

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
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|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|-----------------------|
| <b>SUBMISSION TYPE:</b>                                                                                                                                                                         | NEW ASSIGNMENT              |                       |
| <b>NATURE OF CONVEYANCE:</b>                                                                                                                                                                    | ASSIGNMENT                  |                       |
| <b>CONVEYING PARTY DATA</b>                                                                                                                                                                     |                             |                       |
|                                                                                                                                                                                                 | <b>Name</b>                 | <b>Execution Date</b> |
|                                                                                                                                                                                                 | ATTENEX CORPORATION         | 12/31/2009            |
| <b>RECEIVING PARTY DATA</b>                                                                                                                                                                     |                             |                       |
| <b>Name:</b>                                                                                                                                                                                    | FTI TECHNOLOGY LLC          |                       |
| <b>Street Address:</b>                                                                                                                                                                          | 500 EAST PRATT STREET       |                       |
| <b>Internal Address:</b>                                                                                                                                                                        | SUITE 1400                  |                       |
| <b>City:</b>                                                                                                                                                                                    | BALTIMORE                   |                       |
| <b>State/Country:</b>                                                                                                                                                                           | MARYLAND                    |                       |
| <b>Postal Code:</b>                                                                                                                                                                             | 21202                       |                       |
| <b>PROPERTY NUMBERS Total: 7</b>                                                                                                                                                                |                             |                       |
| <b>Property Type</b>                                                                                                                                                                            | <b>Number</b>               |                       |
| <b>Application Number:</b>                                                                                                                                                                      | 12946797                    |                       |
| <b>Application Number:</b>                                                                                                                                                                      | 13361900                    |                       |
| <b>Application Number:</b>                                                                                                                                                                      | 13909065                    |                       |
| <b>Application Number:</b>                                                                                                                                                                      | 14148713                    |                       |
| <b>Application Number:</b>                                                                                                                                                                      | 14571282                    |                       |
| <b>Application Number:</b>                                                                                                                                                                      | 15201197                    |                       |
| <b>Application Number:</b>                                                                                                                                                                      | 15791268                    |                       |
| <b>CORRESPONDENCE DATA</b>                                                                                                                                                                      |                             |                       |
| <b>Fax Number:</b>                                                                                                                                                                              | (617)856-8201               |                       |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |                             |                       |
| <b>Phone:</b>                                                                                                                                                                                   | 6178568145                  |                       |
| <b>Email:</b>                                                                                                                                                                                   | ip@brownrudnick.com         |                       |
| <b>Correspondent Name:</b>                                                                                                                                                                      | MARK S. LEONARDO            |                       |
| <b>Address Line 1:</b>                                                                                                                                                                          | BROWN RUDNICK LLP           |                       |
| <b>Address Line 2:</b>                                                                                                                                                                          | ONE FINANCIAL CENTER        |                       |
| <b>Address Line 4:</b>                                                                                                                                                                          | BOSTON, MASSACHUSETTS 02111 |                       |
| <b>ATTORNEY DOCKET NUMBER:</b>                                                                                                                                                                  | NUIX-015/11US 27368/81      |                       |
| <b>NAME OF SUBMITTER:</b>                                                                                                                                                                       | MARK S. LEONARDO            |                       |
| <b>SIGNATURE:</b>                                                                                                                                                                               | /MARK S. LEONARDO/          |                       |

PATENT

|                                                                                                                                                                                                                                                                                                                                                                                                                                                       |            |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
| <b>DATE SIGNED:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                   | 10/14/2019 |
| <b>Total Attachments: 11</b><br>source=Asmt-Attenex-FTI#page1.tif<br>source=Asmt-Attenex-FTI#page2.tif<br>source=Asmt-Attenex-FTI#page3.tif<br>source=Asmt-Attenex-FTI#page4.tif<br>source=Asmt-Attenex-FTI#page5.tif<br>source=Asmt-Attenex-FTI#page6.tif<br>source=Asmt-Attenex-FTI#page7.tif<br>source=Asmt-Attenex-FTI#page8.tif<br>source=Asmt-Attenex-FTI#page9.tif<br>source=Asmt-Attenex-FTI#page10.tif<br>source=Asmt-Attenex-FTI#page11.tif |            |

## **ASSIGNMENT OF INTELLECTUAL PROPERTY AND RELATED RIGHTS AND INTERESTS**

This **ASSIGNMENT** (the "**Assignment**") is made by and between Attenex Corporation, a Washington corporation (the "**Assignor**") and FTI Technology LLC, a Maryland limited liability company (the "**Assignee**") as of this 31<sup>st</sup> day of December, 2009 (the "**Effective Date**").

### **RECITALS**

**WHEREAS**, Assignor is a wholly owned subsidiary of Assignee;

**WHEREAS**, Assignor is the owner of rights, title, and interests in and to that certain intellectual property identified on **Schedule 1** attached hereto, including any and all good will, intellectual property and proprietary rights held by Assignor therein (collectively, the "**Intellectual Property**");

**WHEREAS**, Assignor has rights, duties and obligations in the assets identified in **Schedule 2** attached hereto (collectively, the "**Assets**");

**WHEREAS**, as of the Effective Date, the Board of Directors of Assignor have resolved to distribute the Intellectual Property and Assets to Assignee by means of a dividend distribution in kind (the "**Distribution**"); and

**WHEREAS**, in order to carry out the Distribution, Assignor wishes to assign to the Assignee, and the Assignee desires to acquire Assignor's right, title, and interest in, to and under the Intellectual Property and Assignor's rights, duties and obligations in the Assets consistent with the terms and conditions of this Assignment.

**NOW, THEREFORE**, for good, valuable and legally sufficient consideration provided for herein, the receipt and legal sufficiency of which are hereby acknowledged by the parties hereto, the parties, intending to be bound, agree to the foregoing and as follows:

### **AGREEMENT**

1. In consideration of Assignee's undertaking to receive the Distribution, Assignor hereby sells, assigns, transfers, and sets over to Assignee, all its right, title, and interest in, to, and under the Intellectual Property, whether past, present or future, together with all rights and privileges granted and secured thereby, including the right to sue and recover for any past infringement, said rights to be held and enjoyed by Assignee for its own use and benefit, and for the use and benefit of Assignee's successors, assigns, or other legal representatives as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment and sale had not been made.

2. In consideration of Assignee's right to receive the Distribution, Assignor hereby assigns, conveys and transfers to the Assignee all of the Assignor's rights, duties and obligations in the Assets and the Assignee for itself and its successors and assigns hereby assumes and agrees to perform and be bound by all of the Assignor's rights, duties and obligations under the Assets upon the later of the Effective Date or the date upon which consent or assignment of beneficial rights are completed as provided for in this Section.

(a) Notwithstanding anything in this Agreement to the contrary, this Agreement shall not constitute an agreement to assign any Asset or any claim or right or any benefit arising under or resulting from such Asset if an attempted assignment thereof, without the consent of a third party, would constitute a breach, default, violation or other contravention of the rights of such third party, would be ineffective with respect to any party to an agreement concerning such Asset or would in any way adversely affect the rights of Assignor or, upon transfer, Assignee under such Asset. If any transfer or assignment by Assignor to Assignee, or any assumption by Assignee of, any interest in, or liability, obligation or commitment under, any Asset requires the consent of a third party, then such transfer or assignment or assumption shall be made subject to such consent being obtained by Assignor.

(b) If any such consent is not obtained by the Effective Date, Assignee shall use its reasonable best efforts to secure such consent as promptly as practicable after the Effective Date and Assignor shall provide or cause to be provided all commercially reasonable assistance to Assignee (not

including the payment of any consideration) reasonably requested by Assignee to secure such consent after the Effective Date.

(c) If any such consent is not obtained within a reasonable period identified by Assignee, Assignee shall provide notice to Assignor of such event and Assignor shall cooperate with Assignee (at Assignee's expense) in any lawful and commercially reasonable arrangement reasonably proposed by Assignee under which (i) Assignee shall obtain (without infringing upon the legal rights of such third party or violating any applicable law) the economic claims, rights and benefits (net of the amount of any related tax costs imposed on Assignor) under the Asset with respect to which the consent has not been obtained in accordance with this Agreement and (ii) Assignee shall assume any related economic burden (including the amount of any related tax costs imposed on Assignee) with respect to the Asset with respect to which the consent has not been obtained in accordance with this Agreement. If no arrangement by which Assignee can secure the benefit of any Asset subject to this Section can be reached, then Assignor shall indemnify Assignee with regard to its losses and/or damages as a result of such failure to obtain consent.

3. Assignor hereby agrees to render all reasonably requested assistance to Assignee to establish or protect Assignee's rights in and to the Intellectual Property and Assets including, without limitation, executing appropriate instruments to secure, register, verify, validate, effect, maintain, renew, or defend Assignee's rights in and to the Intellectual Property and Assets. Assignor further covenants that Assignee will, upon its request, be provided promptly with all pertinent facts and documents relating to the Intellectual Property and Assets. Further, Assignor shall not contest, deny or take any action inconsistent with Assignee's ownership in, rights to, or validity of the Intellectual Property or Assets. Assignor hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

4. **ASSIGNEE HEREBY ACKNOWLEDGES AND AGREES THAT: (A) EXCEPT FOR THE WARRANTY PROVIDED IN SECTION 5 HEREOF, ASSIGNOR HAS NOT MADE AND HEREBY EXPRESSLY DISCLAIMS ANY REPRESENTATION OR WARRANTY, EXPRESS OR IMPLIED, INCLUDING ANY IMPLIED WARRANTIES OF NON-INFRINGEMENT, TITLE, MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE, AS TO THE INTELLECTUAL PROPERTY AND ASSETS TRANSFERRED HEREUNDER OR THAT THE INTELLECTUAL PROPERTY SHALL OPERATE IN AN UNINTERRUPTED OR ERROR-FREE MANNER; (B) THE INTELLECTUAL PROPERTY AND ASSETS TRANSFERRED HEREUNDER ARE "AS IS"; AND (C) ASSIGNOR HEREBY EXPRESSLY DISCLAIMS ANY MAINTENANCE OR OTHER OBLIGATIONS WHATSOEVER IN CONNECTION WITH THE INTELLECTUAL PROPERTY TRANSFERRED HEREUNDER. ASSIGNOR AND ASSIGNEE AGREE THAT, TO THE EXTENT REQUIRED BY APPLICABLE LAW TO BE EFFECTIVE, THE DISCLAIMERS OF IMPLIED WARRANTIES CONTAINED HEREIN ARE "CONSPICUOUS" DISCLAIMERS FOR PURPOSE OF ANY APPLICABLE LAW, RULE OR ORDER.**

5. Each of the parties hereto hereby represents and warrants that it has full power and authority to enter into this Assignment. Assignor represents that it is the owner of the Intellectual Property or has the applicable rights in the Assets and it has not sold, encumbered or granted any rights in or to the Intellectual Property or Assets, nor need any rights or permissions to assign the Intellectual Property or Assets hereunder.

6. This Assignment shall be governed, construed and enforced in accordance with the laws of the State of New York, without regard to its choice or conflict of laws.

7. This Assignment may be executed in counterparts, each of which shall constitute an original, and all of which, when taken together, shall constitute one and the same instrument.

8. No amendment or waiver of any provision of this Assignment shall be effective unless in a writing signed by both parties. Except as expressly provided herein, no party shall assign its rights or delegate its duties hereunder without the written consent of the other parties.

IN WITNESS WHEREOF, Assignor and Assignee have executed this Assignment as of the Effective Date.

ATTENEX CORPORATION

By:

EB Miller

Name:

ERIC B. MILLER

Its:

Senior Vice President

State of

Maryland

)

) SS

County of

Anne Arundel

)

On this 29th day of December, 2009, before me, a Notary Public in and for the County and State aforesaid, appeared ERIC B. MILLER, to me personally known to be the same person who subscribed to the foregoing instrument, and acknowledged that execution of said document was a free and voluntary act for the uses and purposes therein expressed. WITNESS my hand and seal the day and year last above given.

Notary Public:

Patcharin M. Grusholt  
PATCHARIN M. GRUSHOLT

My Commission Expires: June 1, 2010

FTI TECHNOLOGY LLC

By: EB Miller  
Name: Eric B. Miller  
Its: Senior Vice President

State of Maryland )  
 ) SS  
County of Anne Arundel )

On this 29th day of December, 2009, before me, a Notary Public in and for the County and State aforesaid, appeared Eric B. Miller, to me personally known to be the same person who subscribed to the foregoing instrument, and acknowledged that execution of said document was a free and voluntary act for the uses and purposes therein expressed. WITNESS my hand and seal the day and year last above given.

Notary Public: Patcharin M. Grusholtz  
Patcharin M. Grusholtz  
My Commission Expires: June 1, 2010

# Attenex Corporation

## Patent Case Summary Report

### U.S. Patent Applications

#### Pending Cases

| Reference No.                                                                                                                          | Inventor(s)     | Status                                                                                                                                  | Title                                                                                                                           | Recent Actions                                                                                                                                                                           | Group                                    |
|----------------------------------------------------------------------------------------------------------------------------------------|-----------------|-----------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|
| Case No.:<br>013.0207.US.UTL<br>Serial No.:<br>10/626,984<br>Publication No.:<br>US-2005-0022106                                       | Kawai et al.    | <b>Allowed</b><br>Filed on 07/25/2003<br>See, also PCT,<br>Canadian, European<br>cases, and continuation<br>case No.<br>013.0911.US.CON | System And Method For Performing<br>Efficient Document Scoring And<br>Clustering                                                | Issue Fee paid on 9/16/09.<br>Issue Notification mailed on 10/07/09.<br>Issue date is <b>10/27/09</b> .<br>File continuation, 013.0911.US.CON,<br>per client's instructions of 10/22/09. | 1<br>Analytic scoring<br>and clustering  |
| Case No.:<br>013.0227.US.UTL<br>Serial No.: 10/317,438<br>Publication No.:<br>US-2005-0171948                                          | Knight          | <b>Pending</b><br>Filed on 12/11/2002<br>See, also PCT, Canadian<br>and European cases                                                  | System And Method For Identifying<br>Critical Features In An Ordered Scale<br>Space Within A Multi-Dimensional<br>Feature Space | Non-Final Office action was mailed on<br>9/1/09.<br>Response to Office Action is due on<br><b>12/1/09</b> .                                                                              | 1<br>Analytic scoring<br>and clustering  |
| Case No.:<br>013.0425.US.CON<br>Continuation of<br>013.0211.US.UTL<br>Serial No.:<br>10/911,376<br>Publication No.:<br>US-2005-0010555 | Gallivan        | <b>Pending</b><br>Filed on 08/3/2004                                                                                                    | System And Method For Efficiently<br>Generating Cluster Groupings In A<br>Multi-Dimensional Concept Space                       | Response to Office Action was filed on<br>8/5/09.<br>Awaiting further examination.                                                                                                       | 1<br>Analytic scoring<br>and clustering  |
| Case No.:<br>013.0733.US.CON<br>Continuation of<br>013.0514.US.CON<br>Serial No.:<br>11/964,000<br>Publication No.:<br>US-2008-0104063 | Gallivan et al. | <b>Pending</b><br>Filed on 12/24/2007                                                                                                   | System And Method For Clustering<br>Unstructured Documents                                                                      | Awaiting examination.<br>Case docketed to Examiner J. Galfin on<br>08/11/08.                                                                                                             | 1<br>Analytic scoring<br>and clustering  |
| Case No.:<br>013.0720.US.CON<br>Continuation of<br>013.0226.US.UTL<br>Serial No.:<br>11/901,537<br>Publication No.:<br>US-2008-0007556 | Evans           | <b>Allowed</b><br>Filed on 09/17/2007                                                                                                   | A System And Method For Placing<br>Thematically-Related Clusters Into A<br>Display                                              | Issue Fee paid on 9/16/09.<br>Issue Notification mailed on 10/7/09.<br>Issue date: <b>10/27/09</b> .<br>Awaiting client's instructions for<br>continuation filing.                       | 2<br>Cluster layout<br>and visualization |

# **Attenex Corporation** **Patent Case Summary Report**

| Reference No.                                                                                                                                 | Inventor(s) | Status                         | Title                                                                                                           | Recent Actions                                                                                                                    | Group                                    |
|-----------------------------------------------------------------------------------------------------------------------------------------------|-------------|--------------------------------|-----------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|
| Case No.:<br>013.0755.US.CON<br><i>Continuation of</i><br>013.0639.US.CON<br>Serial No.:<br>12/014,068<br>Publication No.:<br>US-2008-0114763 | Evans       | Pending<br>Filed on 01/14/2008 | System And Method For Arranging<br>Clusters In A Display By Theme                                               | Awaiting examination. Not docketed to<br>Examiner yet.                                                                            | 2<br>Cluster layout<br>and visualization |
| Case No.:<br>013.0770.US.CON<br><i>Continuation of</i><br>013.0645.US.CON<br>Serial No.:<br>12/060,005<br>Publication No.:<br>US-2008-0180446 | Gallivan    | Pending<br>Filed on 03/31/2008 | System And Method For Providing<br>A Perspective-Corrected<br>Representation Of A Multi-<br>Dimensional Cluster | Awaiting examination. Case docketed to<br>Examiner P. Pappas on 8/24/09.                                                          | 2<br>Cluster layout<br>and visualization |
| Case No.:<br>013.0771.US.CON<br><i>Continuation of</i><br>013.0404.US.UTL<br>Serial No.:<br>12,099,061<br>Publication No.:<br>US-2008-0201655 | Borchardt   | Pending<br>Filed on 04/07/2008 | System And Method For Providing A<br>Dynamic User Interface Including A<br>Plurality Of Logical Layers          | Awaiting examination. Case docketed to<br>Examiner K. Vu on 02/09/09.<br>Information Disclosure Statement was<br>filed on 9/9/09. | 2<br>Cluster layout<br>and visualization |



# Attenex Corporation

## Patent Case Summary Report

| Reference No.                                                                                                                                      | Inventor(s)       | Status                                                      | Title                                                                                                                           | Recent Actions                                                                                                               | Group                                 |
|----------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|
| Case No.:<br>013.0803.US.CON<br><i>Continuation of</i><br>013.0504.US.CIP<br>Serial No.:<br>12/177,046<br>Publication No.:<br>US-2008-0278485      | Borchardt et al.  | Pending<br>Filed on 07/21/2008                              | System And Method For Providing A Dynamic User Interface For A Dense Three-Dimensional Scene With A Navigation Assistance Panel | Awaiting examination. Not docketed to Examiner yet.<br>Information Disclosure Statement was filed on 9/9/09.                 | 2<br>Cluster layout and visualization |
| Case No.:<br>013.0824.US.CON<br><i>Continuation of</i><br>013.0403.US.CIP<br>Serial No.:<br>12/254,739<br>Publication No.:<br>US-2009-0046100      | Evans             | Pending<br>Filed on 10/20/2008                              | System And Method For Grouping Thematically-Related Clusters Into A Two-Dimensional Visual Display Space                        | Awaiting examination. Not docketed to Examiner yet.<br>Information Disclosure Statement was filed on 05/19/09.               | 2<br>Cluster layout and visualization |
| Case No.:<br>013.0870.US.CON<br><i>Continuation of</i><br>013.0558.US.CON<br>Application No.:<br>12/542,581                                        | Kawai et al.      | Pending<br>Filed on 08/17/2009                              | System And Method For Processing A Messages Store For Near Duplicate Messages                                                   | Recently filed case.                                                                                                         | 3<br>Unique message identification    |
| Case No.:<br>013.0294.US.UTL<br>Serial No.:<br>11/341,128<br>Publication No.:<br>US-2007-0179940                                                   | Robinson et al.   | Pending<br>Filed on 01/27/2006<br><i>See, also PCT case</i> | System And Method For Formulating Data Search Queries                                                                           | Final Office Action was mailed on 8/17/09.<br>Response to Final Office Action is due by 10/19/09.                            | 4<br>Miscellaneous                    |
| Case No.:<br>013.0844.US.CON<br><i>Continuation of</i><br>013.0570.US.CON<br>Application No.:<br>12/391,179<br>Publication No.:<br>US-2009-0210398 | Williamson et al. | Pending<br>Filed on 02/23/2009                              | System And Method For Complex Document Drafting Using An Authenticated Outline Table                                            | Awaiting examination. Not docketed to Examiner yet.<br>Application was published on 8/20/09 as Publication No. 2009-0210398. | 4<br>Miscellaneous                    |
| Case No.:<br>013.0869.US.CON<br><i>Continuation of</i><br>013.0868.US.CON<br>Application No.:<br>12/581,729                                        | Robinson et al.   | Pending<br>Filed on 10/19/2009                              | System And Method For Generating Representations Of Message-Related Relationships                                               | Recently filed case.                                                                                                         | 4<br>Miscellaneous                    |

# Attenex Corporation

## Patent Case Summary Report

| Reference No.                                                 | Inventor(s)   | Status                                                                          | Title                                                                                                                 | Recent Actions                                      | Group |
|---------------------------------------------------------------|---------------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|-------|
| Case No.<br>013.0872.US.PRO<br>Application No.:<br>61/229,216 | Knight et al. | Pending<br>Filed on 07/28/2009                                                  | System And Method For Providing Reference Documents As A Suggestion For Classifying Electronically Stored Information | Recently filed case.<br>Awaiting signed Assignment. |       |
| Case No.<br>013.0880.US.PRO<br>Application No.:<br>61/236,490 | Knight et al. | Pending<br>Filed on 08/24/2009<br><i>See, also case No.<br/>013.0860.US.UTL</i> | System And Method For Providing Document Classification Suggestions                                                   | Recently filed case.<br>Awaiting signed Assignment. |       |

### Issued Cases

| Reference Number                                                                                      | Inventor(s)     | Status                                                                                                                                  | Title                                                                                                                     | Recent Actions                                                                                | Group                                 |
|-------------------------------------------------------------------------------------------------------|-----------------|-----------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|---------------------------------------|
| Case No.:<br>013.0171.US.UTL<br>Patent No.:<br>6,978,274                                              | Gallivan et al. | <b>Issued</b> on 12/20/2005<br><i>See, also continuation case Nos.<br/>013.0514.US.CON &amp;<br/>013.0733.US.CON</i>                    | System And Method For Dynamically Evaluating Latent Concepts In Unstructured Documents                                    | 3.5-year Maintenance fee was paid on 6/19/09.<br>7.5-year Maintenance fee is due by 06/20/13. | 1<br>Analytic scoring and clustering  |
| Case No.:<br>013.0211.US.UTL<br>Patent No.:<br>6,778,995                                              | Gallivan        | <b>Issued</b> on 08/17/2004<br><i>See, also continuation case No.<br/>013.0425.US.CON</i>                                               | System And Method For Efficiently Generating Cluster Groupings In A Multi-Dimensional Concept Space                       | 7.5-year Maintenance fee is due by 2/17/12.                                                   | 1<br>Analytic scoring and clustering  |
| Case No.:<br>013.0514.US.CON<br><i>Continuation of</i><br>013.0171.US.UTL<br>Patent No.:<br>7,313,556 | Gallivan et al. | <b>Issued</b> on 12/25/2007<br><i>See, also continuation case No.<br/>013.0733.US.CON</i>                                               | System And Method For Dynamically Evaluating Latent Concepts In Unstructured Documents                                    | 3.5-year Maintenance fee is due by 6/27/11.                                                   | 1<br>Analytic scoring and clustering  |
| Case No.:<br>013.0117.US.UTL<br>Patent No.:<br>6,888,548                                              | Gallivan        | <b>Issued</b> on 05/03/2005<br><i>See, also continuation case Nos.<br/>013.0436.US.CON.<br/>013.0645.US.CON and<br/>013.0770.US.CON</i> | System And Method For Generating A Visualized Data Representation Preserving Independent Variable Geometric Relationships | 7.5-year Maintenance fee is due by 11/5/12.                                                   | 2<br>Cluster layout and visualization |
| Case No.:<br>013.0226.US.UTL<br>Patent No.:<br>7,271,804                                              | Evans           | <b>Issued</b> on 09/18/2007<br><i>See, also continuation case No.<br/>013.0720.US.CON. PCT.<br/>Canadian and European cases</i>         | System And Method For Arranging Concept Clusters In Thematic Relationships In A Two-Dimensional Visual Display Space      | 3.5-year Maintenance fee is due by 3/18/11.                                                   | 2<br>Cluster layout and visualization |

# **Attenex Corporation** **Patent Case Summary Report**

|                                                                                                            |                  |                                                                                                                                                                                                |                                                                                                                                   |                                              |                                       |
|------------------------------------------------------------------------------------------------------------|------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|---------------------------------------|
| Case No.:<br>013.0275.US.UTL<br>Patent No.:<br>7,191,175                                                   | Evans            | <b>Issued</b> on 03/13/2007<br><i>See, also continuation-in-part case No. 013.0403.US.CIP and continuation case Nos. 013.0639.US.CON and 013.0775.US.CON, PCT, Canadian and European cases</i> | System And Method For Arranging Concept Clusters In Thematic Neighborhood Relationships In A Two-Dimensional Visual Display Space | 3.5-year Maintenance fee is due by 9/13/10.  | 2<br>Cluster layout and visualization |
| Case No.:<br>013.0404.US.UTL<br>Patent No.:<br>7,356,777                                                   | Borchardt        | <b>Issued</b> on 04/08/2008<br><i>See, also continuation case No. 013.0771.US.CON, continuation-in-part case No. 013.0504.US.CIP, PCT, European and Canadian cases</i>                         | System And Method For Providing A Dynamic User Interface For A Dense Three-Dimensional Scene                                      | 3.5-year Maintenance fee is due by 10/11/11. | 2<br>Cluster layout and visualization |
| Case No.:<br>013.0436.US.CON<br><i>Continuation of 013.0117.US.UTL</i><br>Patent No.:<br>7,196,705         | Gallivan         | <b>Issued</b> on 03/27/2007<br><i>See, also continuation cases Nos. 013.0645.US.CON and 013.0770.US.CON</i>                                                                                    | System And Method For Presenting Independent Variable Geometric Relationships                                                     | 3.5-year Maintenance fee is due by 9/27/10.  | 2<br>Cluster layout and visualization |
| Case No.:<br>013.0504.US.CIP<br><i>Continuation-in-part of 013.0404.US.UTL</i><br>Patent No.:<br>7,404,151 | Borchardt et al. | <b>Issued</b> on 07/22/2008<br><i>See, also continuation case No. 013.0803.US.CON</i>                                                                                                          | System And Method For Providing A Dynamic User Interface For A Dense Three-Dimensional Scene                                      | 3.5-year Maintenance fee is due by 1/23/12.  | 2<br>Cluster layout and visualization |
| Case No.:<br>013.0639.US.CON<br><i>Continuation of 013.0275.US.UTL</i><br>Patent No.:<br>7,319,999         | Evans            | <b>Issued</b> on 01/15/2008<br><i>See, also continuation case No. 013.0755.US.CON</i>                                                                                                          | System And Method For Arranging Clusters In A Display By Theme                                                                    | 3.5-year Maintenance fee is due by 7/15/11.  | 2<br>Cluster layout and visualization |
| Case No.:<br>013.0645.US.CON<br><i>Continuation of 013.0436.US.CON</i><br>Patent No.:<br>7,352,371         | Gallivan         | <b>Issued</b> on 04/01/2008<br><i>See, also continuation case No. 013.0770.US.CON</i>                                                                                                          | System And Method For Providing A Perspective-Corrected Representation Of A Multi-Dimensional Cluster                             | 3.5-year Maintenance fee is due by 10/3/11.  | 2<br>Cluster layout and visualization |

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| Case No.:<br>013.0403. US.CIP<br><i>Continuation-in-part of</i><br>013.0275. US.UTL<br>Patent No.:<br>7,440,622 | Evans             | <b>Issued</b> on 10/21/2008<br>Filed on 08/3/2004<br><i>See, also PCT, Canadian and European cases</i>                                                                                         | System And Method For Arranging Concept Clusters In Thematic Neighborhood Relationships In A Two-Dimensional Visual Display Space | 3.5-year Maintenance fee is due by<br>4/23/12.  | 2<br>Cluster layout and visualization |
| Case No.:<br>008.0169. US.UTL<br>Patent No.:<br>6,745,197                                                       | McDonald          | <b>Issued</b> on 06/01/2004<br><i>See, also continuation-in-part case No. 013.0302. US.CIP, continuation case Nos. 013.0437. US.CON and 013.0558. US.CON, PCT, Canadian and European cases</i> | System And Method For Efficiently Processing Messages Stored In Multiple Message Stores                                           | 7.5-year Maintenance fee is due by<br>12/1/11.  | 3<br>Unique message identification    |
| Case No.:<br>013.0302. US.CIP<br><i>Continuation-in-part of</i><br>008.0169. US.UTL<br>Patent No.:<br>6,820,081 | Kawai             | <b>Issued</b> on 11/16/2004<br><i>See, also continuation case No. 013.0437. US.CON, PCT, Canadian and European cases</i>                                                                       | System And Method For Evaluating A Structured Message Store For Message Redundancy                                                | 7.5-year Maintenance fee is due by<br>5/16/12.  | 3<br>Unique message identification    |
| Case No.:<br>013.0437. US.CON<br><i>Continuation of</i><br>013.0302. US.CIP<br>Patent No.:<br>7,035,876         | Kawai             | <b>Issued</b> on 04/25/2006<br><i>See, also continuation case No. 013.0558. US.CON</i>                                                                                                         | System And Method For Evaluating A Structured Message Store For Message Redundancy                                                | 3.5-year Maintenance fee is due by<br>10/26/09. | 3<br>Unique message identification    |
| Case No.:<br>013.0558. US.CON<br><i>Continuation of</i><br>013.0437. US.CON<br>Patent No.:<br>7,577,656         | Kawai et al.      | <b>Issued</b><br>Filed on 04/24/2006<br><i>See, also continuation case No. 013.0870. US.CON</i>                                                                                                | System And Method For Identifying And Categorizing Messages Extracted From Archived Message Stores                                | 3.5-year Maintenance fee is due by<br>2/19/13.  | 3<br>Unique message identification    |
| Case No.:<br>008.0170. US.UTL<br>Patent No.:<br>7,080,076                                                       | Williamson et al. | <b>Issued</b> on 07/18/2006<br><i>See, also continuation case No. 013.0570. US.CON, PCT, Chinese, Canadian and European cases</i>                                                              | System And Method For Efficiently Drafting A Legal Document Using An Authenticated Clause Table                                   | 3.5-year Maintenance fee is due by<br>1/19/10.  | 4<br>Miscellaneous                    |

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| Case No.:<br>013.0570.US.CON<br><i>Continuation of</i><br>008.0170.US.CON<br>Patent No.:<br>7,496,577 | Williamson et al. | Issued on 2/24/2009<br>Filed on 07/14/2006<br><i>Sec. also continuation</i><br><i>case No.</i><br>013.0844.US.CON | System And Method For Efficiently<br>Drafting A Legal Document Using An<br>Authenticated Clause Table   | 3.5-year Maintenance fee is due by<br>8/24/12.                                                                                  | 4<br>Miscellaneous |
| Case No.:<br>013.0868.US.UTL<br>Application No.:<br>11/045,775<br>Patent No.:<br>7,606,168            | Robinson et al.   | Issued on 10/20/09<br>Filed on 01/28/2005<br><i>Sec. also continuation</i><br><i>case No.</i><br>013.0869.US.CON  | Apparatus and Method for Message-<br>Centric Analysis and Multi-aspect<br>Viewing using Social Networks | Transferred case.<br>Revocation of Power of Attorney was<br>filed on 10/5/09.<br>3.5-year Maintenance fee is due by<br>4/22/13. | 4<br>Miscellaneous |