

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5803378

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
STEPHEN W BURGESS	03/17/2016
WALTER A SHAW	03/22/2016
SHENGRONG LI	03/31/2016
RECEIVING PARTY DATA	
Name:	AVANTI POLAR LIPIDS, INC.
Street Address:	700 INDUSTRIAL PARK DRIVE
City:	ALABASTER
State/Country:	ALABAMA
Postal Code:	35007
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16669825
CORRESPONDENCE DATA	
Fax Number:	(205)254-1999
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	2054883602
Email:	jthomas@maynardcooper.com
Correspondent Name:	JENNIFER THOMAS
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Address Line 4:	BIRMINGHAM, ALABAMA 35203
NAME OF SUBMITTER:	JENNIFER THOMAS
SIGNATURE:	/Jennifer Thomas/
DATE SIGNED:	11/04/2019
Total Attachments: 9	
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source=Assignment of Patent Application No. 16-669,825 (05108422x80C68)#page9.tif

ASSIGNMENT OF PATENT APPLICATION

I, Stephen W. Burgess, residing at 150 Twelve Oaks Circle, Chelsea, AL 35043 ("Assignor") state that I am an original co-inventor of the inventions relating to the

Oral Compositions for Delivery of Drugs and Other Substances

described in U.S. Patent Application serial number 14/822,289 (such inventions and description being the "Inventions"). Assignor agrees to assign, and hereby does assign for good and valuable consideration, receipt and sufficiency of which are hereby expressly acknowledged, and hereby does sell, assign and transfer unto

Avanti Polar Lipids, Inc., 700 Industrial Park Drive, Alabaster, AL 35007 (the "Assignee")

a corporation organized under the laws of Alabama, and its successors, assigns and legal representatives, Assignor's entire right, title and interest, for all countries in and to: (1) the inventions, (2) application serial number 14/822,289 (the "Current Application") and any invention(s) (including without limitation, improvement(s)) set forth therein; and (3) any and all applications (and all rights and inventions therein) that claim the benefit of or priority to the Current Application, including without limitation any and all non-provisional applications, continuation applications, continuation-in-part applications, divisional applications, renewals, international applications, national stage applications, foreign counterpart applications, supplementary protection certificates, and substitutes (collectively "Future Applications"); and (4) all the rights and privileges under any and all Letters Patent that may be granted for any of the foregoing in any country, and any reissues, or reexaminations, or extensions thereof. Assignor requests that any and all Letters Patent for said inventions be issued to said Assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

I hereby authorize and request Assignee, its agents, or representatives to insert in the attached Appendix the country code, filing date, and application number of any Future Applications when known.

Assignor agrees that, when requested, Assignor will, without charge to said Assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said Assignee, its successors, assigns and legal representatives or nominees.

Assignor authorizes and empowers said Assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the Patent Cooperation Treaty, the Paris Convention, and the International Convention for the Protection of Industrial Property, as amended, or by any convention or treaty which may henceforth be substituted for said treaties and conventions, and to invoke and claim such right of priority without further written or oral authorization from me.

Assignor hereby consents that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said Assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International convention which may henceforth be substituted for it.

Assignor represents and covenants with said Assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that Assignor has full right to convey the same as herein expressed.

IN WITNESS WHEREOF, and with the intent to be bound, the inventor identified above signs his/her name hereto below.

ASSIGNOR

Stephen W. Burgess
Stephen W. Burgess

3-17-16
Date

State of ALABAMA, County of Shelby

I certify that I know or have satisfactory evidence that Stephen W. Burgess is the person who appeared before me and said person acknowledged that he/she signed this instrument and acknowledged it to be his/her free and voluntary act for the use and purposes mentioned in the instrument.

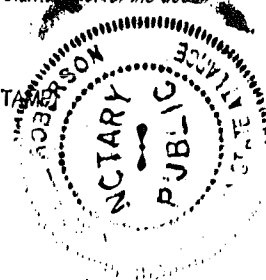
Dated: 3/17/16

Signature: [Signature]

Title: Notary

My appointment expires: 8/14/17

[SEAL OR STAMP]



APPENDIX:

[illegible]

ASSIGNMENT OF PATENT APPLICATION

I, Walter A. Shaw, residing at 4621 Dolly Ridge Road, Birmingham, AL 35243 ("Assignor") state that I am an original co-inventor of the inventions relating to the

Oral Compositions for Delivery of Drugs and Other Substances

described in U.S. Patent Application serial number 14/822,289 (such inventions and description being the "Inventions"). Assignor agrees to assign, and hereby does assign for good and valuable consideration, receipt and sufficiency of which are hereby expressly acknowledged, and hereby does sell, assign and transfer unto

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a corporation organized under the laws of Alabama, and its successors, assigns and legal representatives, Assignor's entire right, title and interest, for all countries in and to: (1) the Inventions, (2) application serial number 14/822,289 (the "Current Application") and any Invention(s) (including without limitation, improvement(s)) set forth therein; and (3) any and all applications (and all rights and inventions therein) that claim the benefit of or priority to the Current Application, including without limitation any and all non-provisional applications, continuation applications, continuation-in-part applications, divisional applications, renewals, international applications, national stage applications, foreign counterpart applications, supplementary protection certificates, and substitutes (collectively "Future Applications"); and (4) all the rights and privileges under any and all Letters Patent that may be granted for any of the foregoing in any country, and any reissues, or reexaminations, or extensions thereof. Assignor requests that any and all Letters Patent for said inventions be issued to said Assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

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Assignor represents and covenants with said Assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that Assignor has full right to convey the same as herein expressed.

IN WITNESS WHEREOF, and with the intent to be bound, the inventor identified above signs his/her name hereto below.

ASSIGNOR

Walter A. Shaw
Walter A. Shaw

3/22/16
Date

State of ALABAMA, County of SHELBY

I certify that I know or have satisfactory evidence that Walter A. Shaw is the person who appeared before me, and said person acknowledged that he/she signed this instrument and acknowledged it to be his/her free and voluntary act for the uses and purposes mentioned in the instrument.

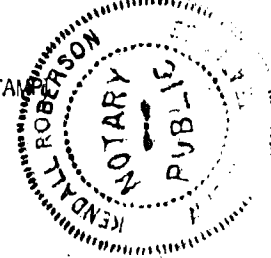
Dated: 3/22/16

Signature: Kendall Robertson

Title: Notary

My appointment expires: 8/14/17

(SEAL OR STAMP)



APPENDIX

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ASSIGNMENT OF PATENT APPLICATION

Page 3 of 3

Assignment of U.S. Patent Application serial number 14/822,289

PATENT
REEL: 050910 FRAME: 0106

ASSIGNMENT OF PATENT APPLICATION

I, **Shengrong LI**, residing at 196 Castlehill Drive, Birmingham, AL 35226 ("Assignor") state that I am an original co-inventor of the inventions relating to the

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described in **U.S. Patent Application serial number 14/822,289** (such inventions and description being the "Inventions"). Assignor agrees to assign, and hereby does assign for good and valuable consideration, receipt and sufficiency of which are hereby expressly acknowledged, and hereby does sell, assign and transfer unto

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Assignor authorizes and empowers said Assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the Patent Cooperation Treaty, the Paris Convention, and the International Convention for the Protection of Industrial Property, as amended, or by any convention or treaty which may henceforth be substituted for said treaties and conventions, and to invoke and claim such right of priority without further written or oral authorization from me.

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IN WITNESS WHEREOF, and with the intent to be bound, the inventor identified above signs his/her name here to below.

ASSIGNOR

Shengrong Li
Shengrong Li

03-31-2016
Date

State of Alabama, County of Shelby

I certify that I know or have satisfactory evidence that Shengrong Li is the person who appeared before me, and said person acknowledged that he/she signed this instrument and acknowledged it to be his/her free and voluntary act for the uses and purposes mentioned in the instrument.

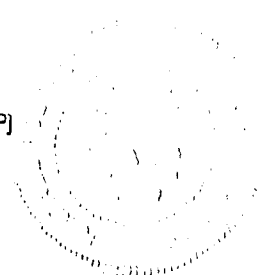
Dated: 3/31/14

Signature: [Signature]

Title: Notary

My appointment expires: 8/14/17

[SEAL OR STAMP]



APPENDIX

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