

<b>PATENT ASSIGNMENT COVER SHEET</b>
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Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT5816573

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
JARED M. PLOSS	11/07/2019
TODD E. KEPPLER	11/06/2019
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	SYMBOTIC, LLC
<b>Street Address:</b>	200 RESEARCH DRIVE
<b>City:</b>	WILMINGTON
<b>State/Country:</b>	MASSACHUSETTS
<b>Postal Code:</b>	01887
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15892127
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(203)255-5170
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	2032591800
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<b>Correspondent Name:</b>	PERMAN & GREEN LLP
<b>Address Line 1:</b>	99 HAWLEY LANE
<b>Address Line 4:</b>	STRATFORD, CONNECTICUT 06614
<b>ATTORNEY DOCKET NUMBER:</b>	1127P015450-US(PAR)
<b>NAME OF SUBMITTER:</b>	JANIK MARCOVICI
<b>SIGNATURE:</b>	/jm/
<b>DATE SIGNED:</b>	11/12/2019
This document serves as an Oath/Declaration (37 CFR 1.63).	
<b>Total Attachments: 7</b>	
source=2019-11-11_CUTE_Dec_Statement_373#page1.tif	
source=2019-11-11_CUTE_Dec_Statement_373#page2.tif	
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source=2019-11-11\_CUTE\_Dec\_Statement\_373#page7.tif

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**STATEMENT UNDER 37 CFR 3.73(c)**Applicant/Patent Owner: SYMBOTIC, LLCApplication No./Patent No.: 15/892,127Filed/Issue Date: February 8, 2018Titled: PALLETIZER-DEPALLETIZER SYSTEM FOR DISTRIBUTION FACILITIESSYMBOTIC, LLC, a company

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose **one** of options 1, 2, 3 or 4 below):

1.  The assignee of the entire right, title, and interest.
2.  An assignee of less than the entire right, title, and interest (check applicable box):
- The extent (by percentage) of its ownership interest is \_\_\_\_\_%. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.
- There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

3.  The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

4.  The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose **one** of options A or B below):

- A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
- B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**PATENT**  
**REEL: 050987 FRAME: 0060**

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**STATEMENT UNDER 37 CFR 3.73(c)**

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

4. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

5. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

6. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet(s). As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/jm/

Signature

Janik Marcovici

Printed or Typed Name

November 11, 2019

Date

42841

Title or Registration Number

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

DECLARATION (37CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT

As the below named inventor, I hereby declare that:

This declaration is directed to :  The attached application, or  United States application number 15/892,127 or PCT international application number filed on February 8, 2018 and was amended on (if applicable)

Title: PALLETIZER-DEPALLETIZER SYSTEM FOR DISTRIBUTION FACILITIES

The above-identified application was made or authorized to be made by me.

I believe that I am the original, first inventor or an original, first and joint inventor of a claimed Invention in the application and for which a patent is sought.

I hereby state that I have reviewed and understand the contents of the above-identified application, including the specification and claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulation, Section 1.56.

Whereas, I/we, the below-identified inventor(s), have invented certain new and useful improvements in the Invention identified above and described in the above-identified application (referred to herein as the "Invention");

And, whereas I/we desire to assign the entire worldwide right, title and interest in and to the invention and to any and all patent applications and patents directed thereto to the Assignee identified below:

Assignee Name (if applicable): SYMBOTIC, LLC

Assignee Address (if applicable): 200 Research Drive, Wilmington, MA 01887

Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;

I/we hereby assign, sell and transfer my/our above-identified rights, title and interest in said Invention, said application identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to

any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to me/us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And I/we hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And I/we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or for obtaining any reissue or reissues of any Letters Patent which may be granted for my/our aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

I/we hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Legal Name of Inventor (Assignor if applicable), **Robert SULLIVAN:**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Country of Citizenship: US

Witnessed By (if applicable): \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_

Witnessed By (if applicable): \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_

Legal Name of Inventor (Assignor if applicable), **Gregory THRONSON:**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Country of Citizenship: US

Witnessed By (if applicable): \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_

Witnessed By (if applicable): \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_

Legal Name of Inventor (Assignor if applicable), **Christopher KILEY:**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Country of Citizenship: US

Witnessed By (if applicable): \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_

Witnessed By (if applicable): \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_

Legal Name of Inventor (Assignor if applicable), **Todd E. KEPPLÉ:**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Country of Citizenship: US

Witnessed By (if applicable): \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_

Witnessed By (if applicable): \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_

Legal Name of Inventor (Assignor if applicable), **Jared PLOSS:**

Signature: Jared M. Ploss Date: 1/7/2019

Country of Citizenship: US

Witnessed By (if applicable): \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_

Witnessed By (if applicable): \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_



Legal Name of Inventor (Assignor if applicable), Christopher KILEY:

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Country of Citizenship: US

Witnessed By (if applicable): \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_

Witnessed By (if applicable): \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_

Legal Name of Inventor (Assignor if applicable), Todd E. KEPPLER:

Signature: Todd Kepple Date: 11/6/19

Country of Citizenship: US

Witnessed By (if applicable): \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_

Witnessed By (if applicable): \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_

Legal Name of Inventor (Assignor if applicable), Jarad PLOSS:

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Country of Citizenship: US

Witnessed By (if applicable): \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_

Witnessed By (if applicable): \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_