

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5823827

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|---|---|-----------------------|
| SUBMISSION TYPE: | NEW ASSIGNMENT | |
| NATURE OF CONVEYANCE: | ASSIGNMENT | |
| CONVEYING PARTY DATA | | |
| | Name | Execution Date |
| | REUVEN DUER | 11/28/2016 |
| | YUN-PEI CHANG | 11/18/2016 |
| | ASHUTOSH SHASTRY | 11/18/2016 |
| RECEIVING PARTY DATA | | |
| Name: | INDX LIFECARE, INC. | |
| Street Address: | 192 ODEBOLT DRIVE | |
| City: | THOUSAND OAKS | |
| State/Country: | CALIFORNIA | |
| Postal Code: | 91360 | |
| PROPERTY NUMBERS Total: 1 | | |
| | Property Type | Number |
| | Application Number: | 15982999 |
| CORRESPONDENCE DATA | | |
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| ATTORNEY DOCKET NUMBER: | 14388-700.301 | |
| NAME OF SUBMITTER: | KAREN K. MCSWAIN | |
| SIGNATURE: | /Karen K. McSwain, Reg. No. 64,306/ | |
| DATE SIGNED: | 11/15/2019 | |
| Total Attachments: 3 | | |
| source=14388-700.301 Assignment inv to iNDx#page1.tif | | |
| source=14388-700.301 Assignment inv to iNDx#page2.tif | | |
| source=14388-700.301 Assignment inv to iNDx#page3.tif | | |

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies):

(1) **Reuven DUER**
(2) **Yun-Pei CHANG**
(3) **Ashutosh SHASTRY**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **INDX LIFECARE, INC.**
192 Odebolt Drive
Thousand Oaks, CA 91360

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

EXECUTION DATE(S): (1) 11/28/2016
(2) 11/18/2016 (3) 11/18/2016

Name and address of receiving party(ies):

Name:
Street Address:
City: State: Zip:
Country:
Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) – **15/982,999**

Title: **WAVEGUIDE-BASED DETECTION SYSTEM**
WITH SCANNING LIGHT SOURCE

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Karen K. McSwain
Shay Glenn LLP
2755 Campus Drive, Suite 210
San Mateo, CA 94403

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h)(1)): \$0.00

☒ None required. Please charge fees to **Deposit Account No. 50-4050.**

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8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Karen K. McSwain, Reg. No. 64,306

/Karen K. McSwain/

November 15, 2019

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: **3**

This Assignment of Patent Application is between:

Reuven DUER of Thousand Oaks, CA;
Yun-Pei CHANG of Arcadia, CA; and
Ashutosh SHASTRY of Santa Clara, CA

(hereinafter referred to as "Inventor") and

INDX LIFECARE, INC., a corporation of the State of Delaware, having a place of business at 104 Cooper Court, Los Gatos, CA 95032 (hereinafter referred to as "Assignee").

WHEREAS Inventor has invented certain new and useful improvements in:

"WAVEGUIDE-BASED DETECTION SYSTEM WITH SCANNING LIGHT SOURCE"

for which an application for a United States Patent was filed on **October 3, 2016** and assigned Application No. **15/284,396**.

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest of each inventor in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal

proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his heirs, legal representatives and assigns.

4. Said Inventor hereby warrants and represents that he has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

11/28/2016
Date

Reuven Duer
Reuven DUER

11/18/2016
Date

Yun-Pei Chang
Yun-Pei CHANG

11/18/2016
Date

Ashutosh Shastri
Ashutosh SHASTRY