

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT5905874

<b>SUBMISSION TYPE:</b>	CORRECTIVE ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	Corrective Assignment to correct the SERIAL NUMBER previously recorded on Reel 045481 Frame 0143. Assignor(s) hereby confirms the SERIAL NUMBER IN THE ASSIGNMENT.
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
DR. ANDREW KAM	02/28/2018
DR. JENS RICHOLT	03/09/2018
DANIEL GENOVESE	02/26/2018
JOSH RUBIN	02/19/2018
GENEVA GOLDWOOD	02/24/2018
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	K2M, INC.
<b>Street Address:</b>	600 HOPE PARKWAY SE
<b>City:</b>	LEESBURG
<b>State/Country:</b>	VIRGINIA
<b>Postal Code:</b>	20175
<b>PROPERTY NUMBERS Total: 2</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	62204553
<b>Application Number:</b>	15752142
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(631)501-3526
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Email:</b>	kjohnson@lerner david.com, dglaser@carterdeluca.com
<b>Correspondent Name:</b>	LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK
<b>Address Line 1:</b>	600 SOUTH AVENUE WEST
<b>Address Line 4:</b>	WESTFIELD, NEW JERSEY 07090
<b>ATTORNEY DOCKET NUMBER:</b>	1449-434 PCT US
<b>NAME OF SUBMITTER:</b>	DANA A. BRUSSEL
<b>SIGNATURE:</b>	/Dana A. Brussel/
<b>DATE SIGNED:</b>	01/10/2020
<b>Total Attachments: 9</b>	

source=01542074#page1.tif

source=01542074#page2.tif

source=01542074#page3.tif

source=01542074#page4.tif

source=01542074#page5.tif

source=01542074#page6.tif

source=01542074#page7.tif

source=01542074#page8.tif

source=01542074#page9.tif

<b>PATENT ASSIGNMENT COVER SHEET</b>
--------------------------------------

Electronic Version v1.1

Stylesheet Version v1.2

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
DR. ANDREW KAM	02/28/2018
DR. JENS RICHOLT	03/09/2018
DANIEL GENOVESE	02/26/2018
JOSH RUBIN	02/19/2018
GENEVA GOLDWOOD	02/24/2018
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	K2M, INC.
<b>Street Address:</b>	600 HOPE PARKWAY SE
<b>City:</b>	LEESBURG
<b>State/Country:</b>	VIRGINIA
<b>Postal Code:</b>	20175
<b>PROPERTY NUMBERS Total: 3</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15572142
<b>Application Number:</b>	62204553
<b>PCT Number:</b>	US2016046523
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(631)501-3526
<b>Phone:</b>	631-501-5700
<b>Email:</b>	docket@cdfslaw.com, dglaser@cdfslaw.com
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Correspondent Name:</b>	CARTER, DELUCA, FARRELL & SCHMIDT, LLP
<b>Address Line 1:</b>	445 BROAD HOLLOW ROAD
<b>Address Line 2:</b>	SUITE 420
<b>Address Line 4:</b>	MELVILLE, NEW YORK 11747
<b>ATTORNEY DOCKET NUMBER:</b>	1449-434 PCT US

<b>NAME OF SUBMITTER:</b>	DANA A. BRUSSEL
<b>Signature:</b>	/Dana A. Brussel/
<b>Date:</b>	04/09/2018
<b>Total Attachments: 7</b> source=1449-434 PCT US - Executed Assignment (01238327xB7E30)#page1.tif source=1449-434 PCT US - Executed Assignment (01238327xB7E30)#page2.tif source=1449-434 PCT US - Executed Assignment (01238327xB7E30)#page3.tif source=1449-434 PCT US - Executed Assignment (01238327xB7E30)#page4.tif source=1449-434 PCT US - Executed Assignment (01238327xB7E30)#page5.tif source=1449-434 PCT US - Executed Assignment (01238327xB7E30)#page6.tif source=1449-434 PCT US - Executed Assignment (01238327xB7E30)#page7.tif	
<b>RECEIPT INFORMATION</b>	
<b>EPAS ID:</b>	PAT4906153
<b>Receipt Date:</b>	04/09/2018

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights

For: ☒ U.S. Application or ☐ U.S. Patent

By : ☒ Inventor(s) or ☐ Present Owner

## ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS:

Dr. Andrew KAM

Dr. Jens RICHOLT

Daniel GENOVESE

Josh RUBIN

Geneva GOLDWOOD

(Inventor(s) or  
person(s) or entity(es)  
who own the invention)

(If assignment is by person or entity to whom invention was previously assigned and this  
was recorded in PTO add the following)

Recorded on

Reel:

Frame:

hereby sells, assigns and transfers to

ASSIGNEE:

K2M, Inc.  
(Type or print name of ASSIGNEE)

600 Hope Parkway SE  
Address

Leesburg, VA 20175

United States  
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided \_\_\_\_\_ percent ( \_\_\_\_\_ %) interest for the United States and its territorial possessions

in and to any and all improvements which are disclosed in the invention entitled:

EXTENDED TAB SYSTEMS FOR REDUCING SPINAL RODS

(Title of invention)

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith.
- (b) ☐ U.S. patent application executed on \_\_\_\_\_.
- (c) ☒ U.S. provisional application Serial No. 62/204,553 filed on August 13, 2015.
- (d) ☒ U.S. application No. 15/752,142 filed on February 12, 2018.
- (e) ☐ U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_.
- (f) ☒ PCT application No. PCT/US16/46523 filed on August 11, 2016.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, :/We have hereunto set hand and seal this \_\_\_\_\_ (Date of signing).

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

  
\_\_\_\_\_  
Dr. Andrew KAM

28 Feb 2018  
\_\_\_\_\_  
Dated

\_\_\_\_\_  
Dr. Jens RICHOLT

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Daniel GENOVESE

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Josh RUBIN

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Geneva GOLDWOOD

\_\_\_\_\_  
Dated

If ASSIGNOR is a legal entity complete the following information

\_\_\_\_\_  
Type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR

\_\_\_\_\_  
Title

- [ ] A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
- (g) [X] and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, continuation-in-part, divisional, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this \_\_\_\_\_ (Date of signing).

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

\_\_\_\_\_  
Dr. Andrew KAM

\_\_\_\_\_  


\_\_\_\_\_  
Dr. Jens RICHOLT

\_\_\_\_\_  


\_\_\_\_\_  
Daniel GENOVESE

\_\_\_\_\_  
Josh RUBIN

\_\_\_\_\_  
Josh RUBIN

\_\_\_\_\_  
Geneva GOLDWOOD

\_\_\_\_\_  
Geneva GOLDWOOD

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Mar 9<sup>th</sup> 2018

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Dated

PATENT

REEL: 051563 FRAME: 0180



- [ ] A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
- (g) [X] and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, continuation-in-part, divisional, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this \_\_\_\_\_ (Date of signing).

**WARNING:** Date of signing must be the same as the date of execution of the application if item (a) was checked above.

\_\_\_\_\_  
Dr. Andrew KAM

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Dr. Jens RICHOLT

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Daniel GENOVESE

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Josh FUBIN

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Geneva GOLDWOOD

\_\_\_\_\_  
Dated

**PATENT**

**REEL: 051563 FRAME: 0181**

[ ] A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(g) [X] and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, continuation-in-part, divisional, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this \_\_\_\_\_ (Date of signing).

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

\_\_\_\_\_  
Dr. Andrew KAM

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Dr. Jens RICHOLT

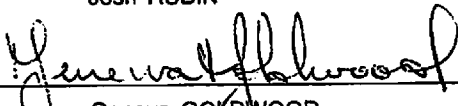
\_\_\_\_\_  
Dated

\_\_\_\_\_  
Daniel GENOVESE

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Josh RUBIN

\_\_\_\_\_  
Dated

  
\_\_\_\_\_  
Geneva GOLDWOOD

\_\_\_\_\_  
2/24/18  
Dated

**PATENT**

**REEL: 051563 FRAME: 0182**

If ASSIGNOR is a legal entity complete the following information

---

Type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR

---

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.