

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT5759605

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the SERIAL NUMBER previously recorded on Reel 044329 Frame 0142. Assignor(s) hereby confirms the APPLICANT IS ASSIGNEE.
RESUBMIT DOCUMENT ID:	505612329

CONVEYING PARTY DATA

Name	Execution Date
JENNIFER KARA BARRY	11/13/2017
JAMES J ENGLISH	11/13/2017
KEVIN A FENGLER	11/29/2017
ZHENGLIN HOU	09/21/2017
LU LIU	11/10/2017
ERIC JUDE SCHEPERS	11/13/2017
JEFFREY SCOTT SOPA	11/28/2017
INGRID UDRANSZKY	11/16/2017

RECEIVING PARTY DATA

Name:	PIONEER HI-BRED INTERNATIONAL, INC.
Street Address:	7100 NW 62ND AVENUE
Internal Address:	PO BOX 1014
City:	JOHNSTON
State/Country:	IOWA
Postal Code:	50131-1014

PROPERTY NUMBERS Total: 1

Property Type	Number
PCT Number:	US1756517

CORRESPONDENCE DATA

Fax Number: (515)535-6883

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 515-535-5859

Email: IPSUPPORT@PIONEER.COM

Correspondent Name: PIONEER HI-BRED INTERNATIONAL, INC.

Address Line 1: 7250 NW 62ND AVENUE

Address Line 2: PO BOX 552

Address Line 4: JOHNSTON, IOWA 50131-0552

PATENT

ATTORNEY DOCKET NUMBER:	6473-WO-PCT
NAME OF SUBMITTER:	S. CHRISTOPHER BAUER
SIGNATURE:	/S. Christopher Bauer/
DATE SIGNED:	10/08/2019

Total Attachments: 14

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PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT4722977

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JENNIFER KARA BARRY	11/13/2017
JAMES J ENGLISH	11/13/2017
KEVIN A FENGLER	11/29/2017
ZHENGLIN HOU	09/21/2017
LU LIU	11/10/2017
ERIC JUDE SCHEPERS	11/13/2017
JEFFREY SCOTT SOPA	11/28/2017
INGRID UDRANSZKY	11/16/2017
RECEIVING PARTY DATA	
Name:	PIONEER HI-BRED INTERNATIONAL, INC.
Street Address:	7100 NW 62ND AVENUE
City:	JOHNSTON
State/Country:	IOWA
Postal Code:	50131-1014
PROPERTY NUMBERS Total: 1	
Property Type	Number
PCT Number:	US2016056517
CORRESPONDENCE DATA	
Fax Number:	(515)535-6883
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	515-535-4827
Email:	ipsupport@pioneer.com
Correspondent Name:	PIONEER HI-BRED INTERNATIONAL, INC.
Address Line 1:	7250 NW 62ND AVENUE
Address Line 2:	PO BOX 552
Address Line 4:	JOHNSTON, IOWA 50131-0552
ATTORNEY DOCKET NUMBER:	6473-WO-PCT
NAME OF SUBMITTER:	TONI K FARRIS
SIGNATURE:	/Toni K. Farris/

WORLDWIDE ASSIGNMENT

I, the undersigned **JENNIFER KARA BARRY**, hereby declare that I am an inventor of an invention entitled **INSECTICIDAL PROTEINS FROM PLANTS AND METHODS FOR THEIR USE** the subject matter of which is described in the application for patent (Attorney Docket No: 6473)

- declaration executed on _____
- United States Patent Application Serial No. 62/411318 filed on October 21, 2016
- PCT Application Serial No. PCT/US17/56517 filed on October 13, 2017,

which claim(s) its earliest priority to Application Serial No. 62/411318, filed on October 21, 2016.

For valuable consideration, the receipt and adequacy of which is hereby acknowledged, and in fulfillment of my pre-existing obligation of assignment to assignee, which is hereby acknowledged, I hereby:

I. Sell, assign, and transfer unto PIONEER HI-BRED INTERNATIONAL, INC., a corporation organized and existing under the laws of the State of Iowa in the United States of America and having its principal place of business at 7100 NW 62nd Avenue, P.O. Box 1014, Johnston, Iowa 50131-1014, herein referred to as the assignee, and to assignee's successors and assigns,

(A) the entire right, title, and interest in and to: (1) the aforesaid application(s) for Letters Patent ("Patent"), (2) any priority rights derived from such aforesaid application(s) for Patent by virtue of the International Convention for the Protection of Industrial Property ("International Convention") and any other treaty or understanding for intellectual property for any and all member countries of the International Convention or other treaty or understanding, including rights in any and all provisional applications, (3) any and all of my inventions, whether joint or sole, disclosed in such aforesaid application(s) for Patent, and/or related to or arising out of such aforesaid application(s) for Patent ("Inventions"), (4) any and all applications for Patent for any such Inventions in any country whatsoever, including all provisional, non-provisional, divisional, renewal, substitute, continuation, continuations-in-part, international and convention applications based in whole or in part upon such aforesaid application(s) for Patent and/or such Inventions, (5) any and all patents for any such inventions in any country whatsoever, including any and all reexams, reissues and extensions of any patent based in whole or in part upon such aforesaid application(s) for Letters Patent and/or such Inventions; (B) the entire right to (1) file such applications in its name or in my name, (2) file such applications under the aforesaid International Convention or any other treaty or understanding, (3) have said patents granted in its name or mine, the Commissioner of Patents and Trademarks of the United States of America and any counterpart in any other patent office being hereby authorized to issue or transfer all of said patents to said assignee in accordance herewith, and (4) enforce said patents and to sue for and recover profits and damages for any and all infringements thereof, whether past, present or future, to the full end of the term or terms for which said patents may be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned without this assignment;

II. Agree, whenever assignee asks, without further compensation but at assignee's expense for actual and reasonable costs incurred,

(A) to communicate to said assignee, its successors, assigns, and legal representatives, any facts known to me respecting such Inventions or the rights described above, (B) to testify in any legal proceeding respecting such Inventions or the rights described above, the location of that testimony to be in the country in which I reside or in the nearest country in which such testimony is legal should my country of residence prohibit such testimony, (C) to execute all applications, papers or instruments necessary or required by said assignee, its successors, assigns and legal representatives, to carry into effect any of the provisions of this instrument, including petitions, specifications, oaths, assignments, disclaimers, and lawful affidavits in form and substance which may be requested by said assignee and (D) generally to do everything possible to aid said assignee, its successors, assigns, and legal representatives to obtain, maintain, defend and enforce patent protection for such Inventions and/or secure title to such Inventions with assignee;

III. Represent, warrant and covenant that I have the full right and lawful authority to make this assignment, that such assigned right is not pre-dated by any grant, license, or other right heretofore given by me to any party other than the assignee hereto;

IV. Agree that my grants and obligations herein shall also bind all of my heirs, executors, administrators, legal representatives, and assigns; and

V. Agree that an authorized representative of assignee is hereby granted the power to insert in this assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office or the patent office of any other country for recordation of this Assignment, including the power to insert on this assignment information regarding application number and filing date when known.

In Witness Whereof, I have executed this Worldwide Assignment on the date indicated by my hand and agree that this Worldwide Assignment shall be effective as of the earliest priority date for the aforesaid application(s) for Letters Patent.

JENNIFER KARA BARRY
Name of Inventor


Signature of Inventor

11/13/17
Date

WORLDWIDE ASSIGNMENT

I, the undersigned **JAMES J. ENGLISH**, hereby declare that I am an inventor of an invention entitled **INSECTICIDAL PROTEINS FROM PLANTS AND METHODS FOR THEIR USE** the subject matter of which is described in the application for patent (Attorney Docket No: 6473)

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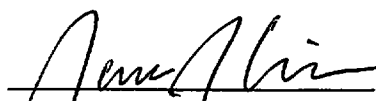
III. Represent, warrant and covenant that I have the full right and lawful authority to make this assignment, that such assigned right is not pre-dated by any grant, license, or other right heretofore given by me to any party other than the assignee hereto;

IV. Agree that my grants and obligations herein shall also bind all of my heirs, executors, administrators, legal representatives, and assigns; and

V. Agree that an authorized representative of assignee is hereby granted the power to insert in this assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office or the patent office of any other country for recordation of this Assignment, including the power to insert on this assignment information regarding application number and filing date when known.

In Witness Whereof, I have executed this Worldwide Assignment on the date indicated by my hand and agree that this Worldwide Assignment shall be effective as of the earliest priority date for the aforesaid application(s) for Letters Patent.

JAMES J. ENGLISH
Name of Inventor


Signature of Inventor

11/13/17
Date

WORLDWIDE ASSIGNMENT

I, the undersigned **KEVIN A. FENGLER**, hereby declare that I am an inventor of an invention entitled **INSECTICIDAL PROTEINS FROM PLANTS AND METHODS FOR THEIR USE** the subject matter of which is described in the application for patent (Attorney Docket No: 6473)

- declaration executed on _____
 - United States Patent Application Serial No. 62/411318 filed on October 21, 2016
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(A) the entire right, title, and interest in and to: (1) the aforesaid application(s) for Letters Patent ("Patent"), (2) any priority rights derived from such aforesaid application(s) for Patent by virtue of the International Convention for the Protection of Industrial Property ("International Convention") and any other treaty or understanding for intellectual property for any and all member countries of the International Convention or other treaty or understanding, including rights in any and all provisional applications, (3) any and all of my inventions, whether joint or sole, disclosed in such aforesaid application(s) for Patent, and/or related to or arising out of such aforesaid application(s) for Patent ("Inventions"), (4) any and all applications for Patent for any such Inventions in any country whatsoever, including all provisional, non-provisional, divisional, renewal, substitute, continuation, continuations-in-part, international and convention applications based in whole or in part upon such aforesaid application(s) for Patent and/or such Inventions, (5) any and all patents for any such Inventions in any country whatsoever, including any and all reexams, reissues and extensions of any patent based in whole or in part upon such aforesaid application(s) for Letters Patent and/or such Inventions; (B) the entire right to (1) file such applications in its name or in my name, (2) file such applications under the aforesaid International Convention or any other treaty or understanding, (3) have said patents granted in its name or mine, the Commissioner of Patents and Trademarks of the United States of America and any counterpart in any other patent office being hereby authorized to issue or transfer all of said patents to said assignee in accordance herewith, and (4) enforce said patents and to sue for and recover profits and damages for any and all infringements thereof, whether past, present or future, to the full end of the term or terms for which said patents may be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned without this assignment;

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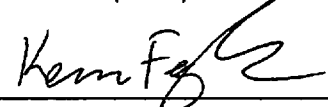
III. Represent, warrant and covenant that I have the full right and lawful authority to make this assignment, that such assigned right is not pre-dated by any grant, license, or other right heretofore given by me to any party other than the assignee hereto;

IV. Agree that my grants and obligations herein shall also bind all of my heirs, executors, administrators, legal representatives, and assigns; and

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KEVIN A. FENGLER
Name of Inventor


Signature of Inventor

11/29/17
Date

WORLDWIDE ASSIGNMENT

I, the undersigned ZHENGLIN HOU, hereby declare that I am an inventor of an invention entitled INSECTICIDAL PROTEINS FROM PLANTS AND METHODS FOR THEIR USE the subject matter of which is described in the application for patent (Attorney Docket No: 6473-WO-PCT)

declaration executed on _____
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 PCT Application Serial No. PCT/US17/56517, filed on October 13, 2017,
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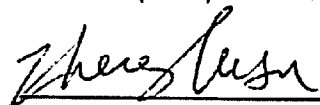
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IV. Agree that my grants and obligations herein shall also bind all of my heirs, executors, administrators, legal representatives, and assigns; and

V. Agree that an authorized representative of assignee is hereby granted the power to insert in this assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office or the patent office of any other country for recordation of this Assignment, including the power to insert on this assignment information regarding application number and filing date when known.

In Witness Whereof, I have executed this Worldwide Assignment on the date indicated by my hand and agree that this Worldwide Assignment shall be effective as of the earliest priority date for the aforesaid application(s) for Letters Patent.

ZHENGLIN HOU
Name of Inventor


Signature of Inventor

9/21/2017
Date

WORLDWIDE ASSIGNMENT

I, the undersigned LU LIU, hereby declare that I am an inventor of an invention entitled **INSECTICIDAL PROTEINS FROM PLANTS AND METHODS FOR THEIR USE** the subject matter of which is described in the application for patent (Attorney Docket No: 6473)

- declaration executed on _____
 - United States Patent Application Serial No. 62/411318 filed on October 21, 2016
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LU LIU
Name of Inventor


Signature of Inventor

11-10-2017
Date

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(A) the entire right, title, and interest in and to: (1) the aforesaid application(s) for Letters Patent ("Patent"), (2) any priority rights derived from such aforesaid application(s) for Patent by virtue of the International Convention for the Protection of Industrial Property ("International Convention") and any other treaty or understanding for intellectual property for any and all member countries of the International Convention or other treaty or understanding, including rights in any and all provisional applications, (3) any and all of my inventions, whether joint or sole, disclosed in such aforesaid application(s) for Patent, and/or related to or arising out of such aforesaid application(s) for Patent ("Inventions"), (4) any and all applications for Patent for any such Inventions in any country whatsoever, including all provisional, non-provisional, divisional, renewal, substitute, continuation, continuations-in-part, international and convention applications based in whole or in part upon such aforesaid application(s) for Patent and/or such Inventions, (5) any and all patents for any such Inventions in any country whatsoever, including any and all reexams, reissues and extensions of any patent based in whole or in part upon such aforesaid application(s) for Letters Patent and/or such Inventions; (B) the entire right to (1) file such applications in its name or in my name, (2) file such applications under the aforesaid International Convention or any other treaty or understanding, (3) have said patents granted in its name or mine, the Commissioner of Patents and Trademarks of the United States of America and any counterpart in any other patent office being hereby authorized to issue or transfer all of said patents to said assignee in accordance herewith, and (4) enforce said patents and to sue for and recover profits and damages for any and all infringements thereof, whether past, present or future, to the full end of the term or terms for which said patents may be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned without this assignment;

II. Agree, whenever assignee asks, without further compensation but at assignee's expense for actual and reasonable costs incurred,

(A) to communicate to said assignee, its successors, assigns, and legal representatives, any facts known to me respecting such Inventions or the rights described above, (B) to testify in any legal proceeding respecting such Inventions or the rights described above, the location of that testimony to be in the country in which I reside or in the nearest country in which such testimony is legal should my country of residence prohibit such testimony, (C) to execute all applications, papers or instruments necessary or required by said assignee, its successors, assigns and legal representatives, to carry into effect any of the provisions of this instrument, including petitions, specifications, oaths, assignments, disclaimers, and lawful affidavits in form and substance which may be requested by said assignee and (D) generally to do everything possible to aid said assignee, its successors, assigns, and legal representatives to obtain, maintain, defend and enforce patent protection for such Inventions and/or secure title to such Inventions with assignee;

III. Represent, warrant and covenant that I have the full right and lawful authority to make this assignment, that such assigned right is not pre-dated by any grant, license, or other right heretofore given by me to any party other than the assignee hereto;

IV. Agree that my grants and obligations herein shall also bind all of my heirs, executors, administrators, legal representatives, and assigns; and

V. Agree that an authorized representative of assignee is hereby granted the power to insert in this assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office or the patent office of any other country for recordation of this Assignment, including the power to insert on this assignment information regarding application number and filing date when known.

In Witness Whereof, I have executed this Worldwide Assignment on the date indicated by my hand and agree that this Worldwide Assignment shall be effective as of the earliest priority date for the aforesaid application(s) for Letters Patent.

ERIC JUDE SCHEPERS
Name of Inventor

Eric Jude Schepers
Signature of Inventor

November 13, 2017
Date

WORLDWIDE ASSIGNMENT

I, the undersigned **JEFFREY SCOTT SOPA**, hereby declare that I am an inventor of an invention entitled **INSECTICIDAL PROTEINS FROM PLANTS AND METHODS FOR THEIR USE** the subject matter of which is described in the application for patent (Attorney Docket No: 6473)

- declaration executed on _____
- United States Patent Application Serial No. 62/411318 filed on October 21, 2016
- PCT Application Serial No. PCT/US17/56517 filed on October 13, 2017,

which claim(s) its earliest priority to Application Serial No. 62/411318, filed on October 21, 2016.

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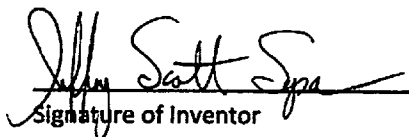
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JEFFREY SCOTT SOPA
Name of Inventor


Signature of Inventor

11/28/2017
Date

WORLDWIDE ASSIGNMENT

I, the undersigned **INGRID UDRANSZKY**, hereby declare that I am an inventor of an invention entitled **INSECTICIDAL PROTEINS FROM PLANTS AND METHODS FOR THEIR USE** the subject matter of which is described in the application for patent (Attorney Docket No: 6473)

- declaration executed on _____
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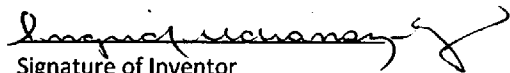
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INGRID UDRANSZKY
Name of Inventor


Signature of Inventor

11.16.17
Date

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

JENNIFER KARA BARRY; JAMES J ENGLISH;
KEVIN A FENGLER; ZHENGLIN HOU; LULIU;
ERIC JUDE SCHEPERS; JEFFREY SCOTT SOPA;
INGRID UDRANSZKY

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: PIONEER HI-BRED INTERNATIONAL, INC.

Internal Address: _____

Street Address: 7100 NW 62ND AVENUE

PO BOX 1014

City: JOHNSTON

State: IOWA

Country: USA Zip: 50131-1014

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 11/13/2017; 11/13/2017; 11/29/2017; 09/21/2017;
11/10/2017; 11/13/2017; 11/28/2017; 11/16/2017

- Assignment Merger
 Security Agreement Change of Name
 Joint Research Agreement
 Government Interest Assignment
 Executive Order 9424, Confirmatory License
 Other _____

4. Application or patent number(s):

This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

CORRECTING PCT SERIAL NUMBER
FROM: PCT/US2016/056517
TO: PCT/US2017/056517

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: PIONEER HI-BRED INTERNATIONAL, INC.

Internal Address: _____

Street Address: 7250 NW 62ND AVENUE
PO BOX 552

City: JOHNSTON

State: IOWA Zip: 50131-0552

Phone Number: 515-535-5859

Docket Number: 6473-WO-PCT

Email Address: IPSUPPORT@PIONEER.COM

6. Total number of applications and patents involved: 1**7. Total fee (37 CFR 1.21(h) & 3.41) \$** _____

- Authorized to be charged to deposit account
 Enclosed
 None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 16-1852

Authorized UserName TIFFANY BROTHERSON

9. Signature: /S. Christopher Bauer/

October 8, 2019

Signature

Date

S. CHRISTOPHER BAUER

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450