

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5789499

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ANDREW MORLEY	09/21/2019
REBECCA MILLER	09/25/2019
NICHOLAS LA THANGUE	10/18/2019
RECEIVING PARTY DATA	
Name:	ARGONAUT THERAPEUTICS LIMITED
Street Address:	THE MAGDALEN CENTRE
Internal Address:	OXFORD SCIENCE PARK, ROBERT ROBINSON AVENUE
City:	OXFORD OXFORDSHIRE
State/Country:	GREAT BRITAIN
Postal Code:	OX4 4GA
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16494570
CORRESPONDENCE DATA	
Fax Number:	(415)393-9887
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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Email:	shannon.rosenthal@squirepb.com
Correspondent Name:	SQUIRE PATTON BOGGS (US) LLP
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ATTORNEY DOCKET NUMBER:	113576.00023
NAME OF SUBMITTER:	TODD OSTOMEL
SIGNATURE:	/ToddOstomelReg63426/
DATE SIGNED:	10/25/2019
Total Attachments: 3	
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ASSIGNMENT

WHEREAS, I, **Andrew MORLEY**, ASSIGNOR, citizen of Great Britain, with a mailing address at c/o O2h Ventures Limited, Hauxton House, O2h Scitech Park, Mill Lane, Hauxton, Cambridge, Cambridgeshire, CB22 5HX, Great Britain, in relation to the invention for which an application for patent has been filed on **March 16, 2018** as International Patent Application No. **PCT/EP2018/056675** entitled

TRICYCLIC COMPOUNDS FOR USE IN TREATMENT OF PROLIFERATIVE DISORDERS

and WHEREAS, **ARGONAUT THERAPEUTICS LIMITED**, a corporation organized and existing under the laws of the Country of **Great Britain**, and having an office for the transaction of business at **The Magdalen Centre, Oxford Science Park, Robert Robinson Avenue, Oxford Oxfordshire OX4 4GA, Great Britain**, herein referred to as "ASSIGNEE," is desirous of obtaining my entire right, title and interest in, to and under the said invention and the said application.

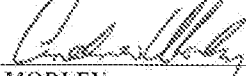
NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, I, the said ASSIGNOR, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, my entire right, title and interest in, to and under the said invention, and the said application and all applications claiming priority thereto and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND I HEREBY authorize and request the Commissioner for Patents and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND I HEREBY covenant and agree that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

AND I HEREBY further covenant and agree that I will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal the day and year set opposite my respective signature.

Date 21/09/2019  L.S.
Andrew MORLEY

Date 21-9-19 T R S T RIGBY
Witness (sign and print name)

Date 21-9-19 S. Leake S. LEAKE
Witness (sign and print name)

ASSIGNMENT

WHEREAS, I, **Rebecca MILLER**, ASSIGNOR, citizen of Great Britain, with a mailing address at c/o Argonaut Therapeutics Limited, The Magdalen Centre, Oxford Science Park, Robert Robinson Avenue, Oxford Oxfordshire OX4 4GA, Great Britain, in relation to the invention for which an application for patent has been filed on **March 16, 2018** as International Patent Application No. **PCT/EP2018/056675** entitled

TRICYCLIC COMPOUNDS FOR USE IN TREATMENT OF PROLIFERATIVE DISORDERS

and WHEREAS, **ARGONAUT THERAPEUTICS LIMITED**, a corporation organized and existing under the laws of the Country of **Great Britain**, and having an office for the transaction of business at **The Magdalen Centre, Oxford Science Park, Robert Robinson Avenue, Oxford Oxfordshire OX4 4GA, Great Britain**, herein referred to as "ASSIGNEE," is desirous of obtaining my entire right, title and interest in, to and under the said invention and the said application.

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, I, the said ASSIGNOR, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, my entire right, title and interest in, to and under the said invention, and the said application and all applications claiming priority thereto and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND I HEREBY authorize and request the Commissioner for Patents and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND I HEREBY covenant and agree that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

AND I HEREBY further covenant and agree that I will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal the day and year set opposite my respective signature.

Date 25/09/2019

R. Miller L.S.
Rebecca MILLER

Date 25/9 - 19

Thomas D
Witness (sign and print name) **THOMAS DAUGBERG**

Date 25/9 - 19

Richard Karlsson
Witness (sign and print name) **RICHARD KARLSSON**

ASSIGNMENT

WHEREAS, I, **Nicholas LA THANGUE**, ASSIGNOR, citizen of Great Britain, with a mailing address at c/o Argonaut Therapeutics Limited, The Magdalen Centre, Oxford Science Park, Robert Robinson Avenue, Oxford Oxfordshire OX4 4GA, Great Britain, in relation to the invention for which an application for patent has been filed on **March 16, 2018** as International Patent Application No. **PCT/EP2018/056675** entitled

TRICYCLIC COMPOUNDS FOR USE IN TREATMENT OF PROLIFERATIVE DISORDERS

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NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, I, the said ASSIGNOR, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, my entire right, title and interest in, to and under the said invention, and the said application and all applications claiming priority thereto and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND I HEREBY authorize and request the Commissioner for Patents and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND I HEREBY covenant and agree that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

AND I HEREBY further covenant and agree that I will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.


IN TESTIMONY WHEREOF, I hereunto set my hand and seal the day and year set opposite my respective signature.

Date 18. 10. 19




Nicholas LA THANGUE L.S.

Date 18. 10. 19

 Connette J. Cole

Witness (sign and print name)

Date 18. 10. 19

 SHONAGH MUNRO

Witness (sign and print name)