaron shall direct.

2. GENERAL

- 2.1 This Agreement shall be governed by the laws of England and Wales and the parties hereby submit to the non-exclusive jurisdiction of the courts of England.
- 2.2 No term of this Agreement is enforceable under the Contracts (Rights of Third Parties) Act 1999 by a person who is not a party to this Agreement.
- 2.3 None of the Assignors shall make any press or other public announcement concerning any aspect of this Agreement without first obtaining the agreement of Pharmaron to the text of that announcement, such agreement not to be unreasonably withheld, conditioned or delayed.

IN WITNESS whereof the parties hereto have entered into this agreement as from the day and year above written.

For and on behalf of **Pharmaron UK Limited**

Signature: 

Name: ANTONY JOHN DAVIES

Title: VICE PRESIDENT, PROCESS R+D

Date: 22 MARCH 2018

Aaron Michael Dumas

Brian Christopher Bishop

Signature: _____

Signature: _____

Date: _____

Date: _____

