

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5911938

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
THOMAS J. ERRICO	10/07/2019
PETER NEWTON	10/09/2019
HARRY SHUFFLEBARGER	10/08/2019
BRITTANY HARWELL	10/07/2019
RECEIVING PARTY DATA	
Name:	K2M, INC.
Street Address:	600 HOPE PARKWAY SE
City:	LEESBURG
State/Country:	VIRGINIA
Postal Code:	20175
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16499366
CORRESPONDENCE DATA	
Fax Number:	(908)654-0415
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	9086545000
Email:	ASSIGNMENT@LERNERDAVID.COM
Correspondent Name:	LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP
Address Line 1:	20 COMMERCE DRIVE
Address Line 4:	CRANFORD, NEW JERSEY 07016
ATTORNEY DOCKET NUMBER:	SPINE.11565
NAME OF SUBMITTER:	JACLYN RICCI
SIGNATURE:	/JACLYN RICCI/
DATE SIGNED:	01/15/2020
Total Attachments: 4	
source=SPINE.11565 Inventor Assignments#page1.tif	
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**COMBINED DECLARATION AND ASSIGNMENT FOR UTILITY OR DESIGN APPLICATION (37 CFR 1.63) USING
AN APPLICATION DATA SHEET**

Attorney, Docket No. SPINE 3.3F-1005
Title of the Invention ("Invention") Fixation Device And Method Of Using The Same
Legal Name of Inventor ("Inventor") Thomas J. Errico
Assignee ("Assignee") K2M, Inc., incorporated in Leesburg, Virginia, and having a place of business at 600 Hope Parkway SE; Leesburg, Virginia 20175

DECLARATION

As named inventor, I hereby declare that:

This declaration is directed to:

☐

The attached Application

OR

☒

United States Application Number or PCT International Application Number 16/499,366

filed on September 30, 2019

that the above-identified Application is/was made or authorized to be made by me;

that I believe I am the original inventor or an original joint inventor of a claimed invention in the above-identified Application; and

that I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

ASSIGNMENT

IN ADDITION TO MAKING THIS DECLARATION, WHEREAS the Assignee is desirous of acquiring, or has acquired and is desirous of memorializing its acquisition further herein, my entire right, title and interest in the above-identified Application.

NOW, THEREFORE, for good and valuable consideration the receipt of which is hereby acknowledged, I agree to assign, and hereby do assign, to Assignee my entire right, title and interest in and to the Application in the United States of America and all other countries, including any and all inventions, discoveries and other subject matter described therein, any divisional, continuation, continuation-in-part, conversion, non-provisional, substitute, reissue, re-examination certificate following Inter Partes Review, certificate following Post Grant Review, all other applications claiming priority to or benefit of the Application pursuant to any law or treaty, and any patent issuing from any of the foregoing. I agree to assign, and hereby do assign, to Assignee the right to claim such priority or benefit. I have not previously conveyed, nor am I aware of an obligation to convey, my rights in the Application to a third party. I hereby authorize the U.S. Patent and Trademark Office, and any other governmental agency in the world, to issue to Assignee all patents resulting from the Application and to record Assignee's ownership thereof. At Assignee's reasonable request I agree, without further remuneration, to execute and deliver documents prepared at Assignee's expense and to provide other cooperation, such as testimony, as may be reasonably required to evidence or protect Assignee's rights in the Application. Assignee may assign or transfer all or part of its rights set forth herein in its sole discretion. I agree that the Assignee may affix its signature to this document as well as any other indicia of its acceptance of the provisions hereof. If any provision herein is unenforceable, the requirements of the provision shall remain to the full extent permissible by law and the offending portions thereof shall be deemed replaced, to the extent possible, with a provision most closely reflecting the purpose of the offending provision.

I, as assignor, hereby assign to said assignee all of my right, title and interest in United States Provisional Application No. 62/478,709, filed March 30, 2017, and in the invention disclosed thereto in all countries of the world including the right to claim priority or benefit of said Application No. 62/478,709 pursuant to any law or treaty in the countries of the world.

10/7/19

(Date)

Thomas J. Errico

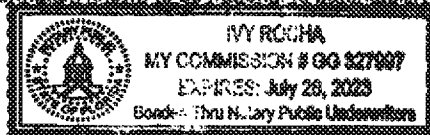
Thomas J. Errico

WARNING

According to 37 C.F.R. 1.63 (c), "[a] person may not execute an oath or declaration unless the person has reviewed and understands the contents of the application, including claims and is aware of the duty to disclose to the Office all information known to be material to patentability as defined in 1.56."

State of Florida) SS:

County of Hami-Dade



On this 7th of October, 2019 before me personally came above-named Thomas J. Errico, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

Ivy Rocha
Notary Public

**COMBINED DECLARATION AND ASSIGNMENT FOR UTILITY OR DESIGN APPLICATION (37 CFR 1.63) USING
AN APPLICATION DATA SHEET**

Attorney, Docket No. SPINE 3.3F-1005
Title of the Invention ("Invention") Fixation Device And Method Of Using The Same
Legal Name of Inventor ("Inventor") Peter Newton, M.D.
Assignee ("Assignee") K2M, Inc., incorporated in Leesburg, Virginia, and having a place of business at 600 Hope Parkway SE; Leesburg, Virginia 20175

DECLARATION

As named inventor, I hereby declare that:

This declaration is directed to:

☐

The attached Application

OR

☒

United States Application Number or PCT International Application Number 16/499,366
filed on September 30, 2019

that the above-identified Application is/was made or authorized to be made by me;

that I believe I am the original inventor or an original joint inventor of a claimed invention in the above-identified Application; and

that I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

ASSIGNMENT

IN ADDITION TO MAKING THIS DECLARATION, WHEREAS the Assignee is desirous of acquiring, or has acquired and is desirous of memorializing its acquisition further herein, my entire right, title and interest in the above-identified Application.

NOW, THEREFORE, for good and valuable consideration the receipt of which is hereby acknowledged, I agree to assign, and hereby do assign, to Assignee my entire right, title and interest in and to the Application in the United States of America and all other countries, including any and all inventions, discoveries and other subject matter described therein, any divisional, continuation, continuation-in-part, conversion, non-provisional, substitute, reissue, re-examination certificate following Inter Partes Review, certificate following Post Grant Review, all other applications claiming priority to or benefit of the Application pursuant to any law or treaty, and any patent issuing from any of the foregoing. I agree to assign, and hereby do assign, to Assignee the right to claim such priority or benefit. I have not previously conveyed, nor am I aware of an obligation to convey, my rights in the Application to a third party. I hereby authorize the U.S. Patent and Trademark Office, and any other governmental agency in the world, to issue to Assignee all patents resulting from the Application and to record Assignee's ownership thereof. At Assignee's reasonable request I agree, without further remuneration, to execute and deliver documents prepared at Assignee's expense and to provide other cooperation, such as testimony, as may be reasonably required to evidence or protect Assignee's rights in the Application. Assignee may assign or transfer all or part of its rights set forth herein in its sole discretion. I agree that the Assignee may affix its signature to this document as well as any other indicia of its acceptance of the provisions hereof. If any provision herein is unenforceable, the requirements of the provision shall remain to the full extent permissible by law and the offending portions thereof shall be deemed replaced, to the extent possible, with a provision most closely reflecting the purpose of the offending provision.

I, as assignor, hereby assign to said assignee all of my right, title and interest in United States Provisional Application No. 62/478,709, filed March 30, 2017, and in the invention disclosed thereto in all countries of the world including the right to claim priority or benefit of said Application No. 62/478,709 pursuant to any law or treaty in the countries of the world.

10/9/19
(Date)

Peter Newton
Peter Newton, M.D.

WARNING

According to 37 C.F.R. 1.63 (c), "[a] person may not execute an oath or declaration unless the person has reviewed and understands the contents of the application, including claims and is aware of the duty to disclose to the Office all information known to be material to patentability as defined in 1.56."

State of california) SS:

County of San Diego)

On this 9th of oct 2019 before me personally came above-named Peter Newton, M.D., to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

Nimisha J. Amin
Notary Public



**COMBINED DECLARATION AND ASSIGNMENT FOR UTILITY OR DESIGN APPLICATION (37 CFR 1.63) USING
AN APPLICATION DATA SHEET**

Attorney, Docket No. SPINE 3.3F-1005
Title of the Invention ("Invention") Fixation Device And Method Of Using The Same
Legal Name of Inventor ("Inventor") Harry Shufflebarger, M.D.
Assignee ("Assignee") K2M, Inc., incorporated in Leesburg, Virginia, and having a place of business at 600 Hope Parkway SE; Leesburg, Virginia 20175

DECLARATION

As named inventor, I hereby declare that:

This declaration is directed to:

☐ The attached Application

OR

☒ United States Application Number or PCT International Application Number 16/499,366

filed on September 30, 2019

that the above-identified Application is/was made or authorized to be made by me;

that I believe I am the original inventor or an original joint inventor of a claimed invention in the above-identified Application; and

that I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

ASSIGNMENT

IN ADDITION TO MAKING THIS DECLARATION, WHEREAS the Assignee is desirous of acquiring, or has acquired and is desirous of memorializing its acquisition further herein, my entire right, title and interest in the above-identified Application.

NOW, THEREFORE, for good and valuable consideration the receipt of which is hereby acknowledged, I agree to assign, and hereby do assign, to Assignee my entire right, title and interest in and to the Application in the United States of America and all other countries, including any and all inventions, discoveries and other subject matter described therein, any divisional, continuation, continuation-in-part, conversion, non-provisional, substitute, reissue, re-examination certificate following Inter Partes Review, certificate following Post Grant Review, all other applications claiming priority to or benefit of the Application pursuant to any law or treaty, and any patent issuing from any of the foregoing. I agree to assign, and hereby do assign, to Assignee the right to claim such priority or benefit. I have not previously conveyed, nor am I aware of an obligation to convey, my rights in the Application to a third party. I hereby authorize the U.S. Patent and Trademark Office, and any other governmental agency in the world, to issue to Assignee all patents resulting from the Application and to record Assignee's ownership thereof. At Assignee's reasonable request I agree, without further remuneration, to execute and deliver documents prepared at Assignee's expense and to provide other cooperation, such as testimony, as may be reasonably required to evidence or protect Assignee's rights in the Application. Assignee may assign or transfer all or part of its rights set forth herein in its sole discretion. I agree that the Assignee may affix its signature to this document as well as any other indicia of its acceptance of the provisions hereof. If any provision herein is unenforceable, the requirements of the provision shall remain to the full extent permissible by law and the offending portions thereof shall be deemed replaced, to the extent possible, with a provision most closely reflecting the purpose of the offending provision.

I, as assignor, hereby assign to said assignee all of my right, title and interest in United States Provisional Application No. 62/478,709, filed March 30, 2017, and in the invention disclosed thereto in all countries of the world including the right to claim priority or benefit of said Application No. 62/478,709 pursuant to any law or treaty in the countries of the world.

10/8/19

(Date)

Harry Shufflebarger, M.D.

WARNING

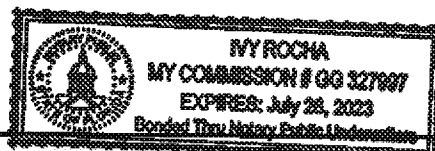
According to 37 C.F.R. 1.63 (c), "[a] person may not execute an oath or declaration unless the person has reviewed and understands the contents of the application, including claims and is aware of the duty to disclose to the Office all information known to be material to patentability as defined in 1.56."

State of Florida) SS:

County of Hillsborough

On this 8th of October 2019 before me personally came above-named Harry Shufflebarger, M.D., to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

Notary Public



An Application Data Sheet (PTO/SB/14 or Equivalent) naming the entire inventiveness entity must accompany this form unless previously submitted.

**COMBINED DECLARATION AND ASSIGNMENT FOR UTILITY OR DESIGN APPLICATION (37 CFR 1.63) USING
AN APPLICATION DATA SHEET**

Attorney, Docket No. SPINE 3.3F-1005
Title of the Invention ("Invention") Fixation Device And Method Of Using The Same
Legal Name of Inventor ("Inventor") Brittany Harwell
Assignee ("Assignee") K2M, Inc., incorporated in Leesburg, Virginia, and having a place of business at 600 Hope Parkway SE; Leesburg, Virginia 20175

DECLARATION

As named inventor, I hereby declare that:

This declaration is directed to:

☐ The attached Application

OR

☒ United States Application Number or PCT International Application Number 16/499,366
filed on September 30, 2019

that the above-identified Application is/was made or authorized to be made by me;

that I believe I am the original inventor or an original joint inventor of a claimed invention in the above-identified Application; and

that I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

ASSIGNMENT

IN ADDITION TO MAKING THIS DECLARATION, WHEREAS the Assignee is desirous of acquiring, or has acquired and is desirous of memorializing its acquisition further herein, my entire right, title and interest in the above-identified Application.

NOW, THEREFORE, for good and valuable consideration the receipt of which is hereby acknowledged, I agree to assign, and hereby do assign, to Assignee my entire right, title and interest in and to the Application in the United States of America and all other countries, including any and all inventions, discoveries and other subject matter described therein, any divisional, continuation, continuation-in-part, conversion, non-provisional, substitute, reissue, re-examination certificate following Inter Partes Review, certificate following Post Grant Review, all other applications claiming priority to or benefit of the Application pursuant to any law or treaty, and any patent issuing from any of the foregoing. I agree to assign, and hereby do assign, to Assignee the right to claim such priority or benefit. I have not previously conveyed, nor am I aware of an obligation to convey, my rights in the Application to a third party. I hereby authorize the U.S. Patent and Trademark Office, and any other governmental agency in the world, to issue to Assignee all patents resulting from the Application and to record Assignee's ownership thereof. At Assignee's reasonable request I agree, without further remuneration, to execute and deliver documents prepared at Assignee's expense and to provide other cooperation, such as testimony, as may be reasonably required to evidence or protect Assignee's rights in the Application. Assignee may assign or transfer all or part of its rights set forth herein in its sole discretion. I agree that the Assignee may affix its signature to this document as well as any other indicia of its acceptance of the provisions hereof. If any provision herein is unenforceable, the requirements of the provision shall remain to the full extent permissible by law and the offending portions thereof shall be deemed replaced, to the extent possible, with a provision most closely reflecting the purpose of the offending provision.

I, as assignor, hereby assign to said assignee all of my right, title and interest in United States Provisional Application No. 62/478,709, filed March 30, 2017, and in the invention disclosed thereto in all countries of the world including the right to claim priority or benefit of said Application No. 62/478,709 pursuant to any law or treaty in the countries of the world.

10/07/2019

(Date)



Brittany Harwell

WARNING

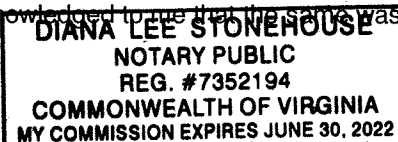
According to 37 C.F.R. 1.63 (c), "[a] person may not execute an oath or declaration unless the person has reviewed and understands the contents of the application, including claims and is aware of the duty to disclose to the Office all information known to be material to patentability as defined in 1.56."

State of Virginia SS:

County of Loudoun

On this 7th of October, 2019, before me personally came above-named Brittany Harwell, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

Diana Lee Stonehouse
Notary Public



An Application Data Sheet (PTO/SB/14 or Equivalent) naming the entire inventiveness entity must accompany this form unless previously submitted.

PATENT

RECORDED: 01/15/2020

REEL: 051520 FRAME: 0766