505857244 01/10/2020

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5904181

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
HU LI	01/11/2018
WEIJUN YANG	01/11/2018
TAO WANG	01/11/2018
SIYUAN JIANG	01/11/2018
CHENGHUI CHEN	01/11/2018

RECEIVING PARTY DATA

Name:	DOW GLOGAL TECHNOLOGIES LLC
Street Address:	2040 DOW CENTER
City:	MIDLAND
State/Country:	MICHIGAN
Postal Code:	48674

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15776808

CORRESPONDENCE DATA

Fax Number: (989)636-3237

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 989-636-8434

Email: dorothykennedy@dow.com

Correspondent Name: DOW GLOBAL TECHNOLOGIES LLC Address Line 1: C/O THE DOW CHEMICAL COMPANY

Address Line 2: 2040 DOW CENTER

Address Line 4: MIDLAND, MICHIGAN 48674

ATTORNEY DOCKET NUMBER:	78218-US-PCT
NAME OF SUBMITTER:	KARL E. STAUSS
SIGNATURE:	/Karl E. Stauss/
DATE SIGNED:	01/10/2020
	-

Total Attachments: 7

PATENT REEL: 051473 FRAME: 0978

505857244



PATENT REEL: 051473 FRAME: 0979 FORM 110 (8.5 97)

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INDIVIDUAL ASSIGNMENT AND INVENTOR'S DECLARATION
(with Priority Rights)

I/we verily believe I am/we are the original, first and sole/joint inventor(s) of the subject matter which is embraced by and for which a patent is sought on the invention entitled: MULTI-STAGE POLYMER AS GRINDING ADDITIVE AND METHOD OF PRODUCING THE SAME

which invention was made and invented by me/us out of and in the course of my/our employment with my/our respective employers as stated below my/our name(s). I/we acknowledge that I/we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for our respective employers. As trustee(s), and for good and valuable consideration and/or the remuneration received for my/our work, I/we sell, assign and transfer unto:

Dow Chemical (China) Investment Company Limited Unit 03, C Zone, 2F, 3rd Building, No. 936 Zhangheng Road Zhangjiang Hi-Tech Park Shanghai 201203 People's Republic of China

an entity organized and existing under the laws of China (hereinafter referred to as the "Assignee"); my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications, including provisional applications when permitted by law, for Letters Patent in its own name, or in the name of its designee (or nominee(s)); and all rights and powers to file any corresponding or equivalent applications in any and all countries, including all rights to claim priority under all United States domestic laws, International Convention(s) and Treaties in the name of Assignee, and do hereby authorize Assignee or its designee to insert here the Priority Number, Filing Date, and Filing Country

Priority Number	Filing Date	Filing Country
PCT/CN2015/097360	December 15, 2015	PCT

of the application, on which the claim for priority will be based; and in and to any Letters Patent that may be issued for the invention in any and all countries. Use do hereby agree to assist Assignee in the prosecution of the application(s) and in any interference, conflict, opposition or litigation which may arise involving the invention or the patent or patents thereon, and to execute for any and all countries any document relating to applications derived from, corresponding to or equivalent to the above identified application as Assignee may request.

I/we authorize Assignee or its designee to translate this Assignment into all languages necessary; and we request that any such Letters Patent be issued to Assignee; and consent to the recordal of this Assignment, or a Noturial True Copy thereof, being under coverant not only that I/we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.

Subscribed and Declared by majus:

	and the second s
At: Storghai . Chilos	At Standing CAMM
this 11th Bay of Jan ,20 /	this produced day of the thing
Effective as of December 15, 2015	Effective as of December 15, 2015
Signature: Ha Li	II MADIMA YANA
The state of the s	Signature: Weigh Yan
Full Name: Hu Li	[Full Name: Weijun Yang
Mailing Address: No. 936, Zhangheng Road	Mailing Address: No. 936, Zhangheng Road
Zhangjiang Hi-Tech Park	Zhangjiang Hi-Tech Park
Shanghai 201203	Shanghai 201203
People's Republic of China	People's Republic of China
,	Z
At <u>Johnson Johnson</u>	At: Chara this day of Lan , 20
this 11th dayof Jan ,20 18	this 1 day of Jan , 20 0
Effective as of December 15, 2015	Effective as of December 15, 2015
La Ja	II. A MARINE
Signature: Tao Wang	Signature:
Full Name: Tao Wang	Full Name: Siyuan Jiang
Mailing Address: No. 936, Zhangheng Road	Mailing Address: No. 936, Zhangheng Road
Zhangjiang Hi-Tech Park	Zhangjiang Hi-Tech Park
Shanghai 201203	Shanghai 201203
People's Republic of China	People's Republic of China
r i i	
At: Shanghas, China this 11 th day of Jon 20(8	At:
this 11th day of Joyn .20 [8	this day of , 20
Effective as of December 15, 2015	* *************************************
A house his of	
Signature: Changhui Chen	Signature:
Full Name: Cheng Hui Chen'	Full Name:
Mailing Address: Room 2401-2403	Mailing Address:
Guangzhou International Finance Center	
No. 5 West Zhujiang Road	
Zhujiang New Town, Guangzhou 510610	
People's Republic of China	\

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FORM 110 (R.5-97)

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, INDIVIDUAL ASSIGNMENT AND INVENTOR'S DECLARATION

(with Priority Rights)

I/we verify believe I am/we are the original, first and sole/joint inventor(s) of the subject matter which is embraced by and for which a patent is sought on the invention entitled: MULTI-STAGE POLYMER AS GRINDING ADDITIVE AND METHOD OF PRODUCING THE SAME

which invention was made and invented by me/us out of and in the course of my/our employment with my/our respective employers as stated below my/our name(s). I/we acknowledge that I/we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for our respective employers. As trustee(s), and for good and valuable consideration and/or the remuneration received for my/our work, I/we sell, assign and transfer unto:

Rohm and Hass Company 400 Arcola Road Collegeville, Pennsylvania 19426 United States of America

an entity organized and existing under the laws of the state of Delaware (hereinafter referred to as the "Assignee"); my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications, including provisional applications when permitted by law, for Letters Patent in its own name, or in the name of its designee (or nominee(s)); and all rights and powers to file any corresponding or equivalent applications in any and all countries, including all rights to claim priority under all United States domestic laws, International Convention(s) and Treaties in the name of Assignee, and do hereby authorize Assignee or its designee to insert here the Priority Number, Filing Date, and Filing Country

Priority Number	Filing Date	Filing Country
PCT/CN2015/097360	December 15, 2015	PCT

of the application, on which the claim for priority will be based; and in and to any Letters Patent that may be issued for the invention in any and all countries. I/we do hereby agree to assist Assignee in the prosecution of the application(s) and in any interference, conflict, opposition or litigation which may arise involving the invention or the patent or patents thereon, and to execute for any and all countries any document relating to applications derived from, corresponding to or equivalent to the above identified application as Assignee may request.

Uwe authorize Assignee or its designee to translate this Assignment into all languages necessary; and we request that any such Letters Patent be issued to Assignee; and consent to the recordal of this Assignment, or a Notarial True Copy thereof, being under covenant not only that Uwe have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.

Subscribed and Declared by me/us:

At: this 1 do day of 20 , 20 , Effective as of December 15, 2015 Signature:	At: this day of, 20 Signature: Full Name: Mailing Address:
At: this	At: this day of , 20 Signature: Full Name: Mailing Address:
At: this day of , 20 Signature: Full Name: Mailing Address:	At:

ASSIGNEE ACCEPTANCE

WE, the ASSIGNEE:

DOW CHEMICAL (CHINA) INVESTMENT COMPANY LIMITED

Unit 03, C Zone, 2F, 3rd Building, No. 936 Zhangheng Road

Zhangjiang Hi-Tech Park

Shanghai 201203

People's Republic of China

hereby accept the ASSIGNMENT which is dated: January 11, 2018; and January 11, 2018, respectively

From, the ASSIGNORS: Hu Li, residing at No. 936, Zhangheng Road, Zhangjiang Hi-Tech Park, Shanghai, 201203, People's Republic of China; Weijun Yang, residing at No. 936, Zhangheng Road, Zhangjiang Hi-Tech Park, Shanghai, 201203, People's Republic of China; Tao Wang, residing at No. 936, Zhangheng Road, Zhangjiang Hi-Tech Park, Shanghai, 201203, People's Republic of China; Siyuan Jiang, residing at No. 936, Zhangheng Road, Zhangjiang Hi-Tech Park, Shanghai, 201203, People's Republic of China; and Cheng Hui Chen, residing at Room 2401-2403, Guangzhou, International Finance Center, No. 5 West Zhujiang Road, Zhujiang New Town, Guangzhou, 510610, People's Republic of China

of the invention entitled:

MULTI-STAGE POLYMER AS GRINDING ADDITIVE AND METHOD OF PRODUCING THE SAME

without any restrictions and with all rights and obligations deriving therefrom and declare that this confirmation of acceptance shall be deemed a part of the said ASSIGNMENT.

Signed at Shangh	ai, China			
this 1144 day o	of <u>Januara</u>	. 20 <u>/ (</u>		
	DOW CHEMICAL	L (CHINA) INVEST	MENT COMPANY	LIMITED
CORP.			ĵ	
SEAL	Y5 · ·	Vince	76	
	By: XIM:	ING (NICK) ZHANG	2 Carlot	
		orized Representativ		

PATENT REEL: 051473 FRAME: 0982

ASSIGNMENT

(with Priority Rights)

INVENTORSHIP DECLARATION

We, ASSIGNOR, of:

DOW CHEMICAL (CHINA) INVESTMENT COMPANY LIMITED

Unit 03, C Zone, 2F, 3rd Building, No. 936 Zhangheng Road

Zhangjiang Hi-Tech Park

Shanghai 201203

People's Republic of China

an entity organized and existing under the laws of CRINA do hereby claim and declare that we hold the invention and all putent and other rights and powers obtainable or exercisable in respect thereof from the sole, true and first inventor(x):

Hu Li, residing at No. 936, Zhangheng Road, Zhangjiang Hi-Tech Park, Shanghai, 201203, People's Republic of China; Weijun Yang, residing at No. 936, Zhangheng Road, Zhangjiang Hi-Tech Park, Shanghai, 201203, People's Republic of China; Tao Wang, residing at No. 936, Zhangheng Road, Zhangjiang Hi-Tech Park, Shanghai, 201203, People's Republic of China; Siyuan Jiang, residing at No. 936, Zhangheng Road, Zhangjiang Hi-Tech Park, Shanghai, 201203, People's Republic of China; and Cheng Hui Chen, residing at Room 2401-2403, Guangzhou International Finance Center, No. 5 West Zhujiang Road, Zhujiang New Town, Guangzhou, 510610, People's Republic of China

by an Assignment dated: January 11, 2018; January 11, 2018; January 11, 2018; January 11, 2018; and January 11, 2018, espectively of an invention relating to and entitled:

MULTI-STAGE POLYMER AS GRINDING ADDITIVE AND METHOD OF PRODUCING THE SAME

We do hereby declare, for good and valuable consideration, received, sell, assign, and transfer unto:

WE, the ASSIGNEE:

THE DOW CHEMICAL COMPANY

2030 Dow Center

Midland, Michigan 48674 United States of America

an entity organized and existing under the laws of the State of Delaware, United States of America our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make application for Letters Patent in its own name, or in the name of its nominee; and all rights and powers to file any reissue, divisional, continuing or substitute application and any corresponding applications in any and all countries, including all rights to claim priority under all International Conventions and Treaties in the name of said Assignee, and do hereby authorize said Assignee to insen here the Priority Number, Filing Date, and Filing Country

	Priority Number	Filing Date	Filing Country	ĺ
- 1	PCT/CN2015/097360	December 15, 2015	PCT	

of the application on which the priority will be based; and in and to any Letters Patent that may be issued for said invention in any and all countries; and we do hereby agree to assist said Assignee in the prosecution of the said applications and in any interference, conflict, opposition or litigation which may arise involving said invention or the patent or patents thereon, and to execute any reissue, divisional, continuing or substitute application and assent to their making any applications in other countries corresponding to the above and below specifically identified application, as may be requested by said Assignee; and we do hereby authorize said Assignee to insert here the corresponding Application Number, Filing Date, and Filing Country

Application Number	Filing Date	Filing Country	١
			į

of the corresponding application in any country where that patent property must be entered for recordal of this Assignment; and we do hereby authorize and request that any such Letters Patent be issued to said Assignee in accordance herewith, and consent to the recordal of this Assignment being under covenant, not only that we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.

Subscribed and Sworn by us at	Shanghai, China
this day of Jen	30 12

DOW CHEMICAL (CHINA) INVESTMENT COMPANY LIMITED

CORP. SEAL

> XIMING (NICK) ZHANG Authorized Representative

> > **PATENT**

REEL: 051473 FRAME: 0983

ASSIGNEE ACCEPTANCE

WE, the ASSIGNEE:

THE DOW CHEMICAL COMPANY

2030 Dow Center

Midland, Michigan 48674 United States of America

hereby accept the ASSIGNMENT which is dated: January 11, 2018

From, the ASSIGNOR:

DOW CHEMICAL (CHINA) INVESTMENT COMPANY LIMITED

Unit 03, C Zone, 2F, 3rd Building, No. 936 Zhangheng Road

Zhangjiang Hi-Tech Park

Shanghai 201203

People's Republic of China

of the invention entitled:

MULTI-STAGE POLYMER AS GRINDING ADDITIVE AND METHOD OF PRODUCING THE SAME

without any restrictions and with all rights and obligations deriving therefrom and declare that this confirmation of acceptance shall be deemed a part of the said ASSIGNMENT.

Signed at	Midland, Michigan 48674, USA
this <u>\\</u> 24\\\	day of
CORP.	THE DOW CHEMICAL COMPANY
SEAL	T-12-7
	Bh: grander and for information in

DARRYL P. FRICKEY S Authorized Representative

PATENT

REEL: 051473 FRAME: 0984

ASSIGNMENT

(with Priority Rights)

INVENTORSHIP DECLARATION

We, ASSIGNOR, of:

THE DOW CHEMICAL COMPANY

2030 Dow Center

Midland, Michigan 48674 United States of America

an entity organized and existing under the laws of the State of Delaware, United States of America do hereby claim and declare that we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof from the sole, true and first inventor(s):

Hu Li, residing at No. 936, Zhangheng Road, Zhangjiang Hi-Tech Park, Shanghai 201203, People's Republic of China; Weijun Yang, residing at No. 936, Zhangheng Road, Zhangjiang Hi-Tech Park, Shanghai 201203, People's Republic of China; Tao Wang, residing at No. 936, Zhangheng Road, Zhangjiang Hi-Tech Park, Shanghai 201203, People's Republic of China; Siyuan Jiang, residing at No. 936, Zhangheng Road, Zhangjiang Hi-Tech Park, Shanghai 201203, People's Republic of China; and Cheng Hui Chen, residing at Room 3616 A Tower, China Shine Plaza, 9 Lin He Xi Road, Tianhe, Guangzhou 510610, People's Republic of China

by an Assignment dated: January 11, 2018; January 11, 2018; January 11, 2018; January 11, 2018 and January 11, 2018 respectively of an invention relating to and emitted:

MULTI-STAGE POLYMER AS GRINDING ADDITIVE AND METHOD OF PRODUCING THE SAME

We do homely declare, for good and valuable consideration, received, sell, assign, and transfer unto:

WE, the ASSIGNEE:

DOW GLOBAL TECHNOLOGIES LLC

2040 Dow Center

Midland, Michigan 48674 United States of America

an entity organized and existing under the laws of the State of Delaware, United States of America our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make application for Letters Patent in its own name, or in the name of its norminee; and all rights and powers to file my reissue, divisional, continuing or substitute application and any corresponding applications in any and all countries, including all rights to claim priority under all international Conventions and Trasties in the name of said Assignee, and do hereby authorize said Assignee to insert here the Priority Number, Filing Date, and Filing Country

Priority Number	Filing Date	Filing Country
PCT/CN2015/097360	December 15, 2015	PCT

of the application on which the priority will be based; and in and to any Letters Patent that may be issued for said invention in any and all countries; and we do hereby agree to assist said Assignee in the prosecution of the said applications and in any interference, conflict, opposition or litigation which may arise involving said invention or the patent or patents thereon, and to execute any reissue, divisional, continuing or substitute application and assent to their making any applications in other countries corresponding to the above and below specifically identified application, as may be requested by said Assignee; and we do hereby authorize said Assignee to insent here the corresponding Application Number, Filing Date, and Filing Country

	Application Number	Filing Date	Filing Country
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- 1		'	i i
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of the corresponding application in any country where that patent property must be emered for menufal of this Assignment; and we do hereby authorize and request that any such Leners Patent be issued to said Assignee in accordance herewith, and consent to the recordal of this Assignment being under covenant, not only that we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.

Subscribed and Swom by us at Midland, Michigan 48674, USA

his <u>No No.</u> day of <u>NYMIN</u>

....., 20 🙀

CORP.

THE DOW CHEMICAL COMPANY

DARRYL P. FRICKEY
Authorized Representative

PATENT

REEL: 051473 FRAME: 0985

ASSIGNEE ACCEPTANCE

WE, the ASSIGNEE:

DOW GLOBAL TECHNOLOGIES LLC

2040 Dow Center

Midland, Michigan 48674 United States of America

hereby accept the ASSIGNMENT which is dated: January 15, 201

From, the ASSIGNOR:

THE DOW CHEMICAL COMPANY

2030 Dow Center

Midland, Michigan 48674 United States of America

of the invention entitled:

MULTI-STAGE POLYMER AS GRINDING ADDITIVE AND METHOD OF PRODUCING THE SAME

without any restrictions and with all rights and obligations deriving therefrom and declare that this confirmation of acceptance shall be deemed a part of the said ASSIGNMENT.

Signed at Midland, Michigan 48674, USA
this May of MYWWW , 20

CORP. SEAL

SUSAN M. ZERULL

Authorized Representative

DOW GLOBAL TECHNOLOGIES LLC

PATENT

REEL: 051473 FRAME: 0986

RECORDED: 01/10/2020