## 505812372 12/10/2019

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5859201

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
JOSEPH R. ALVARADO	06/21/2019
SHAUN R. STAUFFER	06/21/2019
ROCCO D. GOGLIOTTI	11/19/2019
CHANGHO HAN	06/15/2019
KENNETH M. MEYERS	06/19/2019
JIANHUA TIAN	06/18/2019
JONATHAN D. MACDONALD	06/21/2019
STEPHEN W. FESIK	08/16/2019
TAEKYU LEE	08/16/2019

### **RECEIVING PARTY DATA**

Name:	VANDERBILT UNIVERSITY
Street Address:	305 KIRKLAND HALL, 2201 WEST END AVENUE
City:	NASHVILLE
State/Country:	TENNESSEE
Postal Code:	37240

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16441854

## **CORRESPONDENCE DATA**

**Fax Number:** (414)277-0656

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

using a lax number, if provided, if that is unsuccessful, it will be sent via 05 ma

**Phone:** (414)271-6560

Email: dahauser@michaelbest.com

Correspondent Name: MICHAEL BEST & FRIEDRICH LLP

Address Line 1: 100 E WISCONSIN AVENUE

Address Line 2: SUITE 3300

Address Line 4: MILWAUKEE, WISCONSIN 53202-4108

ATTORNEY DOCKET NUMBER:	093386-9190-US02
NAME OF SUBMITTER:	WILLIAM A. CARROLL

SIGNATURE:	/William A. Carroll/
DATE SIGNED:	12/10/2019

## **Total Attachments: 9**

source=27129487\_093386-9190-US02\_As\_Filed\_Declaration\_and\_Assignment#page1.tif source=27129487\_093386-9190-US02\_As\_Filed\_Declaration\_and\_Assignment#page2.tif source=27129487\_093386-9190-US02\_As\_Filed\_Declaration\_and\_Assignment#page3.tif source=27129487\_093386-9190-US02\_As\_Filed\_Declaration\_and\_Assignment#page4.tif source=27129487\_093386-9190-US02\_As\_Filed\_Declaration\_and\_Assignment#page5.tif source=27129487\_093386-9190-US02\_As\_Filed\_Declaration\_and\_Assignment#page6.tif source=27129487\_093386-9190-US02\_As\_Filed\_Declaration\_and\_Assignment#page7.tif source=27129487\_093386-9190-US02\_As\_Filed\_Declaration\_and\_Assignment#page8.tif source=27129487\_093386-9190-US02\_As\_Filed\_Declaration\_and\_Assignment#page8.tif source=27129487\_093386-9190-US02\_As\_Filed\_Declaration\_and\_Assignment#page9.tif

VU Reference No.: VU19166US1

### COMBINATION ASSIGNMENT-STATEMENT

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE			
Title of Invention;	WDR5 INHIBITORS AND MODULATORS		
	DECLARATION		
As a below named inve	entor I hereby declare that:		
☐ The a	<ul> <li>This declaration is directed to:</li> <li>☐ The attached application, or</li> <li>☒ United States Patent Application Number 16/441,854 filed June 14, 2019.</li> </ul>		
<ul> <li>The above-identified application was made or authorized to be made by me.</li> <li>I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.</li> <li>I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.</li> </ul>			
	ASSIGNMENT		
	Vanderbilt University having an office and place of business at 305 Kirkland Hall, 2201 West le, Tennessee, 37240, USA, is desirous of acquiring the entire interest in the same;		
NOW, THEREFORE, for good and valuable consideration, as described in the Policy on Technology and Literary and Artistic Works, published in the 1993-1994 Vanderbilt Faculty Manual, as such policy is amended from time to time, the sufficiency and adequacy and receipt of which are hereby acknowledged, I, the INVENTOR, agree to assign and hereby do sell, assign and transfer unto said VANDERBILT UNIVERSITY the entire right, title and interest in and to said invention and application throughout the world, including, without limitation, any Letters Patent which may issue thereon, and any subsequent application claiming priority to the above-identified application, reissue, reexamination, divisional, continuation-in-part, extension or continuation thereof and all rights of priority under the Paris Convention arising from said application, and all other rights in the INVENTION possessed by the INVENTOR, including the right to bring suit for damages due to past infringements; the same for VANDERBILT UNIVERSITY'S legal representatives and assigns, as fully and entirely as the same would have been held by us had this assignment and sale not been made;			
AND, I hereby bind myself, my heirs, legal representatives, administrators and assign properly to execute without further consideration any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect, the sale, assignment, transfer and conveyance hereby made or intended to be made and generally do everything possible to aid VANDERBILT UNIVERSITY, its legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries throughout the world;			
	y covenant that no assignment, sale, agreement or encumbrance has been or will be made or ald conflict with this assignment.		
LEGAL NAME OF I			
Inventor: Josep	h RATvarado Date: 6-2(-19		
Signature:			
Witness: CM	Fistopher Goins Date: 06-21-19		

VU Reference No.: VUI9166USI

### COMBINATION ASSIGNMENT-STATEMENT

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<del>оришинения постоя на пос</del>	<u>DECLARATION</u>	
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Literary and Artistic W time to time, the suffic assign and hereby do so interest in and to said in which may issue thereous reexamination, division Paris Convention arising including the right to b	TEFORE, for good and valuable consideration, as described in the Policy on Technology and Yorks, published in the 1993-1994 Vanderbilt Faculty Manual, as such policy is amended from liency and adequacy and receipt of which are hereby acknowledged, I, the INVENTOR, agree to ell, assign and transfer unto said VANDERBILT UNIVERSITY the entire right, title and invention and application throughout the world, including, without limitation, any Letters Patent on, and any subsequent application claiming priority to the above-identified application, reissue, hal, continuation-in-part, extension or continuation thereof and all rights of priority under the from said application, and all other rights in the INVENTION possessed by the INVENTOR, ring suit for damages due to past infringements; the same for VANDERBILT UNIVERSITY'S and assigns, as fully and entirely as the same would have been held by us had this assignment and	
AND, I hereby bind myself, my heirs, legal representatives, administrators and assign properly to execute without further consideration any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect, the sale, assignment, transfer and conveyance hereby made or intended to be made and generally do everything possible to aid VANDERBILT UNIVERSITY, its legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries throughout the world;		
	y covenant that no assignment, sale, agreement or encumbrance has been or will be made or all descriptions.	
LEGAL NAME OF I	NVENTOR	
Inventor: Shaun	R. Stauffer Date: 6-21-3019	
Signature:	XX.Gu(1)	
Witness: Ch	ristopher boins Date: 06-21-19	
Signature:		

AO REIGHER MOT AD LATEO COL

## COMBINATION ASSIGNMENT-STATEMENT

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	Vanderbilt University having an office and place of business at 305 Kirkland Hall, 2201 West e. Tennessee, 37240, USA, is desirous of acquiring the entire interest in the same;	
NOW, THEREFORE, for good and valuable consideration, as described in the Policy on Technology and Literary and Artistic Works, published in the 1993-1994 Vanderbilt Faculty Manual, as such policy is amended from time to time, the sufficiency and adequacy and receipt of which are hereby acknowledged, I, the INVENTOR, agree to assign and hereby do sell, assign and transfer unto said VANDERBILT UNIVERSITY the entire right, title and interest in and to said invention and application throughout the world, including, without limitation, any Letters Patent which may issue thereon, and any subsequent application claiming priority to the above-identified application, reissue, reexamination, divisional, continuation-in-part, extension or continuation thereof and all rights of priority under the Paris Convention arising from said application, and all other rights in the INVENTION possessed by the INVENTOR, including the right to bring suit for damages due to past infringements; the same for VANDERBILT UNIVERSITY'S legal representatives and assigns, as fully and entirely as the same would have been held by us had this assignment and sale not been made;		
AND, I hereby bind myself, my heirs, legal representatives, administrators and assign properly to execute without further consideration any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect, the sale, assignment, transfer and conveyance hereby made or intended to be made and generally do everything possible to aid VANDERBILT UNIVERSITY, its legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries throughout the world;		
	y covenant that no assignment, sale, agreement or encumbrance has been or will be made or all conflict with this assignment.	
LEGAL NAME OF I	NVENTOR	
Inventor: Rocco	D-650gliotti Date: ////9/20//	
Signature:		
Witness:	(ex Water 1//19/2019	
Signature:	1 Cates	

VU Reference No.: VEHALGUST

## COMBINATION ASSIGNMENT-STATEMENT

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE		
Title of Invention:	WDR5 INHIBITORS AND MODULATORS	
	<u>DECLARATION</u>	
As a below named invi	antor I hereby declare that:	
}	on is directed to: trached application, or d States Patent Application Number 16/441,854 filed June 14, 2019.	
<ul> <li>The above-identified application was made or authorized to be made by me.</li> <li>I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.</li> <li>I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.</li> </ul>		
	<u>ASSIGNMENT</u>	
	Vanderbilt University having an office and place of business at 305 Kirkland Hall, 2201 West le, Tennessee, 37240, USA, is desirous of acquiring the entire interest in the same;	
Literary and Artistic W time to time, the suffic assign and hereby do s interest in and to said i which may issue there reexamination, division Paris Convention arisin including the right to b	REFORE, for good and valuable consideration, as described in the Policy on Technology and Torks, published in the 1993-1994 Vanderbilt Faculty Manual, as such policy is amended from iency and adequacy and receipt of which are hereby acknowledged, I, the INVENTOR, agree to ell, assign and transfer unto said VANDERBILT UNIVERSITY the entire right, title and revention and application throughout the world, including, without limitation, any Letters Patent on, and any subsequent application claiming priority to the above-identified application, reissue, hal, continuation in-part, extension or continuation thereof and all rights of priority under the from said application, and all other rights in the INVENTION possessed by the INVENTOR, ring suit for damages due to past infringements; the same for VANDERBILT UNIVERSITY'S and assigns, as fully and entirely as the same would have been held by us had this assignment and	
AND, I hereby bind myself, my heirs, legal representatives, administrators and assign properly to execute without further consideration any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect, the sale, assignment, transfer and conveyance hereby made or intended to be made and generally do everything possible to aid VANDERBILT UNIVERSITY, its legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries throughout the world;		
	y covenant that no assignment, sale, agreement or encumbrance has been or will be made or all deonflict with this assignment.	
LEGAL NAME OF I	NVENTOR	
Inventor: Chang		
Witness: I	ryoung Kang Date 6/15/3019	

VU Reference No.: VU19166US1

## COMBINATION ASSIGNMENT-STATEMENT

		37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION A SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE	
Title of Invention:	WDR5 INHIB	HTORS AND MODULATORS	
		<u>DECLARATION</u>	
As a below named inv	entor I hereby de	celare that:	
	on is directed to stached applicati		
		application Number 16/441,854 filed June 14, 2019.	
<ul> <li>The above-identified application was made or authorized to be made by me.</li> <li>I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.</li> <li>I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.</li> </ul>			
		ASSIGNMENT	
		versity having an office and place of business at 305 Kirkland Hall, 2201 West 7240, USA, is desirous of acquiring the entire interest in the same;	
Literary and Artistic V time to time, the suffic assign and hereby do s interest in and to said i which may issue there reexamination, divisio Paris Convention arisi including the right to b	Vorks, published ciency and adequated, assign and transition and apport, and any subsual, continuation and from said apporting suit for dare	and valuable consideration, as described in the Policy on Technology and in the 1993-1994 Vanderbilt Faculty Manual, as such policy is amended from acy and receipt of which are hereby acknowledged, I, the INVENTOR, agree to ansfer unto said VANDERBILT UNIVERSITY the entire right, title and plication throughout the world, including, without limitation, any Letters Patent equent application claiming priority to the above-identified application, reissue, -in-part, extension or continuation thereof and all rights of priority under the dication, and all other rights in the INVENTION possessed by the INVENTOR, mages due to past infringements; the same for VANDERBILT UNIVERSITY'S tly and entirely as the same would have been held by us had this assignment and	
AND, I hereby bind myself, my heirs, legal representatives, administrators and assign properly to execute without further consideration any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect, the sale, assignment, transfer and conveyance hereby made or intended to be made and generally do everything possible to aid VANDERBILT UNIVERSITY, its legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries throughout the world;			
AND, I herebentered into which wo		no assignment, sale, agreement or encumbrance has been or will be made or this assignment.	
LEGAL NAME OF I	NVENTOR	₹ \$	
Inventor: Kenn	eth M. Meyers	Date: 6 19 19	
Signature: LON	vt M.	May	
Witness: Bev	, Kleinfell	Date: 6/19/19	
Signature: 14		,	

VU Reference No.: VU19166US1

	COMBINATION ASSIGNMEN	T-STATEMENT
DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE		
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**************************************	DECLARATIO	<u>Y</u>
As a below named in	ventor I hereby declare that:	
	tion is directed to:	
	attached application, or ted States Patent Application Number 16/441,85	i4 filed June 14, 2019.
<ul> <li>I believe that</li> <li>I hereby ack</li> </ul>	dentified application was made or authorized to t I am the original inventor or an original joint is nowledge that any willful false statement made to r imprisonment of not more than five (5) year	nventor of a claimed invention in the application. in this declaration is punishable under 18 USC
	ASSIGNMENT	
WHEREAS, End Avenue, Nashvi	. Vanderbilt University having an office and pille, Tennessee, 37240, USA, is desirous of acq	lace of business at 305 Kirkland Hall, 2201 West uiring the entire interest in the same;
Literary and Artistic time to time, the suffi assign and hereby do interest in and to said which may issue there reexamination, division Paris Convention aris including the right to	iciency and adequacy and receipt of which are he sell, assign and transfer unto said VANDERBI invention and application throughout the world eon, and any subsequent application claiming pronal, continuation-in-part, extension or continuating from said application, and all other rights in bring suit for damages due to past infringement	Faculty Manual, as such policy is amended from ereby acknowledged, I, the INVENTOR, agree to LT UNIVERSITY the entire right, title and , including, without limitation, any Letters Patent riority to the above-identified application, reissue,
without further consid which may be necessi made or intended to b		s and assignments or other papers and instruments e sale, assignment, transfer and conveyance hereby aid VANDERBILT UNIVERSITY, its legal
	by covenant that no assignment, sale, agreemen ould conflict with this assignment.	t or encumbrance has been or will be made or
LEGAL NAME OF	INVENTOR	en e
***************************************	nua Tian	Date: 6/18/2019
Signature: 🏒	anhous Teas	
Witness:	lamen clipistr	Date: 106-20-2019

Signature:

VU Reference No.: VU19166US1

## COMBINATION ASSIGNMENT-STATEMENT

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE				
Title of Invention:	WDR5 INHIBITORS AND MODULATORS			
	DECLARATION			
As a below named inventor I hereby declare that:				
- This declaration is directed to: ☐ The attached application, or ☑ United States Patent Application Number 16/441,854 filed June 14, 2019.				
<ul> <li>The above-identified application was made or authorized to be made by me.</li> <li>I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.</li> <li>I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.</li> </ul>				
	ASSIGNMENT			
WHEREAS, Vanderhilt University having an office and place of business at 305 Kirkland Hall, 2201 West End Avenue, Nashville, Tennessee, 37240, USA, is desirous of acquiring the entire interest in the same;				
NOW, THEREFORE, for good and valuable consideration, as described in the Policy on Technology and Literary and Artistic Works, published in the 1993-1994 Vanderbilt Faculty Manual, as such policy is amended from time to time, the sufficiency and adequacy and receipt of which are hereby acknowledged, I, the INVENTOR, agree to assign and hereby do sell, assign and transfer unto said VANDERBILT UNIVERSITY the entire right, title and interest in and to said invention and application throughout the world, including, without limitation, any Letters Patent which may issue thereon, and any subsequent application claiming priority to the above-identified application, reissue, reexamination, divisional, continuation-in-part, extension or continuation thereof and all rights of priority under the Paris Convention arising from said application, and all other rights in the INVENTION possessed by the INVENTOR, including the right to bring suit for damages due to past infringements; the same for VANDERBILT UNIVERSITY'S legal representatives and assigns, as fully and entirely as the same would have been held by us had this assignment and sale not been made;				
AND, I hereby bind myself, my heirs, legal representatives, administrators and assign properly to execute without further consideration any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect, the sale, assignment, transfer and conveyance hereby made or intended to be made and generally do everything possible to aid VANDERBILT UNIVERSITY, its legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries throughout the world;				
AND, I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.				
LEGAL NAME OF IN	IVENTOR			
Inventor: <u>Jonatha</u> Signature:	an D. Macdonalds Date: <u>O6-21-19</u>			
Witness: CYC	istophes boins Date: 06-21-19			

VU Reference No.: VU19166US1

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<u>ASSIGNMENT</u>				
WHEREAS, <u>Vanderbilt University</u> having an office and place of business at <u>305 Kirkland Hall, 2201 West</u> <u>End Avenue, Nashville, Tennessee, 37240, USA</u> , is desirous of acquiring the entire interest in the same;				
NOW, THEREFORE, for good and valuable consideration, as described in the Policy on Technology and Literary and Artistic Works, published in the 1993-1994 Vanderbilt Faculty Manual, as such policy is amended from time to time, the sufficiency and adequacy and receipt of which are hereby acknowledged, I, the INVENTOR, agree to assign and hereby do sell, assign and transfer unto said VANDERBILT UNIVERSITY the entire right, title and interest in and to said invention and application throughout the world, including, without limitation, any Letters Patent which may issue thereon, and any subsequent application claiming priority to the above-identified application, reissue, reexamination, divisional, continuation-in-part, extension or continuation thereof and all rights of priority under the Paris Convention arising from said application, and all other rights in the INVENTION possessed by the INVENTOR, including the right to bring suit for damages due to past infringements; the same for VANDERBILT UNIVERSITY'S legal representatives and assigns, as fully and entirely as the same would have been held by us had this assignment and sale not been made;				
AND, I hereby bind myself, my heirs, legal representatives, administrators and assign properly to execute without further consideration any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect, the sale, assignment, transfer and conveyance hereby made or intended to be made and generally do everything possible to aid VANDERBILT UNIVERSITY, its legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries throughout the world;				
AND, I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.				
LEGAL NAME OF I	NVENTOR			
Inventor: <u>Steph</u>	en W. Fesik Date: August 16,2019			
Signature: 5	tojhon Wi Gesik			
Witness:N	ATALIA SMOTHERS Date: August 16,2019			
Signature:	Vatalia Smothers Date: August 16,2019			

VU Reference No.: VU19166US1

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AND, I hereby covenant that no entered into which would conflict with thi		to assignment, sale, agreement or encumbrance has been or will be made or this assignment.		
LEGAL NAME OF I	NVENTOR	*		
Inventor; Taeky	8 Lee	Date: 8/16/2019		
Signature:				
Witness:	ames (	2. Tarr Date: 8/16/2019		
Signature:	014			

**RECORDED: 12/10/2019**