#### 505747478 10/29/2019

### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT5794291

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME

### **CONVEYING PARTY DATA**

Name	Execution Date
RECEPTOR LIFE SCIENCES, INC	10/08/2018

### **RECEIVING PARTY DATA**

Name:	RECEPTOR HOLDINGS INC.
Street Address:	1420 5TH AVENUE
Internal Address:	SUITE 4200
City:	SEATTLE
State/Country:	WASHINGTON
Postal Code:	98101

### **PROPERTY NUMBERS Total: 2**

Property Type	Number	
Application Number:	16465984	
Application Number:	16496849	

### CORRESPONDENCE DATA

Fax Number: (509)323-8979

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 2068766017

Email: suzannec@leehayes.com C. RACHAL WINGER, PH.D. **Correspondent Name:** Address Line 1: 601 W. RIVERSIDE AVENUE

Address Line 2: **SUITE 1400** 

Address Line 4: SPOKANE, WASHINGTON 99201

ATTORNEY DOCKET NUMBER:	R115-0011US
NAME OF SUBMITTER:	NATHAN ALLEN
SIGNATURE:	/Nathan Allen/
DATE SIGNED:	10/29/2019

**Total Attachments: 7** 

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FILED
Secretary of State
State of Washington
Date Filed: 10/08/2018

Effective Date: 10/08/2018 UBI No: 603 568 334

## ARTICLES OF CONVERSION OF RECEPTOR LIFE SCIENCES, INC. INTO RECEPTOR HOLDINGS, INC.

Pursuant to RCW 23B,09,040, the business entity named below submits the following Articles of Conversion:

- Receptor Life Sciences, Inc. the converting entity, has been converted into Receptor Holdings, Inc., the surviving entity.
- Prior to conversion, the converting entity was named Receptor Life Sciences, Inc., a Washington corporation.
- After the conversion, the surviving entity is named Receptor Holdings, Inc., a
  Delaware corporation.
- 4. The conversion is effective at 11:59 PM on the date of filing of these Articles of Conversion with the Secretary of State of the State of Washington.
- The conversion was duly approved by the board of directors and shareholders of Receptor Life Sciences, Inc. pursuant to RCW 23B.09.030.
- 6. Receptor Holdings, Inc., the surviving entity, hereby appoints the Secretary of State of the State of Washington as its agent for service of process in a proceeding to enforce any obligation or the rights of dissenting shareholders. The street and mailing address which the Secretary of State for the State of Washington may use for the purposes of RCW 23B.15.100 is 1420 5th Ave., Ste #4200, Seattle, WA 98101.

RECEPTOR LIFE SCIENCES, INC. A Washington Corporation

By: Traci Carman

Traci Carman

Its: President



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I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF

DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT

COPY OF THE CERTIFICATE OF CONVERSION OF A WASHINGTON CORPORATION

UNDER THE NAME OF "RECEPTOR LIFE SCIENCES, INC." TO A DELAWARE

CORPORATION, CHANGING ITS NAME FROM "RECEPTOR LIFE SCIENCES, INC."

TO "RECEPTOR HOLDINGS, INC.", FILED IN THIS OFFICE ON THE EIGHTH

DAY OF OCTOBER, A.D. 2018, AT 1:33 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE KENT COUNTY RECORDER OF DEEDS.

7091472 8100F SR# 20187033208

You may verify this certificate online at corp.delaware.gov/authver.shtml

JUSIS.

Authentication: 203568636

Date: 10-08-18

# STATE OF DELAWARE CERTIFICATE OF CONVERSION FROM A NON-DELAWARE ENTITY TO A DELAWARE CORPORATION PURSUANT TO SECTION 265 OF THE GENERAL CORPORATION LAW

- 1. The non-Delaware entity was first incorporated as a Washington corporation on December 16, 2015 (as Adjuvo, Inc.).
- 2. The name of the Washington corporation immediately prior to the filing of this Certificate of Conversion was Receptor Life Sciences, Inc.
- 3. The name of the corporation is Receptor Holdings, Inc. (the "Corporation"), as set forth in its Delaware Certificate of Incorporation.

IN WITNESS WHEREOF, the undersigned, being a duly authorized officer of the Corporation, has executed this Certificate of Conversion on the 4th day of October 2018.

By: Traci Carman

Its: President

State of Delaware
Secretary of State
Division of Corporations
Delivered 01:33 PM 10/08/2018
FILED 01:33 PM 10/08/2018
SR 20187033208 - File Number 7091472

### <u>Delaware</u>

Page 1

### The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF
DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND
CORRECT COPY OF THE CERTIFICATE OF INCORPORATION OF "RECEPTOR
HOLDINGS, INC." FILED IN THIS OFFICE ON THE EIGHTH DAY OF
OCTOBER, A.D. 2018, AT 1:33 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE KENT COUNTY RECORDER OF DEEDS.

7091472 8100F SR# 20187033208

You may verify this certificate online at corp.delaware.gov/authver.shtml

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Authentication: 203568636

Date: 10-08-18

## CERTIFICATE OF INCORPORATION OF RECEPTOR HOLDINGS, INC.

I, the undersigned, for the purpose of creating and organizing a corporation under the provisions of and subject to the requirements of the General Corporation Law of the State of Delaware (the "DGCL"), certify as follows:

- 1. The name of the corporation is Receptor Holdings, Inc. (the "Company").
- 2. The address of the registered office of the Company in the State of Delaware is 850 New Burton Road, Suite 201, in the city of Dover, County of Kent, Zip Code 19904, and its initial Registered Agent at such address is Cogency Global Inc.
- 3. The purpose or purposes of the Company is to engage in any lawful act or activity for which corporations may be organized under the DGCL.
- 4. <u>Capital Stock</u>. The total number of shares that the Company is authorized to issue is REDACTED all of which are shares of Common Stock with a par value of REDACTED share, the powers, preferences and rights and the qualifications, limitations or restrictions thereof shall be determined by the Board of Directors.
  - 5. The name and mailing address of the incorporator of the Company are LPSL Corporate Services, Inc., 1420 Fifth Avenue, Suite 4200, Seattle, WA 98101.
  - 6. All powers of the Incorporator will hereby terminate upon the filing of this Certificate of Incorporation. The following persons are hereby appointed as the initial directors of the Company, effective immediately, until the first annual meeting of the stockholders or until their successors have been duly elected and qualified:

NAME OF DIRECTOR	ADDRESS	
REDACTED	REDACTED	

State of Delaware
Secretary of State
Division of Corporations
Delivered 01:33 PM 10/08/2018
FILED 01:33 PM 10/08/2018
SR 20187033208 - File Number 7091472

- 7. Unless and except to the extent that the bylaws of the Company (the "Bylaws") shall so require, the election of directors of the Company need not be by written ballot.
- 8. The provisions of this Article 8 are specifically intended to reduce the voting requirements otherwise prescribed under DGCL. In the case of any matter submitted to a vote of the stockholders of this Company for which the DGCL requires the approval of more than the majority of the stockholder votes in each voting group entitled to be cast thereon, the approval of a majority of the votes in each voting group entitled to be cast on such matter shall be sufficient for such matter to be approved. Without limiting the generality of the foregoing, such matters are intended to include, to the extent not inconsistent with the DGCL, amendments to these Articles, mergers and share exchanges, sales of assets other than in the ordinary course of business, and dissolution.
- 9. To the fullest extent permitted by law, a director of the Company shall not be personally liable to the Company or to its stockholders for monetary damages for any breach of fiduciary duty as a director. No amendment to, modification of or repeal of this Article 9 shall apply to or have any effect on the liability or alleged liability of any director of the Company for or with respect to any acts or omissions of such director occurring prior to such amendment.
- 10. The Company shall indemnify, advance expenses, and hold harmless the directors, officers and other representatives of the Company to the extent and on the other terms as may be provided in the Company's Bylaws from time to time.
- 11. In furtherance of, and not in limitation of, the powers conferred by statute, the Board of Directors is expressly authorized to adopt, amend or repeal the Bylaws or adopt new Bylaws without any action on the part of the stockholders; provided that any Bylaw adopted or amended by the Board of Directors, and any powers thereby conferred, may be amended, altered or repealed by the stockholders.
- 12. The Company shall have the right, subject to any express provisions or restrictions contained in this Certificate of Incorporation or the Bylaws, from time to time, to amend this Certificate of Incorporation or any provision thereof in any manner now or hereafter provided by law, and all rights and powers of any kind conferred upon a director or stockholder of the Company by this Certificate of Incorporation or any amendment thereof are conferred subject to such right.
- 13. Unless the Company consents in writing to the selection of an alternative forum, the Court of Chancery of the State of Delaware shall be the sole and exclusive forum for (i) any derivative action or proceeding brought on behalf of the Company, (ii) any action asserting a claim for breach of a fiduciary duty owed by any director, officer, employee or agent of the Company to the Company or the Company's stockholders, (iii) any action asserting a claim arising pursuant to any provision of the DGCL, the Certificate of Incorporation or the Bylaws or (iv) any action asserting a claim governed by the internal affairs doctrine, in each case subject to said Court of Chancery having personal jurisdiction over the indispensable parties named as defendants therein.

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I, TH	E UNDERSIGNE	D, being the inc	corporator of the	Company, for	the purpose of
forming a cor	rporation pursuant	to the DGCL, d	o make this Cer	tificate of Incor	poration, hereby
	ng, declaring, and c				
and deed and	that the facts herei	n stated are true,	, and have accord	lingly hereunto	set my hand this
4th day of		, 2018.		7.7	7

INCORPORATOR:

LPSL CORPORATE SERVICES, INC.

By: Frank Paganelli
Frank Paganelli
Frank Paganelli

Its: Vice President

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