

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT5787345

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	LISHA WANG	06/02/2016
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	F. HOFFMANN-LA ROCHE AG	
<b>Street Address:</b>	GRENZACHERSTRASSE 124	
<b>City:</b>	BASEL	
<b>State/Country:</b>	SWITZERLAND	
<b>Postal Code:</b>	4070	
<b>PROPERTY NUMBERS Total: 1</b>		
<b>Property Type</b>	<b>Number</b>	
<b>Application Number:</b>	16189755	
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<b>ATTORNEY DOCKET NUMBER:</b>	P33636-US	
<b>NAME OF SUBMITTER:</b>	TODD M. CRISSEY	
<b>SIGNATURE:</b>	/Todd M. Crissey/	
<b>DATE SIGNED:</b>	10/24/2019	
<b>Total Attachments: 3</b>		
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**ASSIGNMENT AGREEMENT**

I/We, the undersigned

**Inventor(s)****Employed by:**

Lisha WANG, a Chinese citizen  
c/o F. Hoffmann-La Roche AG  
Grenzacherstrasse 124, 4070 Basel, Switzerland

F. Hoffmann-La Roche AG

hereinafter ASSIGNOR(S), herewith declare that I am/we are joint inventor(s) together with

**Inventor(s)****Employed by:**

Hongying YUN  
Weixing Zhang  
Wei ZHU  
Zhiwei ZHANG

Roche R&D Center (China) Ltd  
Roche R&D Center (China) Ltd  
Roche R&D Center (China) Ltd  
Roche R&D Center (China) Ltd

hereinafter CO-INVENTOR(S)

of the invention entitled:

**BENZAZEPINE DICARBOXAMIDE COMPOUNDS WITH SECONDARY AMIDE FUNCTION**

for which (a) priority application(s) was (were) filed with the

**Patent Office**

State Intellectual Property Office of the People's Republic of China

as outlined below:

<b>Case No.</b>	<b>Country</b>	<b>Filing Date</b>	<b>Serial No.</b>
P33636	WO	23 May 2016	PCT/CN2016/082992

ASSIGNOR(S) acknowledge(s) the previous transfer to

F. Hoffmann-La Roche AG  
Grenzacherstrasse 124  
4070 Basel  
Switzerland

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the inventions disclosed in the priority application(s) set forth above by operation of law, contract, or otherwise.

As far as right, title, and interest of the ASSIGNOR(S) in, to, and deriving from the inventions disclosed in the patent application(s) set forth above may not have been transferred to ASSIGNEE, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all right, title, and interest in, to, and deriving from the invention and to the above priority application(s), including the right to claim priority.

The assignment of the rights of the CO-INVENTOR(S) is subject to a separate assignment agreement. ASSIGNOR(S) explicitly approve(s) that assignment.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

Case No. P33636

TO BE NOTARIZED

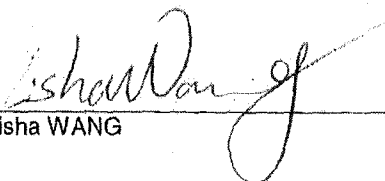
ASSIGNEE and ASSIGNOR hereby acknowledge, confirm, and agree that ASSIGNOR has been fully and adequately compensated for all rights granted or assigned to ASSIGNEE hereunder.

This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

**ASSIGNOR(S)**

Basel, Switzerland, June 2, 2016

Place, Date

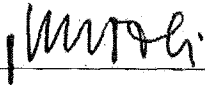
  
Lisha WANG


**ASSIGNEE**

**F. Hoffmann-La Roche AG**

Basel, Switzerland,  
Place, Date

**08 JUN 2016**

  
Antonio Natoli  
Holder of procuration

  
Susanne Amann  
Mandatory



## Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the overleaf signature of

Miss **Lisha Wang**, born on April 14<sup>th</sup>, 1973, Chinese citizen, residing in Basel (Switzerland).

He certifies that the named person is in the employ of F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland).

He also legalizes the overleaf signature of

**F. Hoffmann-La Roche AG**, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Susanne Amann, German citizen, residing in Heitersheim (Germany),

both duly entitled also to sign documents wherein property of the company is alienated.

All signers and signatures personally known.

**B a s e l** (Switzerland), this 13<sup>th</sup> (thirteenth) day of June 2016 (two thousand and sixteen).



BR 677/2016