

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
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ROBERT GRUBBS	11/21/2017
RECEIVING PARTY DATA	
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PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16222918
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ATTORNEY DOCKET NUMBER:	091865-1117946-001030US
NAME OF SUBMITTER:	SVETLANA GUEVARA
SIGNATURE:	/Svetlana Guevara/
DATE SIGNED:	01/02/2020
Total Attachments: 3	
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source=Assignment_Inventors to CalTech 1020US#page3.tif	

ASSIGNMENT
(Patent Application)

We, the undersigned, have invented certain inventions and improvements disclosed in a utility patent applications entitled

“BIOCOMPATIBLE SUBSTRATE FOR FACILITATING INTERCONNECTIONS BETWEEN STEM CELLS AND TARGET TISSUES AND METHODS FOR IMPLANTING SAME,”

and which is found in

International Application No. PCT/US2011/043747, filed on July 12, 2011;

U.S. Application No. 13/181,279, filed on July 12, 2011; and

U.S. Application No. 14/314,994, filed on June 25, 2014.

For good and valuable consideration, the receipt and sufficiency of which we acknowledge, we:

1. Agree to assign, transfer, convey, and sell, hereby assign, transfer, convey, and sell and have assigned, transferred, conveyed, and sold to California Institute of Technology, a corporation of the State of California having a principal place of business at 1200 E. California Blvd., MC210-85, Pasadena, CA 91125 (“Assignee”), the entire right, title, and interest in and to:
 - (a) all intellectual property (including, without limitation, any innovation, information, invention, discovery, product, process, work or design) disclosed, embodied, shown, or claimed in the above-referenced patent application, implicitly or explicitly;
 - (b) the above-referenced patent application, and all applications based in whole or in part upon the above-referenced patent application, including, without limitation, all applications that are a provisional, non-provisional, design, divisional, continuation, continuation-in-part, registration, utility model, industrial design, reissue, renewal, substitute, extension, reexamination, post-grant review, inter partes review, supplemental examination or non-U.S. patent application or application for other rights based in whole or in part on the above-referenced patent application;
 - (c) the right to claim priority to the above-referenced patent application, and any and all applications referenced in subsection (b); and
 - (d) all patents (including, without limitation, all U.S. and non-U.S. patents, registrations, utility models, industrial designs, design patents, counterparts, continuations, continuations-in-part, divisionals, reissues, renewals, substitutes, extensions, reexaminations, post-grant reviews, inter partes reviews and supplemental examinations) that are granted or issued upon, or that claim priority to, any and all applications described in (b) of this paragraph or that disclose or claim intellectual property described in (a) of this paragraph, in whole or in part; and

ASSIGNMENT

Appl. Nos. PCT/US2011/043747; 13/181,279; 14/314,994

Attorney Docket Nos. 091865-1057907-001010PC; 091865-1057908-001010US;

091865-1057912-001020US

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- (e) all claims for damages by reason of past infringement of any rights under the applications or patents described in (a), (b) or (c) of this paragraph (including provisional rights to reasonable royalties pursuant to 35 U.S.C. §154(d)) and the right to sue for and collect such damages and royalties for Assignee's own use.
2. Authorize and request the U.S. Patent and Trademark Office or any other U.S. or non-U.S. agency to issue to the Assignee any and all patent(s), or other rights or documents, resulting from the intellectual property, patent application(s) and patents described in paragraph 1 of this Assignment.
 3. Agree to sign all papers and documents, including without limitation, applications, declarations, oaths and petitions, and, at the Assignee's expense, perform any other acts that are necessary in connection with prosecution of patent application(s) or intellectual property described in paragraph 1 of this Assignment and the enforcement of patent(s) or other rights resulting from such patent application(s) or intellectual property.
 4. Agree that the terms, covenants, and conditions of this Assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon us, as well as our heirs, legal representatives, and assigns.
 5. Promise and affirm that we have not entered, and will not enter, into any assignment, contract, or understanding that conflicts with this Assignment.

Signed on the dates indicated beside our signatures.

Signature:  _____ Date: Oct.27, 2017
Yu-Chong Tai

Signature: _____ Date: _____
Robert Grubbs

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ASSIGNMENT

Appl. Nos. PCT/US2011/043747; 13/181,279; 14/314,994

Attorney Docket Nos. 091865-1057907-001010PC; 091865-1057908-001010US;

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Signed on the dates indicated beside our signatures.

Signature: _____ Date: _____

Yu-Chong Tai

Signature:  _____ Date: NOV 21, 2017

Robert Grubbs

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