

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT5888973

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	UPTAKE MEDICAL CORP.	07/23/2016
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	UPTAKE MEDICAL TECHNOLOGY INC.	
<b>Street Address:</b>	936 N. 34TH STREET	
<b>Internal Address:</b>	SUITE 200	
<b>City:</b>	SEATTLE	
<b>State/Country:</b>	WASHINGTON	
<b>Postal Code:</b>	98103	
<b>PROPERTY NUMBERS Total: 1</b>		
	<b>Property Type</b>	<b>Number</b>
	Application Number:	15582765
<b>CORRESPONDENCE DATA</b>		
<b>Fax Number:</b>		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
<b>Phone:</b>	6506819503	
<b>Email:</b>	rbatt@battip.com	
<b>Correspondent Name:</b>	RICHARD BATT	
<b>Address Line 1:</b>	PO BOX 1951	
<b>Address Line 4:</b>	APTOS, CALIFORNIA 95001	
<b>ATTORNEY DOCKET NUMBER:</b>	UMT001CON2	
<b>NAME OF SUBMITTER:</b>	RICHARD BATT	
<b>SIGNATURE:</b>	/RBatt/	
<b>DATE SIGNED:</b>	12/30/2019	
<b>Total Attachments: 10</b>		
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## EXHIBIT B

### **PATENT ASSIGNMENT**

THIS ASSIGNMENT OF PATENTS (the "Assignment") is entered into by and between Uptake Medical Corp. a Delaware corporation, having a principal place of business at 1173 Warner Ave., Tustin, CA 92780 ("Assignor"), and Uptake Medical Technology Inc., a Delaware corporation ("Assignee").

WHEREAS, Assignor and Assignee are parties to that certain Asset Purchase Agreement, dated as of July 20, 2016 (the "Agreement");

WHEREAS, Assignor is the sole and exclusive owner of certain patents and patent applications identified in attached Appendix II to the Agreement, together with any patent disclosures and inventions (whether or not reduced to practice) (the "Patents") and has used the Patents as required by applicable law;

WHEREAS, Assignor desires to assign all of the Assignor's right, title and interest in and to the Patents to Assignee; and

WHEREAS, capitalized terms used herein without definition shall have the meanings set forth in the Agreement.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor does hereby assign and transfer unto Assignee, and Assignee does hereby accept, all of Assignor's rights, title and interest in and to the Patents throughout the world, and any continuations, divisions, continuations-in-part, reissues, reexaminations, post-issuance proceedings and extensions thereof; all rights to apply for registration in any countries with full benefit of such priority as may now or hereafter be granted to it by law, treaty or other international convention; together with all preliminary invention records with respect thereto and all rights, interests, demands, claims and causes of action which may be obtained therefrom whether accruing before, on or after the date of this Assignment (including in profits and damages for past, present and future infringement of the Patents), and all income and royalties whether accruing before, on or arising or payable after the date of this Assignment. Assignor undertakes to duly execute, or have duly executed, any further documents reasonably necessary to record the transfer of title effected hereby.

Assignor shall take such steps and actions, and provide such cooperation and assistance to Assignee and its successors, assigns and legal representatives, including the execution and delivery of any affidavits, declarations, oaths, exhibits, assignments, powers of attorney, or other documents, as may be necessary to effect, evidence or perfect the assignment of the Patents to Assignee, or its assignee or successor thereto.

This Assignment, together with the Agreement (including all appendixes and exhibits thereto) and the other documents delivered in connection therewith, contain the entire agreement and understanding among the parties hereto with respect to the subject matter hereof and supersede all prior agreements and understandings, oral or written, with respect to such matters. In the event of a conflict or inconsistency between the terms of the Agreement and the terms hereof, the terms of the Agreement shall govern.

This Assignment shall be governed by, and construed in accordance with, the laws of the United States and other applicable jurisdictions, treaties and international conventions, in respect to patent issues, and in all other respects including as to validity (except for patent issues), interpretation and effect by the laws of the State of California, without giving effect to the conflict of laws rules thereof. Without limiting the general applicability of Section 14(h) or Section 14(i) of the Agreement to any disputes arising hereunder, **EACH PARTY HERETO HEREBY IRREVOCABLY AND UNCONDITIONALLY WAIVES ANY RIGHT SUCH PARTY MAY HAVE TO A TRIAL BY JURY IN RESPECT OF ANY LITIGATION DIRECTLY OR INDIRECTLY ARISING OUT OF OR RELATING TO THIS PATENT ASSIGNMENT OR THE TRANSACTIONS CONTEMPLATED HEREBY.**

This Assignment may be executed in counterparts, each of which shall be deemed an original, but all of which together shall be deemed to be one and the same agreement. A signed copy of this Assignment delivered by facsimile, e-mail or other means of electronic transmission shall be deemed to have the same legal effect as delivery of an original signed copy of this Assignment.

This Assignment shall be subject to the provisions set forth in Section 14(k) of the Agreement, except to the extent that any contrary or different terms are set forth herein.

*Remainder of Page Intentionally Left Blank*

IN WITNESS WHEREOF, Assignor and Assignee have caused this Assignment to be executed by their respective duly authorized officers as of the date first above written.

ASSIGNOR

Uptake Medical Corp.

A public notary or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy or validity of that document.

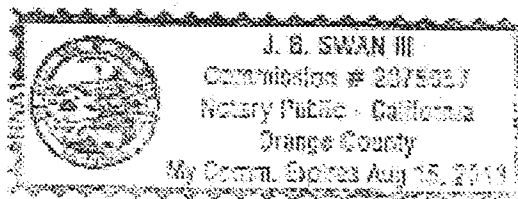
By: R. King Nelson  
Name: R. King Nelson  
Title: President & CEO

State of: CALIFORNIA )

County of: ORANGE )

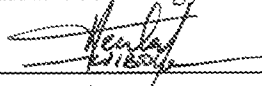
On this 23 day of July, 2010, before me appeared RANDALL K. NELSON, the person who signed this instrument on behalf of UPTAKE MEDICAL CORP., who acknowledged that he/she signed it on behalf of the identified CORPORATION as PRESIDENT & CEO of the identified CORPORATION and pursuant to authority duly received.

J. B. Swan III  
Notary Public



ASSIGNEE

Uptake Medical Technology Inc.

By: 

Name: HENRY WISADO

Title:

State of: \_\_\_\_\_ )

County of: \_\_\_\_\_ )

On this \_\_\_\_ day of \_\_\_\_\_, 200\_\_\_\_, before me appeared \_\_\_\_\_, the person who signed this instrument on behalf of \_\_\_\_\_, who acknowledged that he/she signed it on behalf of the identified \_\_\_\_\_ as \_\_\_\_\_ of the identified \_\_\_\_\_ and pursuant to authority duly received.

\_\_\_\_\_  
Notary Public

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

**CIVIL CODE § 1189**

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California )  
County of Santa Clara )

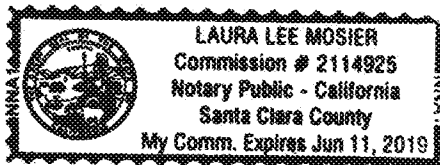
On July 29, 2016 before me, Laura Lee Mosier, Notary Public  
Date Here Insert Name and Title of the Officer

personally appeared Henky Wibowo  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature [Signature]  
Signature of Notary Public

Place Notary Seal Above

**OPTIONAL**

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

**Description of Attached Document**

Title or Type of Document: Patent Assignment Document Date: \_\_\_\_\_  
Number of Pages: \_\_\_\_\_ Signer(s) Other Than Named Above: \_\_\_\_\_

**Capacity(ies) Claimed by Signer(s)**

Signer's Name: \_\_\_\_\_  
☐ Corporate Officer — Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Individual ☐ Attorney in Fact  
☐ Trustee ☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_  
Signer Is Representing: \_\_\_\_\_

Signer's Name: \_\_\_\_\_  
☐ Corporate Officer — Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Individual ☐ Attorney in Fact  
☐ Trustee ☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_  
Signer Is Representing: \_\_\_\_\_

# APPENDIX II (Part I): Intellectual Property Assets

## Patents – Owned

Atty Dkt No.	Jurisdiction	Status	Application No.	Filing Date	Pub/Pat. No.	Pub./Issue Date	Expiration (*Projected)	Title
700.100	United States	Expired	60/628,451	11/16/2004			n/a	Ultrasound For Lung Volume Reduction
700.101	United States	Expired	60/648,036	1/27/2005			n/a	System, Device And Method For Lung Volume Reduction
700.200	United States	Issued	11/281,212	11/16/2005	7,913,698	3/29/2011	1/9/2030	Device And Method For Lung Treatment
700.300	United States	Issued	13/073,660	3/28/2011	9,050,076	6/9/2015	3/26/2028	Device And Method For Lung Treatment
700.400	United States	Published	14/703,580	5/4/2015	2015/0230852	8/20/2015	*11/16/2025	Device And Method For Lung Treatment
700.600	WIPO (PCT)	National Phase	PCT/US05/41651	11/16/2005	WO2006/055695	5/26/2006	n/a	Device And Method For Lung Treatment
700.BR0	Brazil	Pending	PI0518437-1	11/16/2005			*11/16/2025	Device And Method For Lung Treatment
700.CA0	Canada	Issued	2,587,909	11/16/2005	2,587,909	1/5/2016	11/16/2025	Device And Method For Lung Treatment
700.CN0	China	Issued	200580046606.8	11/16/2005	ZL200580046606.8	5/12/2010	11/16/2025	Device And Method For Lung Treatment
700.EP0	Europe	Abandoned	05823129.1	11/16/2005	1833397	9/19/2007	n/a	Device And Method For Lung Treatment
700.EP1	Europe	Published	12187823.5	11/16/2005	2545874	1/16/2013	*11/16/2025	Device And Method For Lung Treatment
700.HK0	Hong Kong	Abandoned	08102799.1	3/11/2008			n/a	Device And Method For Lung Treatment
700.JP0	Japan	Issued	2007-543222	11/16/2005	5020824	6/22/2012	11/16/2025	Device And Method For Lung Treatment
700.KR0	Korea	Abandoned	10-2007-7013345	11/16/2005			n/a	Device And Method For Lung Treatment
700.MX0	Mexico	Issued	MX/a/2007/005937	11/16/2005	294338	1/6/2012	11/16/2025	Device And Method For Lung Treatment
701.100	United States	Expired	60/602,931	8/20/2004			n/a	Method Of Closing A Bronchial Airway



Atty Dkt No.	Jurisdiction	Status	Application No.	Filing Date	Pub/Pat. No.	Pub./Issue Date	Expiration (*Projected)	Title
701.200	United States	Abandoned	11/208,396	8/20/2005	2006/0047291	3/2/2006	n/a	Non-Foreign Occlusion Of An Airway And Lung Collapse
701.300	United States	Abandoned	11/222,118	9/7/2005	2006/0130830	6/22/2006	n/a	Intra-Bronchial Implants For Improved Attachment
702.200	United States	Issued	11/598,362	11/13/2006	8,585,645	11/19/2013	1/17/2032	Treatment With High Temperature Vapor
703.200	United States	Issued	11/598,383	11/13/2006	7,993,323	8/9/2011	12/25/2027	High Pressure And High Temperature Vapor Catheters And Systems
703.400	United States	Issued	13/168,820	6/24/2011	9,113,858	8/25/2015	11/21/2027	High Pressure And High Temperature Vapor Catheters And Systems
703.600	WIPO (PCT)	National Phase	PCT/US07/84592	11/13/2007	WO2008/064026	5/29/2008	n/a	High Pressure And High Temperature Vapor Catheters And Systems
703.AU0	Australia	Issued	2007323844	11/13/2007	2007323844	5/8/2014	11/13/2027	High Pressure And High Temperature Vapor Catheters And Systems
703.DE0	Germany	Issued	07864354.1	11/13/2007	60 2007 043 076.3	9/9/2015	11/13/2027	High Pressure And High Temperature Vapor Catheters And Systems
703.EP0	Europe	Regional Phase	07864354.1	11/13/2007	2094207	9/2/2009	n/a	High Pressure And High Temperature Vapor Catheters And Systems
703.ES0	Spain	Issued	07864354.1	11/13/2007	2094207	9/9/2015	11/13/2027	High Pressure And High Temperature Vapor Catheters And Systems
703.FR0	France	Issued	07864354.1	11/13/2007	2094207	9/9/2015	11/13/2027	High Pressure And High Temperature Vapor Catheters And Systems
703.GB0	United Kingdom	Issued	07864354.1	11/13/2007	2094207	9/9/2015	11/13/2027	High Pressure And High Temperature Vapor Catheters And Systems
703.IE0	Ireland	Issued	07864354.1	11/13/2007	2094207	9/9/2015	11/13/2027	High Pressure And High Temperature Vapor Catheters And Systems

Atty Dkt No.	Jurisdiction	Status	Application No.	Filing Date	Pub/Pat. No.	Pub./Issue Date	Expiration (*Projected)	Title
703.ITO	Italy	Issued	07864354.1	11/13/2007	502015000073262	9/9/2015	11/13/2027	High Pressure And High Temperature Vapor Catheters And Systems
704.100	United States	Expired	60/981,701	10/22/2007			n/a	Determining Patient-Specific Vapor Treatment And Delivery Parameters
704.200	United States	Issued	12/256,197	10/22/2008	8,147,532	4/3/2012	9/6/2030	Determining Patient-Specific Vapor Treatment And Delivery Parameters
704.300	United States	Issued	13/675,989	11/13/2012	8,734,380	5/27/2014	10/22/2028	Determining Patient-Specific Vapor Treatment And Delivery Parameters
704.500	United States	Issued	12/409,370	3/23/2009	8,322,335	12/4/2012	3/3/2031	Determining Patient-Specific Vapor Treatment And Delivery Parameters
704.600	WIPO (PCT)	National Phase	PCT/US08/80688	10/22/2008	WO2009/055410	4/30/2009	n/a	Determining Patient-Specific Vapor Treatment And Delivery Parameters
704.AU0	Australia	Issued	2008316959	10/22/2008	2008316959	3/7/2013	10/22/2028	Determining Patient-Specific Vapor Treatment And Delivery Parameters
704.BRO	Brazil	Pending	PI0818239-6	10/22/2008			*10/22/2028	Determining Patient-Specific Vapor Treatment And Delivery Parameters
704.DE0	Germany	Issued	08842603.6	10/22/2008	602008031162.7	3/26/2014	10/22/2028	Determining Patient-Specific Vapor Treatment And Delivery Parameters
704.EPO	Europe	Regional Phase	08842603.6	10/22/2008	2249734	11/17/2010	n/a	Determining Patient-Specific Vapor Treatment And Delivery Parameters
704.ES0	Spain	Issued	08842603.6	10/22/2008	2249734	3/26/2014	10/22/2028	Determining Patient-Specific Vapor Treatment And Delivery Parameters
704.FRO	France	Issued	08842603.6	10/22/2008	2249734	3/26/2014	10/22/2028	Determining Patient-Specific Vapor Treatment And Delivery Parameters

PATENT

REEL: 051390 FRAME: 0060

Atty Dkt No.	Jurisdiction	Status	Application No.	Filing Date	Pub/Pat. No.	Pub./Issue Date	Expiration (*Projected)	Title
704.GB0	United Kingdom	Issued	08842603.6	10/22/2008	2249734	3/26/2014	10/22/2028	Determining Patient-Specific Vapor Treatment And Delivery Parameters
704.IE0	Ireland	Issued	08842603.6	10/22/2008	2249734	3/26/2014	10/22/2028	Determining Patient-Specific Vapor Treatment And Delivery Parameters
704.IT0	Italy	Issued	08842603.6	10/22/2008	2249734	3/26/2014	10/22/2028	Determining Patient-Specific Vapor Treatment And Delivery Parameters
704.JP0	Japan	Abandoned	2010-531175	10/22/2008	2011-500281	1/6/2011	n/a	Determining Patient-Specific Vapor Treatment And Delivery Parameters
704.601	WIPO (PCT)	National Phase	PCT/US09/37973	3/23/2009	WO2009/145975	12/3/2009	n/a	Determining Patient-Specific Vapor Treatment And Delivery Parameters
704.AU1	Australia	Issued	2009251704	3/23/2009	2009251704	2/26/2015	3/23/2029	Determining Patient-Specific Vapor Treatment And Delivery Parameters
704.BR1	Brazil	Pending	PI0908909-8	3/23/2009			*3/23/2029	Determining Patient-Specific Vapor Treatment And Delivery Parameters
704.CA1	Canada	Pending	2,718,667	3/23/2009			*3/23/2029	Determining Patient-Specific Vapor Treatment And Delivery Parameters
704.EP1	Europe	Published	09755338.2	3/23/2009	2265206	12/29/2010	*3/23/2029	Determining Patient-Specific Vapor Treatment And Delivery Parameters
704.JP1	Japan	Issued	2011-501015	3/23/2009	5719761	3/27/2015	3/23/2029	Determining Patient-Specific Vapor Treatment And Delivery Parameters
705.100	United States	Expired	61/038,715	3/21/2008			n/a	Vapor Delivery Systems And Methods Of Use
706.100	United States	Expired	61/038,718	3/21/2008			n/a	Treatment Planning Methods For Lung Volume Reduction
708.100	United States	Expired	61/622,392	4/10/2012			n/a	Methods And Apparatus For Ablating Lung Nodules With

PATENT

REEL: 051390 FRAME: 0061

Atty Dkt No.	Jurisdiction	Status	Application No.	Filing Date	Pub/Pat. No.	Pub./Issue Date	Expiration (*Projected)	Title
								Vapor
708.200	United States	Abandoned	13/860,420	4/10/2013	2013/0267939	10/10/2013	n/a	Methods And Apparatus For Ablating Lung Nodules With Vapor
710.100	United States	Expired	61/885,362	10/1/2013			n/a	Preferential Volume Reduction Of Diseased Segments Of A Heterogeneous Lobe
710.200	United States	Published	14/504,042	10/1/2014	2015/0094607	4/2/2015	*10/1/2034	Preferential Volume Reduction Of Diseased Segments Of A Heterogeneous Lobe
710.AU0	Australia	Pending	2014240225	10/1/2014			*10/1/2034	Preferential Volume Reduction Of Diseased Segments Of A Heterogeneous Lobe
711.100	United States	Expired	62/086,606	12/2/2014			n/a	Vapor Treatment Of Lung Nodules And Tumors
711.200	United States	Published	14/957,433	12/2/2015	2016/0151103	6/2/2016	*12/2/2035	Vapor Treatment Of Lung Nodules And Tumors
712.100	United States	Expired	62/111,033	2/2/2015			n/a	Medical Vapor Generator
712.101	United States	Expired	62/153,352	4/27/2015			n/a	Medical Vapor Generator
712.200	United States	Pending	15/013,748	2/2/2016			*2/2/2036	Medical Vapor Generator
713.100	United States	Pending	62/268,297	12/16/2015			n/a	Lung Cancer Treatment With Condensable Vapor And Therapeutic Agent
714.100	United States	Pending	62/268,288	12/16/2015			n/a	Vapor Ablation Of Tumors To Increase Immune Response

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