

PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT5804344

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JONATHAN REUBEN WALDSTREICHER	02/06/2019
WILLIAM SANFORD KRIMSKY	01/31/2019
DENISE MARIE ZARINS	02/17/2019
ROBERT J. BEETEL III	02/06/2019
PAUL BRIAN FRIEDRICHS	02/01/2019
KEVIN JAMES TAYLOR	01/31/2019
ROMAN TUROVSKIY	01/31/2019
GARY L. LONG	02/02/2019
RECEIVING PARTY DATA	
Name:	GALA THERAPEUTICS, INC.
Street Address:	155 JEFFERSON DRIVE, SUITE 100
City:	MENLO PARK
State/Country:	CALIFORNIA
Postal Code:	94025
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16381745
CORRESPONDENCE DATA	
Fax Number:	(650)493-6811
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	jroeder@wsgr.com, patentdocket@wsgr.com
Correspondent Name:	JOY ROEDER
Address Line 1:	650 PAGE MILL ROAD
Address Line 4:	PALO ALTO, CALIFORNIA 94304
ATTORNEY DOCKET NUMBER:	54150-703.301
NAME OF SUBMITTER:	JOY A. ROEDER
SIGNATURE:	/Joy A. Roeder/
DATE SIGNED:	11/05/2019

Total Attachments: 11

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ASSIGNMENT

Jonathan Reuben WALDSTREICHER, residing at 91 Carteret Street, West Orange, NJ 07052; **William Sanford KRIMSKY**, residing at 1615 Boggs Road, Forest Hill, MD 21050; **Denise Marie ZARINS**, residing at 20746 Verde Vista Lane, Saratoga, CA 95070; **Robert J. BEETEL, III** residing at 1090 Butte Court, Sunnyvale, CA 94087; **Paul Brian FRIEDRICHS**, residing at 1518 Escondido Way, Belmont, CA 94002; **Kevin James TAYLOR**, residing at 238 23rd Avenue, San Mateo, CA 94403; **Roman TUROVSKIY**, residing at 240 North Lake Merced Hills, San Francisco, CA 94132; and **Gary L. LONG**, residing at 7022 Hiawatha Avenue, Cincinnati, OH 45227 (each referred to as "Assignor") have made an invention(s) (the "Invention(s)") set forth in an application for patent entitled METHODS, APPARATUSES, AND SYSTEMS FOR THE TREATMENT OF PULMONARY DISORDERS, and which is a:

- (1) ☐ provisional application
 - (a) ☐ to be filed herewith; or
 - (b) ☐ bearing Application No. , and filed on ;
- (2) ☒ non-provisional application
 - (a) ☐ to be filed herewith; or
 - (b) ☒ bearing Application No. 16/227,796, and filed on December 20, 2018; and/or
- (3) ☒ PCT application
 - (a) ☒ bearing Application No. PCT/US2017/039527, and filed on June 27, 2017 and/or
- (4) ☐ attached hereto.

WHEREAS, Gala Therapeutics, Inc., a company having its principal place of business at 230 Park Avenue, Suite 2800, New York, NY 10169, its successors, legal representatives and assigns, (the "Assignee"), is desirous of acquiring the entire right, title, and interest in: the Invention(s); the application(s) for patent identified above; the right to file applications for patent of the United States or other countries on the Invention(s); any application(s) for patent of the United States or other countries claiming priority to, and/or the benefit of, these applications; any provisional or other right to recover any and all past, present, and future damages, including royalties, for any and all past, present, and future infringements of these application(s); and any and all patent(s) of the United States or other countries that may be granted therefor or thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, and to the extent that the Assignor has not done so already via a prior agreement with the Assignee or a predecessor in interest of the Assignee, or if the Assignor has already done so via a prior agreement with the Assignee or a predecessor in interest of the Assignee then in confirmation of any obligation to do so in said prior agreement, the Assignor has sold, assigned, transferred, and set over, and by these presents does sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns, the Assignor's entire right, title, and interest in:

(a) the Invention(s);

(b) the application(s) for patent identified above;

(c) the right to file applications for patent of the United States or other countries on the Invention(s), including all rights under the Hague Convention, the Paris Convention for the Protection of Industrial Property, and under the Patent Cooperation Treaty, and all rights of claiming priority in any country of the world;

(d) any application(s) for patent of the United States or other countries claiming the Invention(s);

(e) any application(s) for patent of the United States or other countries claiming priority to, and/or the benefit of, at least one of the application(s) for patent identified above or any application(s) for patent claiming the Invention(s), including any priority application(s), substitute application(s), division(s), continuation(s), and continuation(s)-in-part;

(f) any provisional or other right to recover any and all past, present, and future damages, including royalties, for any and all past, present, and future infringements of any application for patent identified in the preceding paragraphs (b)-(e) and of any and all patent(s) granted based thereon in the United States and in all other countries; and

(g) any patent(s) of the United States or other countries that may be granted for or on any application for patent identified in the preceding paragraphs (b)-(e), including any reissue(s), reexamination(s), revival(s), renewal(s) and extension(s) of said patent(s).

The above-granted rights, titles, and interests are to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made.

The Assignor hereby represents to the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, or if applicable, at such time said prior agreement was executed, the Assignor is a lawful

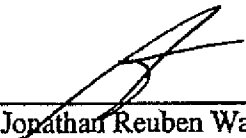
owner of an undivided interest in the entire right, title, and interest in and to the Invention(s), that the Invention(s) are unencumbered, except, if applicable, by obligation to assign in accordance with said prior agreement, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner set forth herein, and that Assignor will not make or enter into any assignment, sale, agreement or encumbrance which would conflict with these presents.

The Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that the Assignor will sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done in connection with any and all proceedings for the procurement, maintenance, enforcement and defense of the Invention(s), said application(s), and said patent(s), including interference and derivation proceedings, and any post-grant proceedings (e.g., opposition proceedings, post-grant reviews, *Inter partes* reviews, supplemental examinations, etc.) without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns.

The Assignor hereby authorizes and requests the attorneys of COOLEY LLP to insert in the spaces provided above the filing date, the application number, and the attorney docket number of the application(s) identified above when known.

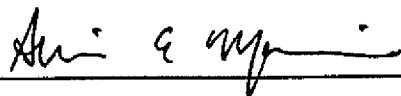
The Assignor hereby requests the Commissioner of Patents to issue said patents of the United States to the Assignee for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

2/6/19
Date


Jonathan Reuben Waldstreicher

Witness:

2/6/19
Date



Date

Shira E. Margolies

Date

William Sanford Krimsky

Witness:

Date

Date

Date

Denise Marie Zarins

Witness:

Date

Date

Date

Jonathan Reuben Waldstreicher

Witness:

Date

Date

Date

1/31/18

William Sanford Krimsky

Witness:

Date

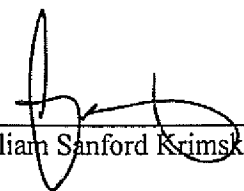
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Denise Marie Zarins

Date

Jonathan Reuben Waldstreicher

Witness:

Date

Date

Date

William Sanford Krinsky

Witness:

Date

Date


February 17, 2019
Date


Denise Marie Zarins

Witness:

Feb 17, 2019
Date

Date


Shashanna O'Garay

2/6/19

Date


Robert J. Beitel, III

Witness:

2/6/19

Date


SANCHIT GAD

Date

Date

Paul Brian Friedrichs

Witness:

Date

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Kevin James Taylor

Witness:

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Robert J. Beetel, III

Witness:

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2/1/19

Paul Brian Friedrichs



Witness:

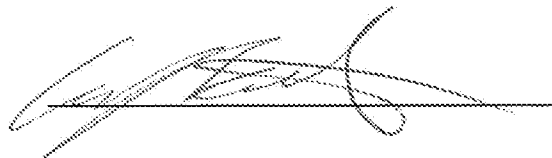
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Kevin James Taylor

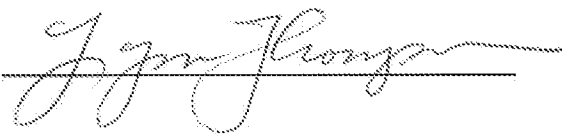


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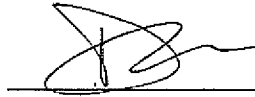


1/31/2019
Date


Roman Turovskiy

Witness:

1/31/2019
Date



Date

Date

Gary L. Long

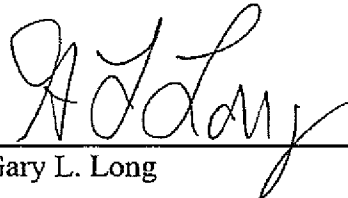
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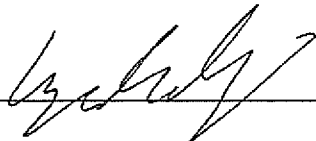
Date

_____	_____
Date	Roman Turovskiy

Witness:

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Date	
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2 FEB 2019	
Date	Gary L. Long

Witness:

_____	_____	
2/2/2019		Lynn Long
Date		
_____	_____	
Date		

Attorney Docket No.: GATH-001/02US 325764-2013

For and on behalf of ASSIGNEE:

Date: 2/6/19


By: 

Name: Jonathan Waldstreicher

Title: CEO

Company: Gala Therapeutics, Inc.

Witness:


Signature

2/6/19
Date

Shira E. Margolies
Printed name