

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT5853813

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
SEQUENCE:	4

CONVEYING PARTY DATA

Name	Execution Date
MAYANK SRIVASTAVA	09/03/2014

RECEIVING PARTY DATA

Name:	ALZECA BIOSCIENCES, LLC
Street Address:	1800 BERING DRIVE
Internal Address:	SUITE 850
City:	HOUSTON
State/Country:	TEXAS
Postal Code:	77057

PROPERTY NUMBERS Total: 6

Property Type	Number
Application Number:	62061514
Application Number:	62111057
Application Number:	14878745
Application Number:	15366667
Application Number:	16677751
PCT Number:	US1554732

CORRESPONDENCE DATA

Fax Number: (216)363-4588

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 2163634677

Email: dpoirier@beneschlaw.com

Correspondent Name: DUNCAN H. POIRIER

Address Line 1: BENESCH FRIEDLANDER COPLAN & ARONOFF LLP

Address Line 2: 200 PUBLIC SQUARE, SUITE 2300

Address Line 4: CLEVELAND, OHIO 44114

ATTORNEY DOCKET NUMBER:	ALZECA-41US
NAME OF SUBMITTER:	DUNCAN H. POIRIER
SIGNATURE:	/Duncan H. Poirier/

PATENT

DATE SIGNED:	12/06/2019
Total Attachments: 3 source=Srcivastava to Alzeca#page1.tif source=Srcivastava to Alzeca#page2.tif source=Srcivastava to Alzeca#page3.tif	

INTELLECTUAL PROPERTY ASSIGNMENT

("Assignment")

THIS ASSIGNMENT is made effective September 3, 2014 by and between Dr. Mayank Srivastava ("Assignor") and Alzeca Biosciences, LLC ("Assignee").

WHEREAS, Assignor owns certain right, title, and interest in and to the invention entitled "Hydrophilic Ligands for Stable A β Targeted Liposomal Formulations" ("the disclosure"); and

WHEREAS, Assignor now wishes to assign all of Assignor's worldwide right, title, and interest in the Intellectual Property to Assignee;

NOW THEREFORE, be it known that for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged;

ASSIGNOR HEREBY irrevocably assigns, transfers, conveys, grants and sets over to Assignee, and its successors and assigns, Assignor's entire right, title, and interest in and to the Intellectual Property and any and all intellectual property rights inherent in the Intellectual Property and appurtenant thereto including, without limitation, all domestic and foreign patent rights (including, without limitation, patent applications, inventions claimed and disclosed therein, and any future applications that may rely on such patents and/or patent applications for priority and related inventions), copyrights, and rights in trademarks, service marks and trade dress, including all goodwill associated therewith, whether existing now or in the future; and

ASSIGNOR HEREBY authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any official of any foreign country whose duty it is to issue, assign, and/or record patent assignments, to issue registrations for the Intellectual Property in the name of Assignee and to record this Assignment and record Assignee as assignee of all Assignor's right, title and interest in and to the Intellectual Property, in accordance with the terms of this Assignment; and

ASSIGNOR HEREBY further covenants and agrees that, from and after the date of this Assignment, Assignee has succeeded to all of Assignor's right, title, interest and standing to receive all rights and benefits pertaining to the Intellectual Property, institute and prosecute all suits and proceedings, take all actions that Assignee, in its sole discretion, may deem necessary or proper to collect, assert, or enforce any claim, right, title or interest of any kind under any and all of the Intellectual Property, including, without limitation, the right to sue for all past, present and future infringements or other violations of any rights relating thereto, to settle, defend, compromise and retain proceeds from any actions, suits, or proceedings relating to the transferred and assigned rights, title, interest, and benefits, and do all other such acts and things in relation thereto as Assignee, in its sole discretion, deems advisable, the same to be held and enjoyed by Assignee and its successors and assigns from and after the date hereof as fully and entirely as the same would have been held and enjoyed by Assignor had this Assignment not been made; and

ASSIGNOR HEREBY assigns and relinquishes to **Assignee** all of **Assignor's** right, title and interest in and to all accrued and future causes of action for injunctive relief, damages, lost profits and litigation costs (including, without limitation, attorneys' fees) resulting from infringements or alleged infringements of the Intellectual Property and this Assignment expressly includes the right to sue for pre-assignment infringements and any injunctive relief, damages, lost profits and litigation costs (including, without limitation, attorneys' fees) in connection with the same; and

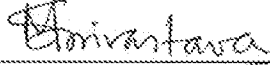
ASSIGNOR HEREBY further covenants and agrees that, from and after the date of this Assignment, **Assignor** will not use or authorize the use (by license or otherwise) of any of the Intellectual Property anywhere in the world; and

ASSIGNOR HEREBY further covenants and agrees that **Assignor** will, without further consideration, other than any and all reasonable, out-of-pocket expenses incurred by **Assignor** for which **Assignee** shall be responsible, communicate with **Assignee**, its successors and assigns, any facts known to **Assignor** respecting the Intellectual Property and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to the Intellectual Property in said **Assignee**, its successors and assigns.

SIGNATURE PAGE FOLLOWS

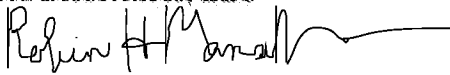
IN TESTIMONY WHEREOF, Assignor has executed this Assignment as of the date hereof.

ASSIGNOR:



Dr. Mayank Srivastava

ASSIGNEE:

Alzeca Biosciences, LLC
By: 

Robin Mansukhani
Chief Executive Officer