

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5840474

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
NGA K. VAN	07/31/2015
MATHEW D. CLOPP	02/18/2015
SCOTT J. BARON	09/03/2014
THOMAS D. GROSS	03/06/2015
RECEIVING PARTY DATA	
Name:	ACCLARENT, INC.
Street Address:	1525-B O'BRIEN DRIVE
City:	MENLO PARK
State/Country:	CALIFORNIA
Postal Code:	94025
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16266930
CORRESPONDENCE DATA	
Fax Number:	(202)842-7899
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	7034568000
Email:	JSCOTT@COOLEY.COM, zIPPatentDocketingMailboxUS@cooley.com
Correspondent Name:	COOLEY LLP
Address Line 1:	1299 PENNSYLVANIA AVENUE
Address Line 2:	SUITE 700 ATTN: PATENT GROUP
Address Line 4:	WASHINGTON, D.C. 20004
ATTORNEY DOCKET NUMBER:	AENT-015/01US 327382-2220
NAME OF SUBMITTER:	KASSITY L. MAI
SIGNATURE:	/Kassity L. Mai/
DATE SIGNED:	11/26/2019
Total Attachments: 8	
source=AENT-015-01US Assignment - Inventors to Acclarent#page1.tif	

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ASSIGNMENT

Serial No. 14/456,080
Filed: August 11, 2014

WHEREAS, Nga K. Van, a citizen of the United States of America, residing at 3299 Parkhaven Drive, San Jose, CA 95132; Mathew D. Clopp, a citizen of the United States of America, residing at 573 Hickory Court, Santa Clara, CA 95051; Scott J. Baron, a citizen of the United States of America, residing at 591 Menlo Oaks Drive, Menlo Park, CA 94025; and Thomas D. Gross, a citizen of the United States of America, residing at 200 Kimble Avenue, Los Gatos, CA 95030 (hereinafter called "Assignors"), have made certain new and useful inventions or discoveries relating to:

TYMPANOSTOMY TUBE DELIVERY DEVICE WITH ROTATABLE FLEXIBLE SHAFT

for which they have this day executed an application for Letters Patent of the United States; and

WHEREAS, Acclarent, Inc., located at 1525-B O'Brien Drive, Menlo Park, CA 94025, a corporation formed under the laws of the State of Delaware, (hereinafter called "Assignee"), is desirous of acquiring Assignors' entire right, title, and interest therein:

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar and other valuable considerations to them moving, the receipt of which is hereby acknowledged, Assignors have sold, assigned, and transferred, and do hereby sell, assign and transfer unto said Assignee their entire right, title and interest in and to all said inventions and discoveries disclosed in said application whose identification above by serial number and filing date, when available is hereby authorized, and in and to said application, all substitutions, divisions, and continuations thereof, and in and to all Letters Patent, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals, and reissues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this Assignment and sale had not been made;

And Assignors hereby authorize and request the Commissioner of Patents of the United States to issue said Letters Patent in accordance with this Assignment;

And for the consideration aforesaid, Assignors covenant and agree with said Assignee that he has a full and unencumbered title to the inventions and discoveries above described and hereby assigned, which title they warrant unto said Assignee, its successors and assigns;

And for the consideration aforesaid, Assignors further covenant and agree that they will, whenever requested, but without cost to them promptly communicate to said Assignee or its representatives any facts known to them relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection for the said inventions and discoveries or that may be necessary to vest in said Assignee the complete title to the said inventions and discoveries and patents hereby conveyed and to enable it to record said title.

IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this 31
day of 21 July, 2015
N.V.

(L.S.)

Nga K. Van
Nga K. Van

STATE OF)
) ss.
COUNTY OF)

BE IT REMEMBERED, That on this _____ day of _____, 20____, before me, a Notary Public, personally appeared Nga K. Van, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, and I having first made known to him the contents thereof, he did acknowledge that he signed, sealed, and delivered the same as his voluntary act and deed for the uses and purposes therein expressed.

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

Notary Public

State of California, County of San Mateo
On 07/31/2015 before me, Shridhar Gore,
Notary Public, personally appeared Nga K. Van
who proved to me on the basis of satisfactory evidence to be the person
whose name is subscribed to the within instrument and acknowledged
to me that he/she/they executed the same in his/her/their authorized capacity(ies),
and that by his/her/their signature(s) on the instrument the person(s), or the entity
upon behalf of which the person(s) acted, executed the instrument.
I certify under PENALTY OF PERJURY under the laws of the State of California
that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.



ACC5074USNP
Page 2 of 5

(L.S.)

STATE OF)
) ss.
COUNTY OF)

Notary Public

see attached
sh

PATENT
REEL: 051121 FRAME: 0777

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of San Mateo

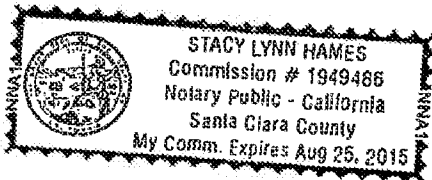
On 2/18/15 before me, Stacy Hames
Date Here Insert Name and Title of the Officer

personally appeared Mathew clopp
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature [Signature]
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: _____ Document Date: _____

Number of Pages: _____ Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

☐ Corporate Officer — Title(s): _____

☐ Partner — ☐ Limited ☐ General

☐ Individual ☐ Attorney in Fact

☐ Trustee ☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____

Signer's Name: _____

☐ Corporate Officer — Title(s): _____

☐ Partner — ☐ Limited ☐ General

☐ Individual ☐ Attorney in Fact


☐ Trustee ☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____

IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this 3rd day of September, 2014.

(L.S.)



Scott J. Baron

STATE OF _____)
) ss.
COUNTY OF _____)

See attached
pri's 8/13/14

BE IT REMEMBERED, That on this _____ day of _____, 20____, before me,
a Notary Public, personally appeared **Scott J. Baron**, who I am satisfied is the person named in
and who executed the foregoing instrument in my presence, and I having first made known to him
the contents thereof, he did acknowledge that he signed, sealed, and delivered the same as his
voluntary act and deed for the uses and purposes therein expressed.

Notary Public

ACC5074USNP
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PATENT
REEL: 051121 FRAME: 0779

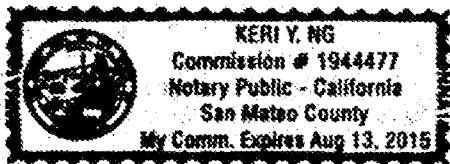
CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of San Mateo

On Sept. 3, 2014 before me, Keri Y. Ng, Notary Public
Date Here Insert Name and Title of the Officer

personally appeared Scott J. Baron
Name(s) of Signer(s)



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature [Signature]
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: _____

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this 6TH
day of MARCH, 2015.

(L.S.)



Thomas D. Gross

STATE OF)
) ss.
COUNTY OF)

**PLEASE SEE ATTACHED
CALIFORNIA NOTARY FORM**

BE IT REMEMBERED, That on this _____ day of _____, 20____, before me, a
Notary Public, personally appeared **Thomas D. Gross**, who I am satisfied is the person named in
and who executed the foregoing instrument in my presence, and I having first made known to him
the contents thereof, he did acknowledge that he signed, sealed, and delivered the same as his
voluntary act and deed for the uses and purposes therein expressed.

Notary Public

4837-3811-8940

ACC5074USNP
Page 5 of 5

PATENT
REEL: 051121 FRAME: 0781

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)

County of SANTA CLARA)

On March 6, 2015 before me, Michelle Antonowicz, Notary Public
Date Here Insert Name and Title of the Officer

personally appeared Thomas D. Gross
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature Michelle Antonowicz
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document Docket # ACC 5074 USNP

Title or Type of Document: Assignment Document Date: 8-11-14

Number of Pages: 5 Signer(s) Other Than Named Above: N/A

Capacity(ies) Claimed by Signer(s)

Signer's Name: Thomas D. Gross

☐ Corporate Officer -- Title(s): _____

☐ Partner -- ☐ Limited ☐ General

☒ Individual ☐ Attorney in Fact

☐ Trustee ☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____

Signer's Name: _____

☐ Corporate Officer -- Title(s): _____

☐ Partner -- ☐ Limited ☐ General

☐ Individual ☐ Attorney in Fact

☐ Trustee ☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____