505857904 01/10/2020

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 EPAS ID: PAT5904841 Stylesheet Version v1.2

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
PETER DAVID FRISCHMANN	10/14/2019

RECEIVING PARTY DATA

Name:	SEPION TECHNOLOGIES, INC.
Street Address:	1198 65TH STREET
Internal Address:	SUITE 170
City:	EMERYVILLE
State/Country:	CALIFORNIA
Postal Code:	94608

PROPERTY NUMBERS Total: 2

Property Type	Number
Application Number:	16465013
Application Number:	62431300

CORRESPONDENCE DATA

Fax Number: (617)542-2241

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 617-542-6000

Email: IPDocketingBOS@mintz.com

Correspondent Name: MINTZ

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Address Line 4: BOSTON, MASSACHUSETTS 02111

ATTORNEY DOCKET NUMBER: 052981-502N01US

NAME OF SUBMITTER: MICHAEL E. CONNORS

SIGNATURE: /Michael E. Connors/

DATE SIGNED: 01/10/2020

Total Attachments: 2

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PATENT 505857904 REEL: 051476 FRAME: 0804

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS,

- 1. Peter David FRISCHMANN
- 2. Brett Anthony HELMS

PF

hereby sells, assigns and transfers to ASSIGNEES, Sepion Technologies, Inc., a Delaware Corporation, having its administrative offices located at 1198 65th Street, Suite 170, Emeryville, CA 2019-10-14 94608, and The Regents of The University of California, a California Corporation, having its administrative offices located at 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200 and the successors, assigns and legal representatives of the ASSIGNEES all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

MICROSTRUCTURED ION-CONDUCTING COMPOSITES AND USES THEREOF

and which is found in US Patent Application No.: 16/465,013 filed May 29, 2019, PCT International Patent Application No.: PCT/US2017/065174 filed on December 7, 2017, and US Provisional Patent Application No.: 62/431,300 filed on December 7, 2016,

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNORS hereby agree that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNORS further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

> **PATENT REEL: 051476 FRAME: 0805**

AND the <u>ASSIGNORS</u> request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

Executed this 30 day of September 2019	Signature of Inventor Peter David FRISCHMANN
Executed this day of2019	Signature of Inventor
	Brett Anthony HELMS
Assignee hereby accepts this Assignment: _ Date:	
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RECORDED: 01/10/2020

PATENT REEL: 051476 FRAME: 0806