

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5897465

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	LEGAL MEMORANDUM WITH AFFIDAVIT AND COURT SETTLEMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	MARTIN KOEPESELL	07/04/2018
RECEIVING PARTY DATA		
Name:	JOHNSON ELECTRIC GERMANY GMBH & CO. KG	
Street Address:	WILHELM-LIEBKNECHT-STRASSE 6	
City:	DRESDEN	
State/Country:	GERMANY	
Postal Code:	01257	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	16041863
CORRESPONDENCE DATA		
Fax Number:	(703)621-7155	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
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ATTORNEY DOCKET NUMBER:	1928/0935PUS1	
NAME OF SUBMITTER:	JOE MCKINNEY MUNCY	
SIGNATURE:	/Joe McKinney Muncy/	
DATE SIGNED:	01/07/2020	
Total Attachments: 12		
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: KOEPESELL, Martin	Examiner: CAROC, LHEIREN MAE ANGLO
Application No.: 16/041,863	Art Unit: 2833
Filed: 23 Jul 2018	Confirmation Number: 9659
Title: ELECTRIC ROCKER SWITCH	
Attorney Docket No.: 1928/0935PUS1	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

LEGAL MEMORANDUM

Dear Sir:

I, Dr. Erhard Keller, partner at the law firm Hogan Lovells International LLP, Kennedydamm 24, 40476 Düsseldorf, Germany, am an attorney at law familiar with the law of the jurisdiction involved, i.e., the law of the Federal Republic of Germany.

To my knowledge, Martin KOEPESELL is the sole inventor of the above-identified application. Martin KOEPESELL refuses to sign appropriate Declaration and Assignment forms.

Johnson Electric Germany GmbH & Co. KG, a corporation organized and existing under and by virtue of the laws of Germany, having an office at WILHELM-LIEBKNECHT-STRASSE 6, DRESDEN 01257, GERMANY has a proprietary interest in the above-noted application. More specifically, Johnson Electric Germany GmbH & Co. KG derives rights in the invention from the non-signing inventor, Martin KOEPESELL, via a court settlement reached before the District Court Munich I dated July 4, 2018, docket no. 21 O 14323/17.

A copy of the relevant court settlement is attached hereto. A verified English translation thereof will be provided separately.

As such, a court of competent jurisdiction, i.e., the patent chambers of German Regional Courts, the patent senates of German Higher Regional Courts, the German Federal Court of Justice, and the German Federal Patent Court, would by the weight of authority in that jurisdiction award title of the invention to Johnson Electric Germany GmbH & Co. KG.

Application No.: 16/041,863
Attorney Docket No. 1928/0935PUS1
Page 2 of 2

All statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true. Further, these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

23 December 2019



Signature

Dr. Erhard Keller

Typed or Printed Name

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: KOEPESELL, Martin	Examiner: CAROC, LHEIREN MAE ANGLO
Application No.: 16/041,863	Art Unit: 2833
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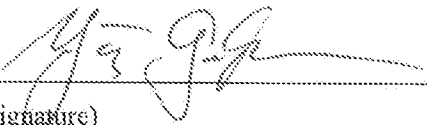
AFFIDAVIT

Dear Sir:

I, Joerg Gassmann, am a Head of Engineering of Johnson Electric Germany GmbH & Co. KG, a corporation organized and existing under the laws of Germany, and having an address of WILHELM-LIEBKNECHT-STRASSE 6, DRESDEN 01257, GERMANY.

Having firsthand knowledge of the facts, I hereby attest that the above-identified invention was made by Martin KOEPESELL while employed by Johnson Electric Germany GmbH & Co. KG.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



(Signature)

06.01.2020

(Date)

VERIFICATION OF TRANSLATION

The undersigned hereby declares the following:

That I am knowledgeable in German and English. That I have reviewed the court settlement reached before the District Court Munich I dated July 4, 2018, docket no. 21 O 14323/7 and verify that the attached document is an accurate translation thereof.

All statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true. Further, these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

December 27, 2019

Date _____

Signature _____

Samuel James Henderson

Typed or Printed Name

Copy

[Logo]

Record

Ref.: 21 014323/17

Recorded at the public session of Munich Regional Court I, Civil Chamber 21, on Wednesday, July 4, 2018 in Munich

[redacted]

Dipl.-Ing. Koepsell Martin, [redacted]

- Plaintiff -

vs.

Johnson Electric Germany GmbH & Co. KG, [redacted]

Johnson Electric GmbH, [redacted]

- Defendant -

[redacted]

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[redacted]

[redacted]

2. Upon Plaintiff receiving this payment, not only all claims that are part of this dispute, but also all claims based on all inventions in which Plaintiff was involved as an employee of Defendant or of companies affiliated with Defendant, whether known or unknown, whether filed or granted or neither filed nor granted, shall be comprehensively and finally settled. With regard to the patents in dispute, Plaintiff also makes this declaration with respect to the named co-inventors. The parties agree that Defendant or companies affiliated with Defendant are now solely entitled to all rights to the aforementioned inventions and any intellectual property rights arising therefrom. [redacted]

[redacted]

Page 4

[redacted]

Abschrift

Az.: 21 O 14323/17



Protokoll

aufgenommen in der öffentlichen Sitzung des Landgerichts München I, 21. Zivilkammer, am Mittwoch, 04.07.2018 in München

[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

[REDACTED]

Dipl.-Ing. Koepsell Martin, [REDACTED]
- Kläger -

[REDACTED]
[REDACTED]

gegen

Johnson Electric Germany GmbH & Co. KG, [REDACTED]
in Johnson Electric GmbH, [REDACTED]
[REDACTED]
- Beklagte -

[REDACTED]
[REDACTED]
[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

- [REDACTED]
- [REDACTED]
- [REDACTED]
- [REDACTED]

[REDACTED]

- [REDACTED]
- [REDACTED]
- [REDACTED]
- [REDACTED]

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[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

1. [REDACTED]

2. Mit Eingang dieser Zahlung beim Kläger sind nicht nur alle streitgegenständlichen Ansprüche, sondern auch alle Ansprüche wegen aller Erfindungen, an denen der Kläger als Arbeitnehmer der Beklagten oder mit dieser verbundenen Unternehmen beteiligt war, gleich ob bekannt oder nicht, gleich ob angemeldet oder erteilt oder weder angemeldet noch erteilt, umfassend und endgültig abgegolten. Der Kläger erklärt dies hinsichtlich der streitgegenständlichen Patente auch mit Wirkung für die benannten Miterfinder. Die Parteien sind sich einig, dass der Beklagten oder mit ihr verbundenen Unternehmen sämtliche Rechte an den vorgenannten Erfindungen und den daraus etwaig resultierenden Schutzrechten nunmehr alleine zustehen.

[REDACTED]

3. [REDACTED]

4. [REDACTED]

5. [REDACTED]

6. [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]