

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
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EPAS ID: PAT5878766

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ALAN TREFLER	05/20/2004
ANDREAS G. HOFMANN	05/19/2004
RECEIVING PARTY DATA	
Name:	PEGASYSTEMS INC,
Street Address:	ONE ROGERS STREET
City:	CAMBRIDGE
State/Country:	MASSACHUSETTS
Postal Code:	02142
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12649095
CORRESPONDENCE DATA	
Fax Number:	(617)523-6215
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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ATTORNEY DOCKET NUMBER:	103348-0024
NAME OF SUBMITTER:	DAVID J. POWSNER
SIGNATURE:	/David J. Powsner/
DATE SIGNED:	12/20/2019
Total Attachments: 4	
source=RecordCoverSheet#page1.tif	
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source=Assignment#page3.tif	

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Alan Trefler, Andreas G. Hofmann

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 2004-05-19 & 2004-05-20

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Pegasystems Inc.

Internal Address: _____

Street Address: One Rogers Street

City: Cambridge

State: MA

Country: U.S. Zip: 02142-1209

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

12/649,095

B. Patent No.(s)

8,479,157

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: David J. Powsner

Internal Address: _____

Street Address: Davis Malm & D'Agostine, P.C.
One Boston Pl, 37th Floor

City: Boston

State: MA Zip: 02108

Phone Number: 617-367-3813

Docket Number: 103348-0024

Email Address: docketing@davismalm.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- ☐ Authorized to be charged to deposit account
☐ Enclosed
☒ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized UserName _____

9. Signature: /David J. Powsner/

2019-12-20

Signature

Date

David J. Powsner, Reg No. 31,868

Total number of pages including cover sheet, attachments, and documents:

4

Name of Person Signing

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

WHEREAS, we, Alan Trefler, of Brookline, Massachusetts and Andreas G. Hofmann, of Cambridge, Massachusetts, having invented certain new and useful improvements in METHODS AND APPARATUS FOR INTEGRATION OF DECLARATIVE RULE-BASED PROCESSING WITH PROCEDURAL PROGRAMMING IN A DIGITAL DATA-PROCESSING ENVIRONMENT, for which an application for United States Patent is filed concurrently herewith.

WHEREAS, Pegasystems Inc., a Massachusetts corporation, having a place of business at 101 Main Street, Cambridge, Massachusetts 02142-1590, and its successors and assigns (collectively hereinafter called "ASSIGNEE"), is desirous of acquiring our entire right, title and interest in and to the invention, and in and to the said applications and any Letters Patent that may issue thereon;

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledge, we do hereby sell assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive right, title and interest in and to said invention and in and to said applications and all patents which may granted hereafter, and all divisions, reissues, substitutions, continuations, continuations-in-part and extensions thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as our interest is concerned, to the said ASSIGNEE of our entire right, title and interest.

We also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention disclosed in said applications throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world, including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention, and all rights to register said invention in appropriate registries; and we further agree to execute any and all powers of attorney, applications, assignments,

declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

We hereby further agree that we will communicate to said ASSIGNEE, or to its successors, assigns and legal representative, any facts known to us respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

IN WITNESS WHEREOF, I hereto set my hand and seal at

Cambridge this 20 day of May, 2004
(City, State) (Day) (Month) (year)

STATE OF Massachusetts

COUNTY OF Middlesex

Alan Trefler

SS.

Before me this 20 day of May, 2004, personally appeared Alan Trefler known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

.....
NOTARY PUBLIC

[Notary's Seal Here]

My Commission Expires:



JUNE M. MORRIS
Notary Public
Commonwealth of Massachusetts
My Commission Expires
February 11, 2005

IN WITNESS WHEREOF, I hereto set my hand and seal at

Cambridge Ma this 19 day of May, 2004.
(City, State) (Day) (Month) (year)

Andreas G. Hofmann

Andreas G. Hofmann

STATE OF

Massachusetts

SS.

COUNTY OF

Middlesex

Before me this 19 day of May, 2004, personally appeared Andreas G. Hofmann known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

June M. Morris
NOTARY PUBLIC

[Notary's Seal Here]

My Commission Expires:

1323265.1



JUNE M. MORRIS
Notary Public
Commonwealth of Massachusetts
My Commission Expires
February 11, 2005