

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5898584

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	UCB PHARMA S.A.	02/21/2018
RECEIVING PARTY DATA		
Name:	UCB BIOPHARMA SPRL	
Street Address:	ALLEE DE LA RECHERCHE, 60	
City:	BRUSSELS	
State/Country:	BELGIUM	
Postal Code:	1070	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	16487988
CORRESPONDENCE DATA		
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<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
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Correspondent Name:	MCDONNELL BOEHNEN HULBERT & BERGHOFF LLP	
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ATTORNEY DOCKET NUMBER:	19-1605-WO-US	
NAME OF SUBMITTER:	STEVEN J. SARUSSI	
SIGNATURE:	/Steven J. Sarussi/	
DATE SIGNED:	01/07/2020	
Total Attachments: 10		
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THIS DEED is made the

21

day of

February

2018

BETWEEN:-

(1) DANIEL CHRISTOPHER BROOKINGS, a British citizen; JULIEN ALISTAIR BROWN, a British citizen; JAG PAUL HEER, a British citizen; JAMES ANDREW JOHNSON, a British citizen and ZHAONING ZHU, a United States of America citizen, all c/o IPD, UCB Celltech, 208 Bath Road, Slough, Berkshire, SL1 3WE, United Kingdom (hereinafter called "the Inventors" which expression where the context so allows shall include their personal representatives and assigns);

(2) CELLTECH R&D LIMITED, a company incorporated under the laws of England and Wales and having its registered office at 208 Bath Road, Slough, Berkshire, SL1 3WE, United Kingdom (hereinafter called "Celltech R&D" which expression where the context so allows shall include its successors and assigns); and

(3) UCB BIOPHARMA SPRL, a Belgian body corporate, having its registered office at Allee de la Recherche 60, B-1070 Brussels, Belgium (hereinafter called "UCB Biopharma" which expression where the context so allows shall include its successors and assigns).

WHEREAS:-

(A) The Inventors claim to be joint inventors of an invention relating to "**FUSED PENTACYCLIC IMIDAZOLE DERIVATIVES AS MODULATORS OF TNF ACTIVITY**" ("the Invention") full particulars of which have been communicated by them to Celltech R&D and UCB Biopharma and which they are informed is or is intended to be

the subject of one or more applications for patent protection filed in the name of UCB Biopharma in respect of which they have (as they hereby acknowledge) approved a specification as submitted to them by UCB Biopharma's Patent Attorneys.

(B) The Inventors each made their contribution to the Invention while in the employment of Celltech R&D and in the course of their normal duties or in the course of duties falling outside their normal duties but specifically assigned to them and the circumstances were such that an invention might reasonably be expected to result from the carrying out of their duties and accordingly all their right title share and interest in and to the Invention is to be taken (as between each of the Inventors and Celltech R&D) to belong to Celltech R&D for the purposes of the Patents Act 1977 and for all other purposes of whatsoever nature.

(C) By reason of an Employee and IP Services Agreement dated 27 May 2005 between Celltech R&D and UCB S.A.; a Contribution of Assets made by UCB S.A. to UCB Pharma S.A. through a Notarial Deed dated 20 December 2006 and a Deed of Novation dated 20 December 2006 between Celltech R&D, UCB S.A. and UCB Pharma S.A.; and a Contribution of Assets made by UCB Pharma S.A. to UCB Biopharma through a Notarial Deed and its annexes dated 30 April 2014, it is agreed that all Celltech R&D's right title share and interest in and to the Invention including the full right to apply for and obtain a patent or patents or other similar forms of protection in respect of the Invention in the United Kingdom and in all other countries of the world belongs to UCB Biopharma.

(D) The Inventors have agreed at the request of Celltech R&D and Celltech R&D has agreed at the request of UCB Biopharma to execute this Deed on the terms herein contained.

NOW THIS DEED WITNESSES as follows:

1. In pursuance of the said agreement and in consideration of the premises the Inventors and Celltech R&D HEREBY JOINTLY AND SEVERALLY and to the extent of their respective shares and interests therein ASSIGN and CONFIRM unto UCB Biopharma ALL THOSE their respective right title share and interest in and to the Invention and the patent application identified in the Schedule hereto and all patents granted thereon

AND the full and exclusive benefit thereof TOGETHER WITH all rights of action and powers accruing or belonging to the Inventors or Celltech R&D AND the full right to apply for and obtain a patent or patents or other similar forms of protection in respect of the Invention in the United Kingdom and in all other countries of the world including the benefit of any priority date and the right to make applications whether under any national law or under the International Convention for the Protection of Industrial Property or under any other applicable convention or treaty TO HOLD the same unto UCB Biopharma absolutely.

2. The Inventors and Celltech R&D HEREBY JOINTLY and SEVERALLY COVENANT and AGREE with UCB Biopharma as follows:-

- (a) that they will at any time hereafter (whether or not the Inventors remain in the employ of Celltech R&D) and from time to time at the request of UCB Biopharma promptly execute and sign all such instruments applications and documents and do all such acts and things as may be required by UCB Biopharma to enable UCB Biopharma to enjoy the full benefit of the rights hereby confirmed and assigned including applying for and obtaining a patent or patents or other similar forms of protection in respect of the Invention in the United Kingdom and in all other countries of the world and vesting the same in UCB Biopharma or its nominees when obtained;
- (b) that they have not assigned or agreed to assign to any person firm or company other than UCB Biopharma or otherwise encumbered and will not hereafter assign or agree to assign to any person firm or company or otherwise encumber the Invention or the said patent applications or the right to apply for or obtain a patent or patents or other similar forms of protection in respect thereof in the United Kingdom or elsewhere throughout the world;
- (c) that they will give to UCB Biopharma all such information in their possession or in their power relating to the Invention and the method of using or employing the same as UCB Biopharma shall require; and

(d) that they have not disclosed and will not hereafter disclose the Invention or any information whatsoever concerning the same to any person firm or company other than Celltech R&D or UCB Biopharma


3. This document shall be governed by and construed in accordance with English Law.

IN WITNESS whereof this Instrument has been executed by the parties as a Deed in the presence of the persons mentioned below the day and year first before written.

SCHEDULE

<u>Patent</u> <u>Application</u>	<u>Application</u> <u>Number</u>	<u>Application</u> <u>Date</u>	<u>Grant date</u> <u>or number</u>
European	17161170.0	15 March 2017	

SIGNED and DELIVERED as his Deed
by the said Daniel Christopher BROOKINGS
in the presence of:-

) 
)
)

Witness Signature.....

Witness' Name..... SARAH MUMFORD

Address..... c/o 208 Bath Road
SLUGBY, UK

Occupation..... Administrator

SIGNED and DELIVERED as his Deed by) *Julien B.*
the said Julien Alistair BROWN)
in the presence of:-)

Witness Signature *S. Humphreys*

Witness' Name *SARAH HUMPHREYS*

Address *208 Bath Road*

SLAUGH, VIC

Occupation *Administrator*

SIGNED and DELIVERED as his Deed by)
the said Jag Paul HEER)
in the presence of:-)

Witness Signature.....

Witness' Name..... SARAN KUMAR

Address..... de 205, 30th Road

..... Slough, CA

.....
Occupation..... Administrator

SIGNED and DELIVERED as his Deed by)
the said James Andrew JOHNSON)
in the presence of:-)



Witness Signature.....
Witness' Name.....
Address.....
.....

Occupation.....

SIGNED and DELIVERED as his Deed by)

the said Zhaoning ZHU)

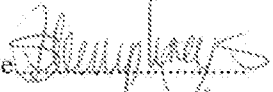
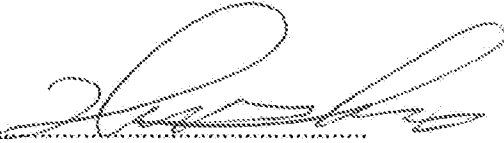
in the presence of:-)

Witness Signature

Witness' Name

Address

Occupation




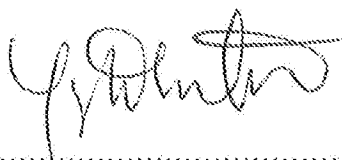
SARM HUMPHREYS

105, Park Road

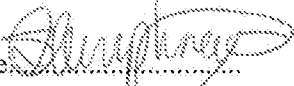
SLUGH, UK

Minister

SIGNED and DELIVERED as a Deed by
CELLTECH R&D LIMITED acting by
a Director in the presence of:-

)  Yogesh KHATRI
) Finance Director
) 

Director

Witness Signature 
Witness' name SAKAM KANCHHOKS
Address c/o 208 Bath Road
SLONAM, UK

Occupation Administrator

Occupation

Witness Signature

Witness's name FRANCHI MARINA

Address UCB

UCB Biopharma SPRL

Allée de la Recherche, 60

B-1070 Bruxelles - Belgium

Occupation SENIOR PATENT ADMINISTRATOR

SIGNED and DELIVERED as a Deed by
UCB BIOPHARMA SPRL by a person
acting under the authority of such company
in accordance with the laws of the territory
in which it is incorporated

)
)
)
)
)



Stéphane DROUIN

Title: Proxy and Legal Representative