# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	RELEASE OF SECURITY INTEREST	

# **CONVEYING PARTY DATA**

Name	Execution Date
PACIFIC WESTERN BANK	09/27/2019

# **RECEIVING PARTY DATA**

Name:	BARONOVA, INC.	
Street Address:	1551 INDUSTRIAL ROAD	
City:	SAN CARLOS	
State/Country:	CALIFORNIA	
Postal Code:	94070	

## **PROPERTY NUMBERS Total: 25**

Property Type	Number
Patent Number:	10070981
Patent Number:	9931122
Patent Number:	9924948
Patent Number:	9782348
Patent Number:	9700450
Patent Number:	9687243
Patent Number:	9642735
Patent Number:	9510834
Patent Number:	9504591
Patent Number:	9498366
Patent Number:	9421246
Patent Number:	8888797
Patent Number:	8821584
Patent Number:	8821521
Patent Number:	8795301
Patent Number:	8691271
Patent Number:	8663338
Patent Number:	8657885
Patent Number:	8579988
Patent Number:	8070824

PATENT REEL: 050521 FRAME: 0180

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Property Type	Number
Patent Number:	8048169
Patent Number:	7347868
Application Number:	15590865
Application Number:	15356188
Application Number:	15878319

### **CORRESPONDENCE DATA**

**Fax Number:** (919)314-1278

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 919-314-3114

Email: diligencereview@pacwest.com
Correspondent Name: PACIFIC WESTERN BANK
Address Line 1: 406 BLACKWELL STREET

Address Line 2: SUITE 240

Address Line 4: DURHAM, NORTH CAROLINA 27701

NAME OF SUBMITTER:	NICHOLAS NANCE	
SIGNATURE:	/NICHOLAS NANCE-JLT/	
DATE SIGNED:	09/27/2019	

### **Total Attachments: 4**

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> PATENT REEL: 050521 FRAME: 0181

#### RELEASE OF SECURITY INTEREST

This Release of Security Interest is made as of September 27, 2019, by **PACIFIC WESTERN BANK**, a California state chartered bank ("Bank"), in favor of **BARONOVA**, **INC.**, a Delaware corporation ("<u>Grantor</u>"), with its principal place of business located at 1551 Industrial Road, San Carlos, California 94070.

#### Recitals

WHEREAS Grantor granted to Bank a security interest in its copyrights, patents and trademarks, including without limitation its patents and trademarks described on Exhibits A and B attached hereto (respectively) (collectively, the "Intellectual Property"), under that certain Intellectual Property Security Agreement dated as of November 13, 2018 (as amended from time to time, the "Security Agreement"), and recorded with the United States Patent and Trademark Office as set forth on Exhibits A and B.

WHEREAS Grantor has no outstanding obligations to Bank under the terms of the Security Agreement, Bank agrees to release its security interest in the Intellectual Property.

#### Agreement

Now therefore, Bank agrees that it terminates and releases its security interest in the Intellectual Property and reassigns to Grantor, without warranty or recourse, all interest of Bank in the Intellectual Property.

**BANK:** 

PACIFIC WESTERN BANK

Name: Lanzhu

406 Blackwell Street

Suite 240

Title: **VP** 

Durham, NC 27701

PATENT REEL: 050521 FRAME: 0182

# EXHIBIT A

## **Patents**

Bank's security interest in the following patents was recorded at the United States Patent and Trademark Office on November 29, 2018 at Reel and Frame Number 047626/0955:

DESCRIPTION	PATENT/APPLICATION NUMBER	APPLICATION/ FILING DATE
Locking gastric obstruction device and method of use	10,070,981	09/11/18
Gastric retaining devices and methods	9,931,122	04/03/18
Gastric retaining devices and methods	9,924,948	03/27/18
Ingestible formulations for transient, noninvasive reduction of gastric volume	9,782,348	10/10/17
Devices and methods for gastrointestinal stimulation	9,700,450	07/11/17
Gastric retaining devices and methods	9,687,243	06/27/17
Pyloric valve corking device	9,642,735	05/09/17
Gastric retaining devices and methods	9,510,834	12/06/16
Device for intermittently obstructing a gastric opening and method of use	9,504,591	11/29/16
Devices and methods for pyloric anchoring	9,498,366	11/22/16
Ingestible formulations for transient, noninvasive reduction of gastric volume	9,421,246	08/23/16
Device for intermittently obstructing a gastric opening and method of use	8,888,797	11/18/14
Device for intermittently obstructing a gastric opening and method of use	8,821,584	09/02/14
Gastro-intestinal device and method for treating addiction	8,821,521	09/02/14
Device for intermittently obstructing a gastric opening and method of use	8,795,301	08/05/14
Ingestible formulations for transient, noninvasive reduction of gastric volume	8,691,271	04/08/14
Pyloric valve obstructing devices and methods	8,663,338	03/04/14
Pyloric valve obstructing devices and methods	8,657,885	02/25/14
Medical device delivery catheter	8,579,988	11/12/13

2.

PATENT REEL: 050521 FRAME: 0183

DESCRIPTION	PATENT/APPLICATION NUMBER	APPLICATION/ FILING DATE
Medical device delivery catheter	8,070,824	12/06/11
Pyloric valve obstructing devices and methods	8,048,169	11/01/11
Medical device delivery catheter	7,347,868	03/25/08
Devices And Methods For Gastrointestinal Stimulation	15/590,865	05/09/17
Device For Intermittently Obstructing A Gastric Opening	15/356,188	11/18/16
Gastric Obstruction Device Deployment Assembly and Methods of Delivering and Developing a Gastric Obstruction Device	15/878,319	01/23/18

# **EXHIBIT B**

# **Trademarks**

Bank's security interest in the following trademarks was recorded at the United States Patent and Trademark Office on November 29, 2018 at Reel and Frame Number 6491/0036:

DESCRIPTION	REGISTRATION NUMBER	REGISTRATION DATE
ENDOBESITY	3986445	06/28/11
TPS	3986446	06/28/11
TRANSPYLORIC SHUTTLE	4029329	09/20/11
BARONOVA	3986444	06/28/11